

3-30-99

04-13-1999

Docket No.: SMT381

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wei-Wei Zhuang, Tue Nguyen, Greg M. Stecker,
David Russell Evans, and Sheng Teng Hsu

2. Name and address of receiving party(ies):

Name: Sharp Laboratories of America, Inc.

Internal Address: _____

Street Address: 5750 NW Pacific Rim Blvd.City: Camas State: WA ZIP: 98607

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 30, 1999

A. Patent Application No.(s)

09/281 731

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald W. Maliszewski, Reg. No. 38,054

Internal Address: _____

(04/09/1999 BROTLER 00000020 191457 00000700)

(04 FC:581 40.00 Cr)

Street Address: 5750 NW Pacific Rim Blvd.City: Camas State: WA ZIP: 98607

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1457

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald W. Maliszewski

38,054

Name of Person Signing

Signature

March 30, 1999

Date

Total number of pages including cover sheet, attachments, and document:

8

PATENT
REEL: 9871 FRAME: 0071

ASSIGNMENT

WHEREAS, the undersigned Wei-Wei Zhuang, a resident of Vancouver, WA, Tue Nguyen, a resident of Vancouver, WA, Greg M. Stecker, a resident of Vancouver, WA, David Russell Evans, a resident of Beaverton, OR, and Sheng Teng Hsu, a resident of Camas, WA, (hereinafter termed "inventors") have invented certain new and useful improvements in:

ALLYL-DERIVED PRECURSOR AND SYNTHESIS METHOD

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

☒ On the 30th day of March, 1999,

Or

☐ Said application having been previously filed and assigned Serial Number / , and filing date . 1999.

WHEREAS Sharp Laboratories of America, Inc., a corporation of the State of Washington, (hereinafter termed "Assignee"), having a place of business at 5750 NW Pacific Rim Boulevard, Camas, State of Washington, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other government grants on said invention, including the right to apply for patents pursuant to the

International Convention for the Protection of Industrial property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting application for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, said Inventors' heirs, legal representatives and assigns.

4. Said Inventors hereby warrant and represent that said Inventors have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee on the date of acknowledgment before the Notary Public as given below.

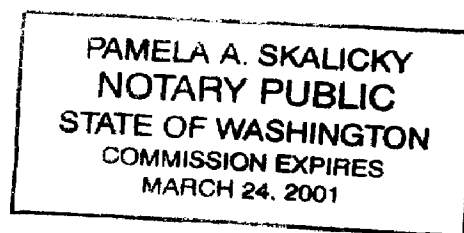
(1) *Wei-Wei Zhuang*
(Inventor's Signature)

State of Washington)
)
County of Clark) ss

On March 30, 1999, before me, Pamela A. Skalicky, personally appeared Wei-Wei Zhuang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature: *Pamela A. Skalicky*
Notary Public



My Commission Expires 3/24/2001

(2) 
(Inventor's Signature)

**PAMELA A. SKALICKY
NOTARY PUBLIC
STATE OF WASHINGTON
COMMISSION EXPIRES
MARCH 24, 2001**

Signature: Patricia A. Skalichy
Notary Public
My Commission Expires 3/27/2001

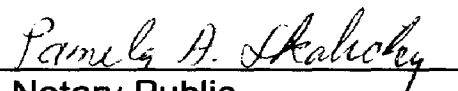
IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee on the date of acknowledgment before the Notary Public as given below.

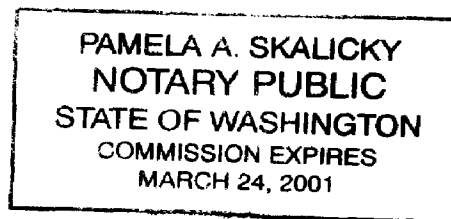
(3) 
(Inventor's Signature)

State of Washington)
)
County of Clark) ss

On March 30, 1999, before me, Pamela A. Skalicky, personally appeared Greg M. Stecker, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature: 
Notary Public
My Commission Expires 3/24/2001



(4) 
(Inventor's Signature)

State of Washington)
)
County of Clark) ss

WITNESS my hand and official seal.

**PAMELA A. SKALICKY
NOTARY PUBLIC
STATE OF WASHINGTON
COMMISSION EXPIRES
MARCH 24, 2001**

Signature: Pamela A. Skalichay
Notary Public
My Commission Expires 3/24/2001

(5) Shanglong Liu
(Inventor's Signature)

**PAMELA A. SKALICKY
NOTARY PUBLIC
STATE OF WASHINGTON
COMMISSION EXPIRES
MARCH 24, 2001**

Signature: Pamela A. Skolich
Notary Public
my Comm. Exp. 03/24/2021
