

04-09-1999

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FORM PTO-1595 (Substitute)

RECORD

(Rev. 8-96)

PAT



DEPARTMENT OF COMMERCE

Patent and Trademark Office

101005254

To the Honorable Commissioner of Patents and Trademarks

or copy thereof

1. Name of conveying party(ies):

Bharat Lagu, Dhanapalan Nagarathnam,
Dake Tian, Charles Gluchowski

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

2. Name and address of receiving party(ies):

Name: Synaptic Pharmaceutical Corporation

Internal Address: _____

Street Address: 215 College RoadCity/State/Zip Paramus, New Jersey 07652Execution Date(s): December 28, 1998, January 9, 1999, December 24, 1998, March 29, 1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): _____

A. Patent Application No.(s) _____

U.S. Serial No. 09/213,539Filed: December 17, 1998

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address: _____

Street Address: Cooper & Dunham LLP1185 Avenues of the AmericasCity/State/Zip: New York, New York 100366. Total number of applications and patents involved: 17. Total fee (37 CFR §3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

John P. White

Name of Person Signing

04/09/1999 DNGUYEN 00000091 033125 09213539

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40.00 CH

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

John P. White
Reg. No. 28,678April 5, 1999

Date

Signature

April 5, 1999

Date

Total Number of pages including cover sheet, attachments and document: 6

OMB No 0651-0011 (exp 4/94)

Mail documents to be recorded with required cover sheet information to
Commissioner of Patents and TrademarksBox Assignments
Washington, D.C. 20231

PATENT

REEL: 9864 FRAME: 0795

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Bharat Lagu, residing at 94 East Pleasant Avenue, Maywood, New Jersey 07607, U.S.A.; Dhanapalan Nagarathnam, residing at 450 Island Avenue - Apt. 74, Ramsey, New Jersey 07446, U.S.A.; Dake Tian, residing at 24-10 Quail Ridge Drive, Plainsboro, New Jersey 08536, U.S.A.; and Charles Gluchowski, residing at 154 Coolspring Court, Danville, California 94506, U.S.A.

Hereby sell, assign and transfer to SYNAPTIC PHARMACEUTICAL CORPORATION

a corporation of the State of

Delaware having a place of business at 215 College Road, Paramus

in the County of Bergen and State of New Jersey, 07652, U.S.A.

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on December 28, 1998, and is entitled.

January 9, 1999,
December 24, 1998,
March 29, 1999

MORPHOLINONE AND MORPHOLINE DERIVATIVES AND USES THEREOF

(U.S. Serial No.09/213,539 , Filed December 17, 1998)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 12/28/ 1998 Bharat Lagu [L.S.]

Witness: Petra Sansom
PETRA SANSON
17 HILLIARD AVE. APT. D
EDGEWATER, NJ 07020

Date: _____ 19 _____ Dhanapalan Nagarathnam [L.S.]

Witness: _____

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____ 19 _____ [L.S.]
Bharat Lagu

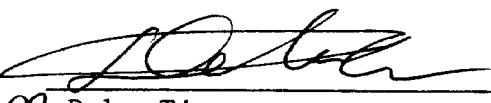
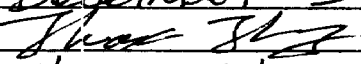
Witness: _____

Date: Jan 9 1999 [L.S.]
Dhanapalan Nagarathnam

Witness: Kirsten Johnson

450 N. Island Blvd #23

Ramsey N.J. 07446

Date: December 24 1998  [L.S.]
Dake Tian
Witness: 
Zhaoxu Zhang
42-10 Quail Ridge Dr.
Plainsboro, NJ 08536

Date: _____ 19 _____ Charles Gluchowski [L.S.]
Witness: _____

Date: _____ 19 _____ [L.S.]
Witness: _____

Date: _____ 19 _____ [L.S.]
Witness: _____

Dake Tian

Date: 3/29/99 19 _____

Witness: Eugene R. Conits
25200 Castro Res Blvd #128
Hayward, CA 94542

Charles Gluchowski 3/29/99 [L.S.]
Charles Gluchowski

Date: _____ 19 _____

Witness: _____

[L.S.]