

04-14-1999



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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To the Honorable Commissioner c documents or copy thereof.	101010503	the attached original	2 P
1. Name of conveying party(ies):  Kenneth L. Stratton Brian G. Funke Steven R. Genseal Steven R. Kruase Richard J. Skiba Additional Names attached: [] Yes [X]	Name: Intern No Street	nd address of receiving party(ies):  Caterpillar Inc.  al Address: Intellectual Property Department  Address: 100 N.E. Adams Street  Peoria State: Illinois	JCS42 U.S PTO 09/282382
[X] Assignment [] Security Agreement [] Other		61629-6490	
Execution Date(s): 03/31/99		onal name(s) & addresses ed? [] Yes [X] No	
4. Application number(s) or patent numbe	er(s):		
If this document is being filed toget application is <u>03/31/99</u> .	her with a new applicat	ion, the execution date of the	
A. Patent Application No.(s)	В.	Patent No.(s)	
Additi	ional numbers attached?	[ ] Yes [X] No	
Title of Invention: METHOD AND A	APPARATUS FOR A LIMP HOP	ME MODE	
Attorney Docket No.: 99-101			
5. Name and address of party to whom cor dence concerning document should be m		number of applications and patents red [1]	
Name: <u>W. Bryan McPherson III</u> <u>CATERPILLAR INC.</u>	7. Total	fee (37 CFR §3.41) \$ 40.00	
Internal Address: <u>Intellectual Proper</u> Street Address: 100 N. E. Adams Stree	ty Dept. [ ] E	nclosed uthorized to be charged to deposit account	
City: <u>Peoria</u> State: <u>IL</u> Zip: <u>61629-6490</u>	8. Deposi	t account number: <u>03-1129</u> arged duplicate copy attached)	
9. Statement and signature:			
To the best of my knowledge and belie attached copy is a true copy of the o		mation is true and correct and any	
W. Bryan McPherson III  Name of Person Signing  Total number of pages comprising company	Signature stackments		-
Mail document to be recorded with requ			
Commiss 01999 Jahabazz 00000034 031129 09282382	sioner of Patents and T Box Assignments Washington, D.C. 2023	rademarks	
C:581 40.00 CH			

## ASSIGNMENT

WHEREAS, KENNETH L. STRATTON; BRIAN G. FUNKE; STEVEN R. GENSEAL; STEVEN R. KRUASE; and RICHARD J. SKIBA, residing respectively at Dunlap, Illinois; Peoria, Illinois; Chillicothe, Illinois; Chillicothe, Illinois; and Peoria, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

## METHOD AND APPARATUS FOR A LIMP HOME MODE

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

March 31, 1999	,March 31, 1999	
March 31, 1999		, and
March 31, 1999	; and	····

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

- 1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

KENNETH L. STRATTON	3-31-99 Date
IN WITNESS OF the execution hereof by <u>KE</u> set our hands.	NNETH L. STRATTON we have hereunto
Our cer us 11 03-31-99 Witness (1) (Date)	In Knully 3-3/-11 Witness (2) (Date)
Address: 1395 Exeter Court	Address: ZZIS w WILL: w KNOWLS Pq
Tremont Illinois 61568	MTZ13B
	PEONIA 16 6/614
Buan J. Eurke	3/31/99 Date
IN WITNESS OF the execution hereof by BR our hands.	IAN G. FUNKE we have hereunto set
Witness (1) (Date)	Mitness (2) (Date)
Address: 1385 Exeter Court	Address: 2215 w willow Knills BA
Tremont, Illinois 6156	APT ZIT B
	PEONIA 16 6/614
Steven R. GENSEAL	3/31/99 Date
IN WITNESS OF the execution hereof by STO our hands.	EVEN R. GENSEAL we have hereunto set
un- vec us les 3/3/99 Witness (1) (Date)	Witness (2) (Date)
Address: 1395 Greter Count	Address: 2215 W WILLOW KNOLLS PA
Tremont, Illinois 61568	APT ZIS B
<b>-</b>	Mercia V 11/14

STEVEN R. KRUASE	3-31-99 Date
IN WITNESS OF the execution hereof by $\underline{\text{STE}}$ our hands.	VEN R. KRUASE we have hereunto set
Randy A Harlow 3/31/99 Witness (1) (Date)	(Date)
Address: //607 W Jubice	Address: 908 SYNBURST W
COLLEGE Rd	WASHINGTON IC G1571
BRIMFIELD, IL 61517	
RICHARD J. SKIBA	3/31/99 Date
IN WITNESS OF the execution hereof by $\overline{\text{RIC}}$ our hands.	HARD J. SKIBA we have hereunto set
Thomas . Kell 3/31/99 Witness (1) (Date)	Jetashee Shah 3/31/99 Witness (2) (Date)
Address: 6503 N. Camelot Rd.	Address: 6516 N. University #1302
<u>Peoria</u> , 1L 61615	Puona, 1 61614

**RECORDED: 03/31/1999**