



4-1-99

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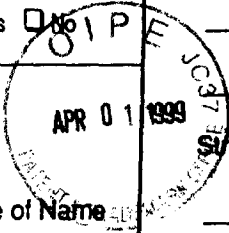
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Jean A. Setterstrom  
 Elliot Jacob Alan Cross  
 Robert H. Reid Charles E. McQueen  
 John E. van Hamont Wendell Zollinger  
 Edgar C. Boedeker  
 Apurba Bhattacharjee

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: United States Government as  
 Represented by  
 Internal Address: the Secretary of the Army  
Washington, D.C.



3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Street Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Execution Date: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s): 09/055,505

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Werten F.W. Bellamy

Internal Address: Intellectual Property  
Law Division  
Office of the Judge Advocate General, DA

Street Address: 901 North Stuart Street  
Suite 700  
 22203-

City: Arlington State: VA ZIP: 1837

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):..... \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_  
21-0039

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

04/07/1999 DNGUYEN 00000053 210039 09055505

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

WERTEN F.W. BELLAMY, REG. NO. 27,029  
Name of Person Signing

Werten F.W. Bellamy  
Signature

3/29/99  
Date

Total number of pages comprising cover sheet:

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

Inventor(s): JEAN A. SETTERSTROM, THOMAS R. TICE, ELLIOT JACOB, ROBERT H. REID, JOHN VAN HAMONT, EDGAR C. BOEDECKER, RAMASUBBU JEYANTHI, PHIL FRIDEN, F. DONALD ROBERTS, CHARLES E. MCQUEEN, APURBA BHATTACHARJEF, ALAN CROSS, JEROLD SADOFF, WENDELL ZOLLINGER

Application Serial No: 09/055,505  
Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998  
(Date not known at execution may be added for better identification.)

I(We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, the entire right, title and interest throughout the United States, its Territories, Possessions, and Puerto Ric, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part or division of said application and any reissue or extension of said Letters Patent.
2. Agree to assign to the Government upon its request, title and interest in the invention in those foreign countries in which the Government, within eight months of the filing of the united States application for patent, determines to cause an application to be filed; provided that if the Government determines not to cause an application to be filed in any particular foreign country or fails to make such determination, within the said eight months, all right, title and interest in the invention in such foreign country shall remain in me (us) subject to nonexclusive, irrevocable, royalty-free license to the Government in any patent which may issue on the invention in such foreign country, including the power to issue sublicenses for use in behalf of the Government and/or furtherance of the foreign policies of the Government.
3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor: Jean A. Setterstrom  
(First name, middle initial, last name)

Duty Address: 700 Hampton Trace Lane Fulton Georgia  
(Locality) (County) (State)

Date: MAY 4, 1998 Typed name of Inventor: JEAN A. SETTERSTROM

State of GEORGIA )  
) ss.  
County of FULTON )

On the above date JEAN A SETTERSTROM known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

Notary Public, Fulton County, Georgia  
My Commission Expires March 14, 1999  
My Commission expires on: \_\_\_\_\_

[Signature]  
(Signature)  
LOUIS J. COPPOLA  
(Print or type name)

My county of residence is: FULTON

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

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3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor: Elliot Jacob  
(First name, middle initial, last name)

Duty Address: 11529 DAFFODIL LANE SILVER SPRING MD 20902  
(Locality) (County) (State)

Date: 4/28/98 Typed name of Inventor: ELLIOT JACOB

State of MARYLAND

County of Montgomery ss. Elliot Jacob

On the above date \_\_\_\_\_ known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: 1/0 \_\_\_\_\_  
(Signature) EYB SCHACHTER

My county of residence is: Montgomery \_\_\_\_\_  
(Print or type name) EYB SCHACHTER

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

Inventor(s): JEAN A. SETTERSTROM, THOMAS R. TICE, ELLIOT JACOB, ROBERT H. REID, JOHN VAN HAMONT, EDGAR C. BOEDECKER, RAMASUBBU JEYANTHI, PHIL FRIDEN, F. DONALD ROBERTS, CHARLES E. MCQUEEN, APURBA BHATTACHARJEF, ALAN CROSS, JEROLD SADOFF, WENDELL ZOLLINGER

