

04-13-1999

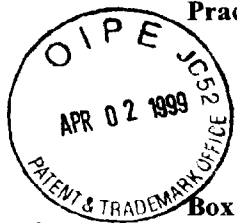


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Practitioner's Docket No. U 012099-9

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments
Commissioner of Patents and Trademarks
Washington, D.C. 20231

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

- with sufficient postage as first class mail.
- as "Express Mail Post Office to Addressee" Mailing Label No. _____ (**mandatory**)

TRANSMISSION

- transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: March 29, 1999

WILLIAM R. EVANS

(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

04/12/1999 MCDATES 00000145 09243290

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(40.00 DP)

(Assignment (Document) Cover Sheet—page 1 of 6)

PATENT
REEL: 9872 FRAME: 0081



**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL**
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

NOTE: See 37 C.F.R. §3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:
National application: SN: 09/243,290 filed on February 2, 1999
Provisional application: filed on
International application: PCT
Patent No: Issued:

(complete if applicable) which was previously assigned and recorded

Date _____ Reel _____
Frame _____

NOTE: If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. § 3.21.

(also complete the following, if applicable)

[] and also for the applications and/or patents
shown on the attached list of FURTHER
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added _____

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE**

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

2. A. The total number of applications and/or patents identified in this cover sheet is 1
B. The total fee is (37 C.F.R. § 1.21(h)):

1 x \$40.00 = \$ 40.00
Total number of applications
and/or patents

(Assignment (Document) Cover Sheet—page 2 of 6)

- C. Payment of fee is made by:
[X] the attached check for \$40.00.
[] Please charge Account _____
the sum of \$ _____.
A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

NAME OF PARTY(IES) CONVEYING INTEREST

(37 C.F.R. § 3.31(a)(1))

NOTE "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.

3. The party(ies) conveying interest is (are):

Name 1: Ari HAAPAKOSKI

Name 2:

Name 3:

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31 (a)(2))

4. The rights are being conveyed to:

Name: ADC SOLITRA OY
Address: TARJUSOJANTIE 10.
FIN-90440 KEMPELE, FINLAND

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))**

5. The accompanying document intends to accomplish:

- [X] an assignment.
[] a security agreement.
[] a merger.
[] a license.
[] a change of name.
[] a change of address.
[] other:

(Assignment (Document) Cover Sheet—page 3 of 6)

PATENT
REEL: 9872 FRAME: 0083

**NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: WILLIAM R. EVANS
Address: c/o LADAS & PARRY
26 WEST 61st STREET
Telephone No.: () NEW YORK, N.Y. 10023
Reg. No. 25,858 (212) 708-1945

**DATE ASSIGNMENT (DOCUMENT) EXECUTED
(37 C.F.R. § 3.31(a)(7))**

7. A. The attached assignment (document) was executed on March 11, 1999.
Date

NOTE: If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.

B. The attached assignment was executed by Ari HAAPAKOSKI
Name
on March 11, 1999 .
Date

The attached assignment was executed by _____
Name
on _____ .
Date

The attached assignment was executed by _____
Name
on _____ .
Date

The attached assignment was executed by _____
Name
on _____ .
Date

The attached assignment was executed by _____
Name
on _____ .
Date

The attached assignment was executed by _____
Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.

8. The attached document:

is in the English language.

is not in the English language and an English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: See 37 C.F.R. § 3.24.

9. Submitted herewith is:

the original document.

a true copy of the original document, which I certify to be a true copy.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

10. Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

11. Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

(Assignment (Document) Cover Sheet—page 5 of 6)

PATENT
REEL: 9872 FRAME: 0085

CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND
SIGNATURE (37 C.F.R. § 3.31(a)(10))**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date: March 29, 1999

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

SIGNATURE OF PRACTITIONER

Reg. No.:



WILLIAM R. EVANS

Tel. No.: ()

(type or print name of practitioner) **EVANS & PARRY**

Customer No.:

26 WEST 61st STREET

P.O. Address **NEW YORK, N.Y. 10023**

Reg. No. 25,858 (212) 708-1945

TOTAL NUMBER OF PAGES BEING SUBMITTED

14. The total number of pages being submitted, **including cover sheet attachment(s), and documents** are:

SEVEN (7)

Total number of pages submitted

ASSIGNMENT

WHEREAS, Ari Haapakoski

Hemminkankaantie 111

FIN-92430 Paavola, Finland

has invented certain new and useful improvements in Filter, method of manufacturing same, and component of a filter shell construction

for which I am about to make application for Letters Patent of the United States of America;

AND WHEREAS, ADC Solitra Oy

Tarjusojantie 10, FIN-90440 Kempele, Finland

desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor from the United States;

NOW THEREFORE, be it known by all whom it may concern, that for and in consideration of

One Dollars (\$ 1.-)

to me in hand paid, the receipt of which is hereby acknowledged I

have assigned, sold, and set over, and by these presents do hereby assign, sell, and set over unto the said

ADC Solitra Oy

* my entire right, title, and interest in and to the said invention, as fully set forth and described in the:

Delete inapplicable wording

(a) specification prepared and executed by on the day of 19

(b) application filed on the 2nd day of February, 1999, Serial No. 09/243,290

preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be

held and enjoyed by the said ADC Solitra Oy

for their own use and behoof, and for their legal representatives, to the full end of the term

for which said Letters Patent are granted, as fully and entirely as the same would have been held by

me had this assignment and sale not been made.

IN TESTIMONY WHEREOF, hereunto set hand and affix seal at

Kempele, Finland this 11 day of March, A.D. 1999

[Handwritten Signature]

(Inventor's full Signature)

ARI HAAPAKOSKI

* State whether the full and exclusive right, or what part of the whole interest is assigned.