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FORM PTO-1595
1-31-92101007456
RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mitchell J. McGowan
Dean J. SchlickberndAdditional Names attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.Internal Address:
Intellectual Property DepartmentStreet Address:
100 N.E. Adams StreetCity: Peoria State: IllinoisZip: 61629-6490

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ OtherExecution Date(s): 03/30/99 and 03/29/99Additional name(s) & addresses
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 03/30/99 and 03/29/99.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ NoTitle of Invention: METHOD FOR AUTOMATICALLY SHUTTING DOWN A MACHINE DURING AN OPERATOR'S ABSENCEAttorney Docket No.: 97-469

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: W. Bryan McPherson III
CATERPILLAR INC.Internal Address: Intellectual Property Dept.Street Address: 100 N. E. Adams StreetCity: Peoria State: ILZip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

W. Bryan McPherson III
Name of Person SigningW. Bryan McPherson III
Signature03/31/99
Date

Total number of pages comprising cover sheet, attachments, and document: [3]

Mail document to be recorded with required cover sheet information to:

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Box Assignments
Washington, D.C. 20231

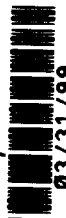
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03/31/99

PATENT
REEL: 9870 FRAME: 0321

ASSIGNMENT

WHEREAS, MITCHELL J. MCGOWAN; and DEAN J. SCHLICKBERND, residing respectively at Groveland, Illinois; and Joliet, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

METHOD FOR AUTOMATICALLY SHUTTING DOWN A MACHINE DURING AN OPERATOR'S ABSENCE

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

March 30, 1999, and March 29, 1999; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

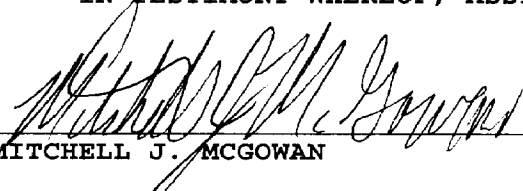
1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.


MITCHELL J. MCGOWAN

30 Mar 99
Date

IN WITNESS OF the execution hereof by MITCHELL J. MCGOWAN we have hereunto set our hands.

Lindy M. Tribbett
Witness (1) (Date)

Brandon Torrey 3-30-99
Witness (2) (Date)

Address: 316 Broken Bow Ct.
Sparland, IL 61565
30-Mar-99

Address: 3424 N. Elmcraft Ter.
Peoria, IL 61604


DEAN J. SCHLICKBERND

3/29/99
Date

IN WITNESS OF the execution hereof by DEAN J. SCHLICKBERND we have hereunto set our hands.

W. Bryan M. Phamora 3/29/99
Witness (1) (Date)

Lupita Torres 3-29-99
Witness (2) (Date)

Address: 610 Breckenridge
Dunlap IL 61525

Address: 822 GAEL DRIVE
JOLIET, IL 60435