

MD 3.26.99

04-09-1999

Docket No.: 382.1026

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
Copyright 1996-97 LegalStar  
P08A/REV02



ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼ ▼ ▼

101006690

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Seiji SATO, Naohiko HIGASHIKUNI, Toshiyuki KUDO and  
Massaki KONDO

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 3/19/99

2. Name and address of receiving party(ies):

Name: Itoham Foods Inc.

Address: 2-1 Bingo-cho 3-chome, Nada-ku,

City: Kobe-Shi

State/Prov.: Hyogo

Country: JAPAN

ZIP: 657-0037

Additional name(s) & address(es)

☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 3/19/99

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cary S. Kappel

Registration No. 36,561

Address: DAVIDSON, DAVIDSON & KAPPEL, LLC

1140 Avenue of the Americas, 15th Floor

City: New York

State/Prov.: New York

Country: U.S.A.

ZIP: 10036

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0552

04/06/1999 JSHADAZZ 00000258 09280030

DO NOT USE THIS SPACE

01 FC:581

40.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cary S. Kappel, Reg. No. 36, 561

Name of Person Signing

Signature

March 26, 1999

Date

4

Total number of pages including cover sheet, attachments, and

PATENT  
REEL: 9864 FRAME: 0219

BOX 2

Name: Shigeo UDAKA

Address: 24-3, Uesono-cho, 1 chome, Meito-ku

City: Nagoya-shi State/Prov.: Aichi

Country: JAPAN Zip: 465-0077

**Assignment of Application**

WHEREAS, I (WE) Seiji SATO, Naohiko HIGASHIKUNI, Toshiyuki KUDO and  
Masaaki KONDO of c/o Central Research Institute, ITOHAM FOODS INC., 1-2, Kubogaoka,  
Moriya-machi, Kitasoma-gun, Ibaraki 302-0104 Japan

\_\_\_\_\_, respectively,  
have invented certain new and useful improvements in: DNAS ENCODING NEW FUSION  
PROTEINS AND PROCESSES FOR PREPARING USEFUL POLYPEPTIDES  
THROUGH EXPRESSION OF THE DNAS

for which an application for Letters Patent was executed on \_\_\_\_\_  
(Application No. \_\_\_\_\_, filed \_\_\_\_\_), and

WHEREAS, ITOHAM FOODS INC. and Shigezo UDAKA  
(hereinafter referred to as "ASSIGNEE") having a place of business at: 2-1, Bingo-cho  
3-chome, Nada-ku, Kobe-shi, Hyogo 657-0037 Japan and 24-3, Uesono-cho 1-chome, Meito-  
ku, Nagoya-shi, Aichi 465-0077 Japan

is desirous of acquiring the entire right, title and interest in and to said invention and in and to  
any Letters Patent that may be granted therefore in the United States and its territorial  
possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt  
whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by  
these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to  
the said invention in the United States and its territorial possessions and in all foreign countries  
and the entire right, title and interest in and to any and all Letters Patent which may be granted  
therefor in the United States and its territorial possessions and in any and all foreign countries  
and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its  
territorial possessions and any official of any and all foreign countries to issue any and all of  
said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right,  
title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his)  
successors and assigns, to the full end of the term for which said Letters Patent may be granted,  
as fully and entirely as the same would have been held by me (us) had this Assignment and sale  
not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its legal representatives and assigns covenant and any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of DAVIDSON, DAVIDSON & KAPPEL, LLC. of 1140 Avenue of the Americas, 15th Floor, New York, N.Y. 10036 U.S.A. the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: March 19, 1999

Seiji SATO

(Signature of inventor)

Seiji SATO

Date: March 19, 1999

Naohiko Higashikuni

(Signature of inventor)

Naohiko HIGASHIKUNI

Date: March 19, 1999

Toshiyuki Kudo

(Signature of inventor)

Toshiyuki KUDO

Date: March 19, 1999

Masaaki Kondo

(Signature of inventor)

Masaaki KONDO