



Tab settings ⇄ ⇄ ⇄ ▼

101005347

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Libby Perszyk Kathman  
Ares Marasligiller, Richard S. Barrack,  
Howard McIlvain, Claudia S. gladstone,  
John K. Voight, Samuel Mitchell,  
Doug Zalla, Larry A Schrock,  
Steven A. Kirchner  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):  
Name: ASHLAND INC.  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: \_\_\_\_\_  
3499 Blazer Parkway  
City: Lexington State: KY ZIP: 40509  
Additional name(s) & address(es) attached?  Yes  No

3-29/99

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: February 28, 1998

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s) 29/076,844 B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: David W. Carrithers  
Internal Address: CARRITHERS LAW OFFICE  
One Paragon Centre  
Street Address: 6060 Dutchman's Lane  
Suite 140  
City: Louisville State: KY ZIP: 40205

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41):..... \$120.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number: \_\_\_\_\_  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

04/06/1999 JSHBAZZ 00000193 29076844

04 FC:581 120.00 DP

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

David W. Carrithers, Reg. No. 35475  
Name of Person Signing

David W. Carrithers  
Signature

March 24, 1999  
Date

Total number of pages comprising cover sheet: 1

PATENT

REEL: 9862 FRAME: 0702

ASSIGNMENT OF INVENTION  
AND OF LETTERS PATENT

Whereas, I, John K. Voight, of the County of Fayette, and whose address is 2433 Woodfield Circle, Lexington, KY 40515; I, Sam Mitchell, whose address is 300 Culpepper, Lexington, KY; I, Doug Zalla, whose address is 2365 Abbeywood Road, Lexington, KY 40515; I, Larry A. Schrock, whose address is 517 Oak Braanch Road, Louisville, KY 40245; and I, Steven A. Kirchner, whose address is 2205 Popular Grove, Lexington, KY 40515, (including its successors and assigns), have invented certain improvements in a "BOTTLE" and have executed an application Serial No. 29/076,844 for United States Letters Patent therefor on September 23, 1997; and

Whereas, THE VALVOLINE COMPANY, a Division of ASHLAND INC., a Kentucky corporation whose address is 3499 Blazer Parkway, Lexington, KY 40509, (including its successors and assigns), desires to acquire my entire right, title and interest in said application and invention, and any United States and foreign patents to be obtained therefor;

Now therefore, in consideration of one dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer unto said ASHLAND INC., the entire right, title and interest in said application and the invention disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris

(1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, and reissues based thereon which may be filed or granted therefor in the United States or any foreign country. I also agree that ASHLAND INC. may apply for foreign Letters Patent on the invention, and I agree to cooperate with ASHLAND INC. and to execute without additional consideration any additional documents as deemed necessary by ASHLAND INC. to apply for or maintain patents or other legal protection for the invention in any country of the world.

I hereby authorize and request the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this application to said ASHLAND INC.



John K. Voight

STATE OF KENTUCKY     )  
                                  : SS  
COUNTY OF FAYETTE    )

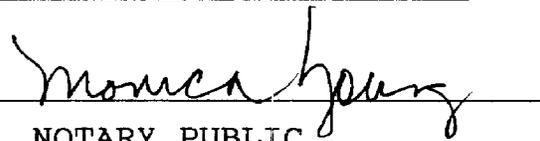
Subscribed and sworn to by John K. Voight, who acknowledged same and declared it to be the free act and deed of Applicant this 24th day of February, 1998.

*Monica Young*

My Commission expires April 29, 2000

My Commission Expires:

(seal)



NOTARY PUBLIC

Sam Mitchell

Sam Mitchell

STATE OF KENTUCKY )  
: SS  
COUNTY OF FAYETTE )

Subscribed and sworn to by Sam Mitchell, who acknowledged same and declared it to be the free act and deed of Applicant this 25<sup>th</sup> day of February, 1998.

Monica Young  
My Commission expires April 29, 2000

My Commission Expires: \_\_\_\_\_

(seal)

Monica Young  
NOTARY PUBLIC

Douglas E. Zalla  
Doug Zalla

STATE OF KENTUCKY )  
: SS  
COUNTY OF FAYETTE )

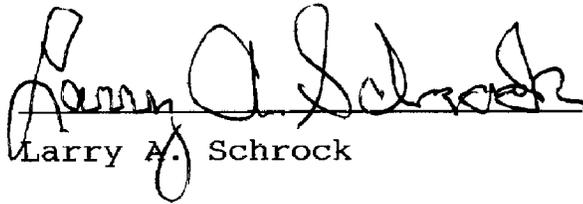
Subscribed and sworn to by Doug Zalla, who acknowledged same and declared it to be the free act and deed of Applicant this 25<sup>th</sup> day of February, 1998.

