

MED 4-5-99

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(Rev. 6-93)

RECORDATION

04-12-1999

U.S. DEPARTMENT
OF COMMERCE

Patent & Trademark

Office
OMB No. 065-0011 (exp. 4/94)

1999 APR -5 AM 7:45

101008665

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):

David O. Bostrom*
William K. Shumake*
Paul H. Jackson**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: *March 24, 1999
**March 26, 1999

3. Name and address of receiving party(ies):

Name: Equistar Chemicals, LP
Internal Address: _____
Street Address: 1221 McKinney, Suite 1600

City: Houston

State: TX Zip Code: 77010

Country: USA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/253,827

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s). _____ B. Patent No(s). _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document should
be mailed:

Name: David E. Pritchard, Esq.
Internal Address: Wood, Herron & Evans, L.L.P.
2700 Carew Tower
Street Address: 441 Vine Street
City: Cincinnati State: Ohio Zip: 45202

6. Total number of applications and patents
involved: 1

7. Total fee (37 CFR 33.41): \$40.00
☒ Enclosed
☒ Authorized to be charged to deposit account
if deficiencies occur

8. Deposit Account number: 23-3000
(Attach duplicate copy of this page is paying by deposit account)

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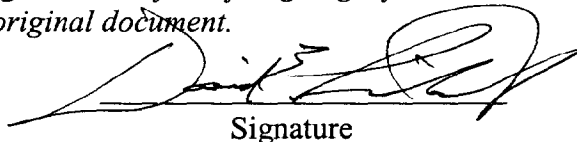
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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David E. Pritchard, Esq.
Name of Person Signing


Signature

March 31, 1999
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 9868 FRAME: 0052

ASSIGNMENT OF INVENTION AND
PATENTS THEREON

WHEREAS, We, David O. Bostrom of 3760 Monet's Lane, Cincinnati in the State of Ohio 45241, William K. Shumake of 7421 Wyandot in the State of Ohio 45044, and Paul H. Jackson of 6429 Masters Row, Loveland in the State of Ohio 45140, and have invented a new and improved **COATED POLYMERIC PARTICLES HAVING IMPROVED ANTI-BLOCK CHARACTERISTICS AND METHOD OF MAKING SUCH PARTICLES, AND APPARATUS THEREFOR** as fully disclosed in an application for Patent and filed by us on February 19, 1999, Serial No. 09/253,827, preparatory to obtaining Letters Patent of the United States therefor; and whereas, Equistar Chemicals, LP, a limited partnership organized under the Laws of the State of Delaware, having its principal office at 1221 McKinney, Suite 1600, Houston, Texas 77010, and having offices at 11530 Northlake Drive, Cincinnati, Ohio 45249, desires to acquire the entire interest in and to the subject-matter disclosed in said application and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Equistar Chemicals, LP our entire right, title and interest in and to the subject-matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and we do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject-matter disclosed therein to the above named Assignee, its legal representatives and assigns.

Witness my hand this 24 day of MARCH, 1999.

David O. Bostrom Inventor.
David O. Bostrom

STATE OF Ohio)
COUNTY OF Hamilton) ss.

On this 24th day of March, 1999, before me personally appeared David O. Bostrom, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Margaret E. Bailey
Notary Public

SEAL

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2001
Recorded in Clermont County

PATENT
REEL: 9868 FRAME: 0053

Witness my hand this 26 day of MARCH, 1999.

William K. Shumake Inventor.
William K. Shumake

STATE OF Ohio)
COUNTY OF HAMILTON) ss.

On this 26th day of March, 1999, before me personally appeared William K. Shumake, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Margaret E. Bailey
Notary Public

SEAL

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2000
Recorded in Clermont County

Witness my hand this 24 day of MARCH, 1999.

Paul H. Jackson Inventor.
Paul H. Jackson

STATE OF Ohio)
COUNTY OF HAMILTON) ss.

On this 24th day of March, 1999, before me personally appeared Paul H. Jackson, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Margaret E. Bailey
Notary Public

SEAL

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2000
Recorded in Clermont County

RECORDATION FORM COVER SHEET

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OMB No. 065-0011 (exp. 4/94)

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<p>1. Name of conveying party(ies):</p> <p>David O. Bostrom* William K. Shumake* Paul H. Jackson**</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>3. Name and address of receiving party(ies):</p> <p>Name: <u>Equistar Chemicals, LP</u> Internal Address: _____ Street Address: <u>1221 McKinney, Suite 1600</u> City: <u>Houston</u> State: <u>TX</u> Zip Code: <u>77010</u> Country: <u>USA</u></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>2. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>*March 24, 1999</u> <u>**March 26, 1999</u></p>	

4. Application number(s) or patent number(s): 09/253,827

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s). _____ B. Patent No(s). _____
Additional numbers attached? ☐ Yes ☒ No

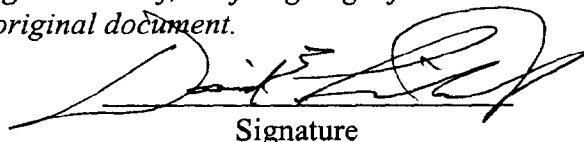
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>David E. Pritchard, Esq.</u> Internal Address: <u>Wood, Herron & Evans, L.L.P.</u> <u>2700 Carew Tower</u> Street Address: <u>441 Vine Street</u> City: <u>Cincinnati</u> State: <u>Ohio</u> Zip: <u>45202</u></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 33.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account if deficiencies occur</p> <p>8. Deposit Account number: <u>23-3000</u> (Attach duplicate copy of this page is paying by deposit account)</p>
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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Signature

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Date

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