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Docket No.: 14353.2.1

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(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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## REGISTRATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

04-12-1999



101008164

Tab settings

To the Honorable Commissioner of Patents

## 1. Name of conveying party(ies):

Craig J. Watson  
Brad Burke

attached original documents or copies of the

address of receiving party(ies):

Name: GE Capital, Corporation

Internal Address: \_\_\_\_\_

Street Address: 1600 Summer StreetCity: StamfordState: COZIP: 06927

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: March 23, 1999

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

04/06/1999 GWASHING 00000031 09276289

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Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin K. JohansonInternal Address: WORKMAN, NYDEGGER & SEELEYStreet Address: 60 East South Temple1000 Eagle Gate TowerCity: Salt Lake CityState: UTZIP: 84111

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

23-3178

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin K. Johanson

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT  
REEL: 9868 FRAME: 0759

WHEN RECORDED RETURN TO:

Workman, Nydegger & Seeley  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111

PATENT APPLICATION

Docket No: 14353.2.1

Express Mail Label No. EL243131176US

## A S S I G N M E N T

We, Craig J. Watson and Brad Burke, of 10026 South Stone Mesa Court, Sandy, Utah 84092 and 15082 South Kanab Ct., Draper, Utah 84020, respectively, have invented a method and apparatus entitled SYSTEM AND METHOD FOR PRE-AUTHORIZATION OF INDIVIDUAL ACCOUNT REMOTE TRANSACTIONS, hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 14353.2.1 of the law firm of Workman, Nydegger & Seeley, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. \_\_\_\_\_ on \_\_\_\_\_. (We hereby authorize the attorneys of Workman, Nydegger & Seeley to insert said serial number and filing date when known.)

The Assignee, GE Capital, Corporation, a corporation of the State of New York, having a principal place of business at 1600 Summer Street, Stamford, Connecticut 06927, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 23 day of ~~February~~ <sup>MARCH</sup>, 1999.

Craig J. Watson  
Craig J. Watson

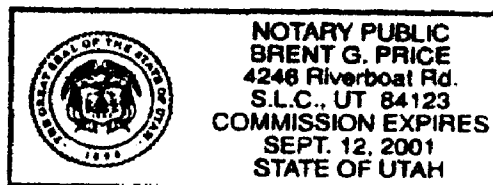
Brad Burke  
Brad Burke

STATE OF UTAH                     )  
  : ss.  
COUNTY OF SALT LAKE    )

<sup>MARCH</sup>  
On ~~February~~ 23, 1999, before me personally appeared Craig J. Watson and Brad Burke, known to me to be the persons described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that they have read the same and know the contents thereof and that they executed the same as their free act and deed and for the purposes set forth therein.

Brent G. Price  
NOTARY PUBLIC  
Residing at 4246 Riverboat Road  
S.L.C., UT 84123

My Commission Expires:  
9/12/01



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Docket No.: 14353.2.1