Monica Young  
My Commission expires April 29, 2000

My Commission Expires: \_\_\_\_\_

(seal)

Monica Young  
NOTARY PUBLIC

  
Larry A. Schrock

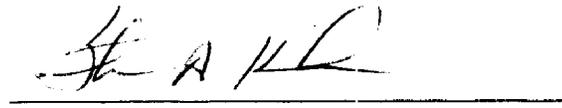
STATE OF KENTUCKY    )  
                              : SS  
COUNTY OF FAYETTE    )

Subscribed and sworn to by Larry A. Schrock, who  
acknowledged same and declared it to be the free act and deed of  
Applicant this 25<sup>th</sup> day of February, 1998.

My Commission Expires: Monica Young  
My Commission expires April 29, 2000

(seal)

  
NOTARY PUBLIC

  
Steven A. Kirchner

STATE OF KENTUCKY    )  
                              : SS  
COUNTY OF FAYETTE    )

Subscribed and sworn to by Steven A. Kirchner, who  
acknowledged same and declared it to be the free act and deed of  
Applicant this 27<sup>th</sup> day of February, 1998.

My Commission Expires: Judy A. Mason  
My commission expires June 17, 2001

(seal)

  
NOTARY PUBLIC

ASSIGNMENT OF INVENTION  
AND OF LETTERS PATENT

Whereas, Libby Perszyk Kathman, a(n) design consultancy, whose address is 19 Garfield Place, Cincinnati, OH 45202, (including its successors and assigns), have invented certain improvements in a "BOTTLE and CAP" as set forth in United States patent application Serial No. 29/076,845 filed on September 23, 1997; and for certain improvements in a "BOTTLE" as set forth in United States patent application Serial No. 29/076,844 filed on September 23, 1997; and

Whereas, THE VALVOLINE COMPANY, a Division of ASHLAND INC., a Kentucky corporation whose address is 3499 Blazer Parkway, Lexington, KY 40509, (including its successors and assigns), desires to acquire its entire right, title and interest in said applications and inventions, and any United States and foreign patents to be obtained therefor;

Now therefore, in consideration of one dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, Libby Perszyk Kathman hereby sells, assigns and transfers unto said ASHLAND INC., the entire right, title and interest in said applications and the inventions disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, and reissues based thereon which may be

filed or granted therefor in the United States or any foreign country. It also agrees that ASHLAND INC. may apply for foreign Letters Patent on the inventions, and it agrees to cooperate with ASHLAND INC. and to execute without additional consideration any additional documents as deemed necessary by ASHLAND INC. to apply for or maintain patents or other legal protection for the inventions in any country of the world.

It hereby authorizes and requests the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this application to said ASHLAND INC.

Libby Perszyk Kathman



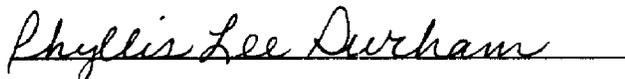
By Richard S. Barrack,

STATE OF OHIO            )  
                                  : SS  
COUNTY OF Hamilton )

Subscribed and sworn to by Richard S. Barrack,  
\_\_\_\_\_ of Libby Perszyk Kathman, who acknowledged same  
and declared it to be the free act and deed of Applicant this  
27th day of Feb., 1998.

My Commission Expires: August 5, 2000

(seal)



NOTARY PUBLIC

ASSIGNMENT OF INVENTION  
AND OF LETTERS PATENT

Whereas, I, Ares Marasligiller, whose address is 1720 Wexwood Lane, Cincinnati, OH 45255; I, Richard S. Barrack, whose address is 1406 E. McMillan, Cincinnati, OH 45206; I Hoard McIlvain, whose address is 217 Eight Mile Road, Cincinnati, OH 45255; and I Claudia S. Gladstone, 1323 Cypress #2R, Cincinnati, OH 45206 (including our successors and assigns), have invented certain improvements in a "BOTTLE" and have executed an application Serial No. 29/076,844 for United States Letters Patent therefor on September 23, 1997, and

Whereas, THE VALVOLINE COMPANY, a Division of ASHLAND INC., a Kentucky corporation whose address is 3499 Blazer Parkway, Lexington, KY 40509, (including its successors and assigns), desires to acquire my entire right, title and interest in said application and invention, and any United States and foreign patents to be obtained therefor;

Now therefore, in consideration of one dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer unto said ASHLAND INC., the entire right, title and interest in said application and the invention disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, and reissues based thereon which may be



Richard S. Barrack

STATE OF OHIO )  
 : SS  
COUNTY OF Hamilton )

Subscribed and sworn to by Richard S. Barrack, who acknowledged same and declared it to be the free act and deed of Applicant this 27th day of Feb., 1998.

My Commission Expires: August 5, 2000

(seal)

NOTARY PUBLIC

PHYLLIS LEE DURHAM  
Notary Public, State of Ohio  
My Commission Expires Aug. 5, 2000

Howard McIlvain

STATE OF OHIO )  
 : SS  
COUNTY OF Hamilton )

Subscribed and sworn to by Howard McIlvain, who acknowledged same and declared it to be the free act and deed of Applicant this 27th day of Feb., 1998.

My Commission Expires: August 5, 2000

(seal)

NOTARY PUBLIC

PHYLLIS LEE DURHAM  
Notary Public, State of Ohio  
My Commission Expires Aug. 5, 2000