Application Serial No: 09/055,505  
Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998  
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I(We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, the entire right, title and interest throughout the United States, its Territories, Possessions, and Puerto Ric, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part or division of said application and any reissue or extension of said Letters Patent.
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3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor: Robert H. Reid  
(First name, middle initial, last name)

Duty Address: \_\_\_\_\_  
(Locality) (County) (State)

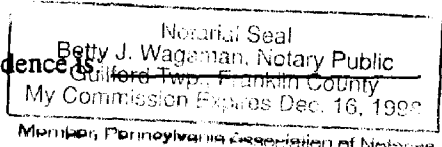
Date: 12-02-98 Typed name of Inventor: ROBERT H. REID

State of Pennsylvania ) ss.  
County of Franklin )

On the above date Robert H. Reid known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: \_\_\_\_\_

Betty J. Wageman  
(Signature)

My county of residence is Franklin  


BETTY J WAGAMAN  
(Print or type name)

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

Inventor(s): JEAN A. SETTERSTROM, THOMAS R. TICE, ELLIOT JACOB, ROBERT H. REID, JOHN VAN HAMONT, EDGAR C. BOEDECKER, RAMASUBBU JEYANTHI, PHIL FRIDEN, F. DONALD ROBERTS, CHARLES E. MCQUEEN, APURBA BHATTACHARJEF, ALAN CROSS, JEROLD SADOFF, WENDELL ZOLLINGER

Application Serial No: 09/055,505

Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998

(Date not known at execution may be added for better identification.)

I(We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

- 1. Assign to the Government of the United States, as represented by the Secretary of the Army, the entire right, title and interest throughout the United States, its Territories, Possessions, and Puerto Ric, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part or division of said application and any reissue or extension of said Letters Patent.
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- 3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor:

John E. Van Hamont  
(First name, middle initial, last name)

Duty Address: West Point, Orange County, New York  
(Locality) (County) (State)

Date: 7 May 1998 Typed name of Inventor: JOHN van HAMONT

State of New York )

County of Orange ) ss.

On the above date John van Hamont known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: \_\_\_\_\_

Janet Dow  
(Signature)

My county of residence is: \_\_\_\_\_

JANET DOW  
Notary Public - State of New York  
(Print or type name) Appointed in Orange County  
Commission Expires 28 February 1999

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

Inventor(s): JEAN A. SETTERSTROM, THOMAS R. TICE, ELLIOT JACOB, ROBERT H. REID, JOHN VAN HAMONT, EDGAR C. BOEDECKER, RAMASUBBU JEYANTHI, PHIL FRIDEN, F. DONALD ROBERTS, CHARLES E. MCQUEEN, APURBA BHATTACHARJEF, ALAN CROSS, JEROLD SADOFF, WENDELL ZOLLINGER

Application Serial No: 09/055,505  
Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998  
(Date not known at execution may be added for better identification.)

I(We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, the entire right, title and interest throughout the United States, its Territories, Possessions, and Puerto Ric, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part or division of said application and any reissue or extension of said Letters Patent.
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3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor: Edgar C. Boedeker  
(First name, middle initial, last name)

Duty Address: 552 MAYNADIER LANE, CROWNSVILLE, MD  
(Locality) (County) (State) 21032

Date: 6/9/98 Typed name of Inventor: EDGAR C. BOEDECKER

State of Maryland )  
City of Baltimore ) ss.  
County of \_\_\_\_\_ )

On the above date Edgar C. Boedeker known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: 9-1-98

Patricia K. Scherch  
(Signature)

My <sup>city</sup> county of residence is: Baltimore City

Patricia K. Scherch  
(Print or type name)

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

Inventor(s): JEAN A. SETTERSTROM, THOMAS R. TICE, ELLIOT JACOB, ROBERT H. REID, JOHN VAN HAMONT, EDGAR C. BOEDECKER, RAMASUBBU JEYANTHI, PHIL FRIDEN, F. DONALD ROBERTS, CHARLES E. MCQUEEN, APURBA BHATTACHARJEE, ALAN CROSS, JEROLD SADOFF, WENDELL ZOLLINGER

Application Serial No: 09/055,505  
Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998  
(Date not known at execution may be added for better identification.)

