

04-07-1999

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



3/23/99

To the Honorable Commissioner of F

101003352

attached original documents or copy

1. Name of conveying party(ies):

George J. Picha, Gary Austin, and J. Timothy AustinAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **March 17, 1999; March 18, 1999; March 19, 1999**

2. Name and address of receiving party(ies):

Name: **Applied Medical Technology, Inc.**

Internal Address: _____

Street Address: **15653 Neo Parkway**City: **Cleveland** State: **Ohio** Zip: **44128**Additional name(s) of receiving party(ies) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **March 17, 1999; March 18, 1999; March 19, 1999**

A. Patent Application No.(s):

B. Patent No.(s):

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **David E. Spaw**Street Address: **1200 Leader Building**City: **Cleveland** State: **OH** Zip: **44114-1401**6. Total number of applications and patents involved: **1**7. Total Fee (37 C.F.R. §3.41) **\$40.00**

- ☒ Enclosed
☐ Authorized to be charged to Deposit Account

8. Deposit Account No.

16-0820, Order No. 31473

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*David E. Spaw
Name of Person Signing
SignatureMarch 23, 1999
DateTotal number of pages including cover sheet, attachments, and document: **4**

EL061679309US

PATENT
REEL: 9861 FRAME: 0392

ASSIGNMENT
(Joint)

WHEREAS, new and useful improvements and inventions have been made by the undersigned in

RECONFIGURABLE AND SELF-RETAINING SURGICAL RETRACTOR

and are the subject of a patent application prepared for filing in the United States Patent and Trademark Office, and executed by the undersigned on the dates indicated below in the appropriate spaces to the right of the signatures of the undersigned, which application is further identified as Pearne, Gordon, McCoy & Granger Docket No. 31473.

WHEREAS, Applied Medical Technology, Inc., a corporation of the State of Ohio, having a place of business at 15653 Neo Parkway, Cleveland, Ohio 44128, hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in, to, and under said improvements and inventions and patent rights therefor.

NOW, THEREFORE, be it known that, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, all right, title, and interest, in the United States and throughout the world, in, to and under said improvements and inventions and all patents, patent applications, patent rights, and inventor's certificates thereof, therefor, and therein, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all right to sue for past infringement thereunder, all patents which may be granted for said improvements and inventions by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefor, have been and are hereby sold, assigned, transferred, and delivered unto assignee, its successors and assigns; and it is covenanted and agreed by the undersigned, and for executors, administrators, and legal representatives of the undersigned, that at assignee's request any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary, or desirable to secure for or vest in assignee, its successors or assigns, any improvement, inventions, right, title, interest, application, patent, patent right or other right or property covered by this assignment, and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in, to, and under the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title, and interest in, to, and under the same.

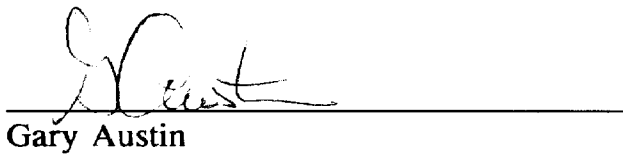
IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:


George J. Picha

03.17.99
Date I signed this assignment

03.17.99
Date I signed Declaration or Oath

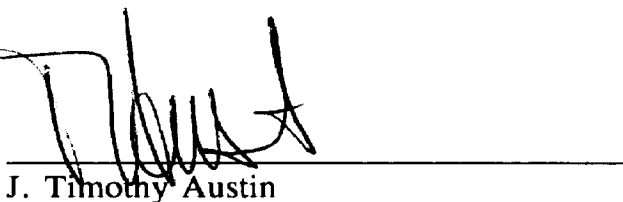
Post Office Address: 6554 Beechwood, Independence, Ohio 44131


Gary Austin

3-18-99
Date I signed this assignment

3-18-99
Date I signed Declaration or Oath

Post Office Address: 264 E. 216th Street, Euclid, Ohio 44123


J. Timothy Austin

3/19/99
Date I signed this assignment

3/19/99
Date I signed Declaration or Oath

Post Office Address: 9718 Martinique, Concord, Ohio 44060

STATE OF OHIO }
 } ss.
COUNTY OF }

The above named George J. Picha, known to me, appeared before me this 17th day of March, 1999, and acknowledged that the foregoing instrument was executed as his free act and deed for the uses and purposes therein set forth.


Notary Public

(Notarial Seal)

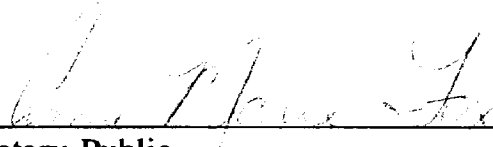
ANNE-MARIE FOX
NOTARY PUBLIC, STATE OF OHIO
Recorded in Cuyahoga County
My Comm. Expires Oct. 20, 2002

STATE OF OHIO

COUNTY OF

} ss.

The above named Gary Austin, known to me, appeared before me this 19th day of March, 1999, and acknowledged that the foregoing instrument was executed as his free act and deed for the uses and purposes therein set forth.



Notary Public

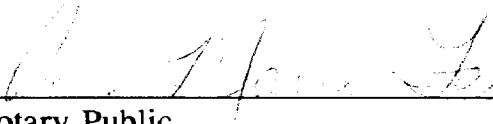
(Notarial Seal)

STATE OF OHIO

COUNTY OF

} ss.

The above named J. Timothy Austin, known to me, appeared before me this 19th day of March, 1999, and acknowledged that the foregoing instrument was executed as his free act and deed for the uses and purposes therein set forth.



Notary Public

(Notarial Seal)