

04-12-1999

Department of Commerce  
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101009181

To the Honorable Commissioner of Patents and Trademarks or copy thereof.

Name of conveying party(ies):

David A. Miceli  
Joseph A. Miceli



2. Name and address of receiving party(ies):

Name: Tri State Distribution, Inc.

Street Address: HC 69, Box 398

City: Spencer State: TN ZIP: 38585

Country: USA

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ yes ☒ no

Execution Date(s): March 16, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No(s)  
Serial No. 09/233,057

B. Patent No(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document  
should be mailed

6. Total number of applications and patents  
involved 1

Name: **Shanks & Herbert**

7. Total fee (37 CFR 3.41): ..... \$ 40.00

Internal Address: c/o Simon D. Roberts

- ☒ Enclosed  
☐ Authorized to be charged to deposit  
account

Street Address: TransPotomac Plaza  
1033 N. Fairfax St.  
Suite 306

8. Deposit Account number: 50-0622

City: Alexandria State: VA ZIP: 22314

(Attach duplicate copy of this page if paying by deposit acct.)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.*

04/05/1999 AMERICAN 00000020 09233057

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SIMON D. ROBERTS

Simon D. Roberts

Limited Recognition Under 37 CFR § 10.9(b)

Signature

4/1/99

Date

OMB No. 0651-0011 (ext. 4/94)

Total number of pages including cover sheet, attachments and document 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 9869 FRAME: 0780**

# ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: David A. Miceli and Joseph A. Miceli, the undersigned hereby sell(s) and assign(s) to Tri State Distribution, Inc. (the Assignee) his/her entire right, title and interest

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. Sec. 100),  
☒ and throughout the world

in the invention(s) known as Container and Closure System for which application(s) for patent in the United States of America has (have) been executed by the undersigned on ~~February~~ 3/16/1999 (also known as United States Application No. 09/233,057, filed January 20, 1999), in any and all applications thereon in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations, divisions and continuations of such applications or Letters Patent(s) to the full end of the term or terms for which such Letters patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee the same as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing, divisional, reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning the application(s) or any continuation, division, reissue or reexamination thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby covenant(s) that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Toni-Junell Herbert (Reg. No. 34,348), Mark R. Shanks (Reg. No. 33,781), Christopher H. Lynt (Reg. No. 33,619), Chester T. Barry (Reg. No. 40,327) and Maria L. Maebius (Reg. No. 42,967) of SHANKS & HERBERT, TransPotomac Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, Virginia 22314, power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date: 3/16/99

Signature of Inventor: \_\_\_\_\_

David A. Miceli

Date: 3-16-99

Signature of Inventor: \_\_\_\_\_

Joseph A. Miceli