I(We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

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3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of inventor:

Apurba Bhattacharjee  
(First name, middle initial, last name)  
MS No. B-326-0269-478-835

Duty Address: \_\_\_\_\_  
(Locality) Washington (County) DC (State)

Date: 9 May 98 Typed name of Inventor: APURBA BHATTACHARJEE

State of Maryland )  
County of Anne Arundel ) ss.

On the above date Apurba Bhattacharjee known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: 2/1/2001

[Signature]  
(Signature)

My county of residence is: PG County  
MD

Dorothy Hopkins  
(Print or type name)

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

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Application Serial No: 09/055,505

Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998

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Signature of inventor:

*Alan S. Cross*  
(First name, middle initial, last name)

Duty Address: \_\_\_\_\_

(Locality)

(County)

(State)

Date: 5/6/98 Typed name of Inventor: ALAN CROSS

State of Maryland )

County of Baltimore ) ss.

On the above date Alan S. Cross known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: 5-1-99

*Helen K. Fischer*  
(Signature)

My county of residence is: Baltimore

Helen K Fischer  
(Print or type name)



**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

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Application Serial No: 09/055,505  
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Signature of inventor: Charles E. McQueen  
(First name, middle initial, last name)

Duty Address: Walter Reed AMC, Washington DC 20307  
(Locality) (County) (State)

Date: 21 OCTOBER 1998 Typed name of Inventor: CHARLES E. MCQUEEN

State of DISTRICT OF COLUMBIA )  
) ss.  
County of CITY OF WASHINGTON )

On the above date CHARLES E. MCQUEEN known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: \_\_\_\_\_

Dionte L Butler  
(Signature)

My county of residence is: \_\_\_\_\_

DIONTE L BUTLER  
NOTARY PUBLIC DISTRICT OF COLUMBIA  
My Commission Expires November 14, 2002  
(Print or type name)

**ASSIGNMENT OF INVENTION**

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: THERAPEUTIC TREATMENT & PREVENTION OF INFECTIONS WITH A BIOACTIVE MATERIAL(S) ENCAPSULATED WITHIN A BIODEGRADABLE-BIO-COMPATABLE POLYMERIC MATRIX

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Application Serial No: 09/055,505

Date Oath Executed: \_\_\_\_\_ Filing Date: APRIL 6, 1998

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Signature of inventor:

Wendell D. Zollinger  
(First name, middle initial, last name)

Duty Address: Walter Reed Army Institute of Research Washington D.C.  
(Locality) (County) (State)

Date: 28 April 1998 Typed name of Inventor: WENDELL ZOLLINGER

State of District of Columbia  
County of City of Washington ss.

On the above date Wendell D. Zollinger known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

My Commission expires on: \_\_\_\_\_

[Signature]  
(Signature)

DIONTE L BUTLER  
NOTARY PUBLIC DISTRICT OF COLUMBIA  
My Commission Expires November 14, 2002

My county of residence is: \_\_\_\_\_

(Print or type name)



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SERIAL NO. 09/055,505

March 30, 1999

INVENTOR: Jean A. Setterstrom, et al.

TITLE: Therapeutic Treatment & Prevention of Infections with a Bioactive Material(s) Encapsulated Within a Biodegradable-Bio-Compatable polymeric matrix

SUBMISSION TO PATENT AND TRADEMARK OFFICE: Recordation Form Cover Sheet with 9 Assignment of Invention (Setterstrom, Jacob, Reid, van Hamont, Boedeker, Bhattacharjee, Cross, McQueen, Zollinger)