

06-21-1999

FORM PTO-1595



HEET

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

101040356

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Focal Point Lighting

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of conveyance:

3-29-99

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ Other

Execution Date: September 2, 1998

2. Name and address of receiving party(ies):

Name:

Focal Point, L.L.C.

Internal Address:

Street Address: 12500 Lombard Lane

City: Alsip State: IL Zip: 60658

Additional name(s) &amp; address(es) attached?

☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s):  
(include series code or filing date)

B. Patent No.(s):

D397,819

Additional application or patent numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Banner &amp; Witcoff, Ltd.

Address: 10 S. Wacker Drive, Suite 3000

City: Chicago

State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$ 40.00

☒ Enclosed ☐ Authorized to charge Deposit Account 01-0850

8. Should any fee adjustment be necessary to effect proper recordation, please debit or credit our Deposit Account No. 01-0850, as necessary.

04/05/1999 JSHABAZZ 00000023 D397819

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NO SPEC  
FILE

J. Pieter Van Es, Reg. No. 37,746

Name of Person Signing

Signature

February 1, 1999

Date

Atty. Docket No.: 97,087

Total number of pages including cover sheet, attachments and document: 4

PATENT  
REEL: 9875 FRAME: 0484

09-15-1998

97,087  
11687-04938U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents

100831708

Attached original documents or copy thereof.

## 1. Name of conveying party(ies)

Focal Point Lighting

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 2, 1998

## 2. Name and address of receiving party(ies):

Name: Focal Point, Ltd.

Internal Address: \_\_\_\_\_

Street Address: 12500 Lombard LaneCity: AlsipState: IL ZIP: 60650Additional name(s) & address(es) attached? ☐ Yes ☒ NoA domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).

B. Patent No(s). D395,819Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Pieter van EsBANNER & WITCOFF, LTD.Address: ~~BANNER & WITCOFF, LTD.~~  
10 South Wacker Drive  
Suite 3000  
Chicago, Illinois 60606

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit Account No. 01-0850

(Attach duplicate copy of this page if paying by deposit account.)

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## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Pieter van Es  
Name of Person SigningJ. Pieter van Es  
SignatureSeptember 4, 1998  
DateTotal number of pages comprising cover sheet: 3

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Mail documents to be recorded with required cover sheet information to:

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PATENT  
REEL: 9875 FRAME: 0485

## ASSIGNMENT

Case No.: **97,087**  
Inventor: **Peter F. Thornton, Jr.**  
Date of Execution  
of Application: **April 24, 1997**

Patent No. **D397,819**  
Serial No.: **29/072,885**  
Filing Date: **April 24, 1997**  
Issued: **September 1, 1998**

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

**FOCAL POINT, L.L.C, a limited liability corporation of the State of Delaware**

its successors and assigns, the entire right, title and interest, if any, in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

### **FLUORESCENT LIGHTING FIXTURE**

and identified as:

**Case No. 97,087**

in the offices of Banner & Witcoff, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 2<sup>ND</sup> day of SEPTEMBER 1998

Peter F. Thornton, Jr.  
Peter F. Thornton, Jr.

State of Illinois  
County of DUPAGE

The foregoing instrument was acknowledged before me this 2 day of  
SEPTEMBER, 1998 by PETER F. Thornton JR

Margaret M. Thornton  
NOTARY PUBLIC

\* \* \*

WITNESS my hand and seal this 2<sup>nd</sup> day of September, 1998.



By: Chris Thornton

Chris Thornton  
President of former Focal Point Lighting

State of Illinois  
County of DUPAGE

The foregoing instrument was acknowledged before me this 2 day of  
SEPTEMBER 1998 by CHRIS THORNTON

Margaret M. Thornton  
NOTARY PUBLIC

