

MND 3-29-99

Client Code: ATRIONX.001A

RECORDATION FORM COVER SHEET PATENTS ONLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

- 1) Chris J. Diederich
- 2) Michael D. Lesh

Additional name(s) of conveying party(ies) attached?
() Yes (X) No

2. Name and address of receiving party(ies):

Name: The Regents of the University of California
Address: 1111 Franklin Street, 12th Floor
Oakland, California 94607

Additional name(s) of receiving party(ies) attached?
() Yes (X) No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

04-08-1999



101005566

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

- 1) March 18, 1999
- 2) March 22, 1999

4. Application number(s) or Patent number(s):

Application(s) filed herewith Execution Date(s):

- Patent Application No.: 09/199,736
Filing Date: November 25, 1998
- Patent No.:
Issue Date:

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William H. Shreve
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995
Internal Address: Sixteenth Floor
Street Address: 620 Newport Center Drive
City: Newport Beach **State:** CA **ZIP:** 92660
Attorney's Docket No.: ATRIONX.001A

7. Total fee (37 CFR 3.41): \$40

- Enclosed
- Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

William H. Shreve
Name of Person Signing

Will: H. Shreve
Signature

March 23, 1999
Date

35,678
Registration No.

Total number of pages including cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

04/06/1999 JSHADAZZ 00000224 09199736

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(40.00 OP)

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

WHS-1102.DOC
032399

PATENT
REEL: 9863 FRAME: 0585

ASSIGNMENT

UCSF Case No. SF98-094-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Chris J. Diederich
- 2. Michael D. Lesh

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor., Oakland, CA 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

CIRCUMFERENTIAL ABLATION DEVICE ASSEMBLY

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/199,736, filed on November 25, 1998
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

18 day of MARCH, 1999

26 day of MARCH, 1999

MDL

Signature of Inventor(s)

Chris J. Diederich
Chris J. Diederich

Michael D. Lesh
Michael D. Lesh