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Patent and Trademark Office



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101010284

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
DAVID CHENG HU
ALEXANDER ALEKSEY GREENFIELD
THOMAS JOSEPH CAGGIANO
CRAIG EUGENE CAUFIELD

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: **AMERICAN HOME PRODUCTS CORPORATION**

Internal Address: _____

Street Address: _____

FIVE GIRALDA FARMS

City: **MADISON** State: **NJ** ZIP: **07940**

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: **MAY 19, & JUNE 18, 1998**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/103,445

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **R.W. ALICE-AMERICAN HOME PRODUCTS**

Internal Address: _____

04/14/1999 JSHADAZZ 00000186 011425 09103445

01 FC:581 40.00 CH

Street Address: **ONE CAMPUS DRIVE**

City: **PARSIPPANY** State: **NJ** ZIP: **07054**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

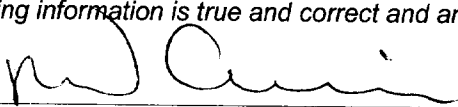
8. Deposit account number:

AMERICAN HOME PRODUCTS-01-1425

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R.W. ALICE  **3/30/99**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **10**

PATENT

SERIAL NO. 09/103, 445
FILED June 24, 1998

ASSIGNMENT

WHEREAS, we:

- (1) David Cheng Hu
- (2) Alexander Aleksey Greenfield
- (3) Thomas Joseph Caggiano
- (4) Craig Eugene Caufield

residing, respectively, at:

	<u>TOWN OR CITY:</u>	<u>IN THE COUNTY OF:</u>	<u>AND IN THE STATE OF:</u>
(1)	Foster City	San Mateo	California
(2)	Princeton Junction	Mercer	New Jersey
(3)	Morrisville	Bucks	Pennsylvania
(4)	Princeton Junction	Mercer	New Jersey

have invented certain new and useful Improvements in

ALKYLATED RAPAMYCIN DERIVATIVES

for which we have, respectively, on:

- (1) the day of , 1998,
- (2) the 19th day of May , 1998,
- (3) the 19th day of May , 1998,
- (4) the 19th day of May , 1998

executed an application for Letters Patent of the United States, the date of filing and serial number of said application, when known to be inserted above; and

WHEREAS, AMERICAN HOME PRODUCTS CORPORATION, a corporation duly organized and existing under the laws of the State of Delaware, and having a place of business at Five Giralda Farms, Madison, New Jersey 07940-0874, hereinafter called **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in and to said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any United States or foreign Letters Patent that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country:

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) in hand paid to each of us, and other good and valuable considerations, the receipt of which is hereby acknowledged, we by these presents, have sold, assigned and transferred, and do hereby sell, assign and transfer, to ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to the said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any Letters Patent of the United States and foreign countries (including all divisions, reissues, continuations and extensions thereof) that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country, and the right to apply for Letters Patent and such other forms of protection of industrial property as may be provided by any country, with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty or by international convention, together with the right to extend the protection of said United States Letters Patent to territorial possessions now owned or which may hereafter be acquired by the United States of America, for the full term for which said Letters Patent or said other forms of protection may be granted;

And we covenant and agree with ASSIGNEE that we have a full and unencumbered title to the invention hereby assigned, which title we warrant to ASSIGNEE;

And we further agree that we will, at ASSIGNEE's request, without demanding further consideration therefor but entirely at ASSIGNEE's expense, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States and foreign Letters Patent or said other forms of protection covering the invention hereby assigned, and for maintaining and perfecting ASSIGNEE's right to said invention, Letters Patent and other forms of protection, particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we have respectively, hereunto set our hands and seals:

- (1) this day of , 1998,
- (2) this 19th day of May , 1998,
- (3) this 19th day of May , 1998,
- (4) the 19th day of May , 1998

(1) _____ (L.S.)
David Cheng Hu

WITNESS AS TO (1):

STATE OF _____)

SS:

COUNTY OF _____)

On this _____ day of _____, 1998, before me personally appeared:

(1) David Cheng Hu

to me known and known to me to be the individuals described in and who executed the foregoing instrument, and they severally acknowledged to me that they executed the same.

Notary Public

(2) Alexander Aleksey Greenfield (L.S.)
Alexander Aleksey Greenfield

WITNESS AS TO (2):

Karen C. Saba

Cheryl Delfino

(3) Thomas Joseph Caggiano (L.S.)
Thomas Joseph Caggiano

WITNESS AS TO (3):

Karen C. Saba

Cheryl Delfino

(4) Craig Eugene Catfield (L.S.)
Craig Eugene Catfield

WITNESS AS TO (4):

Karen C. Saba

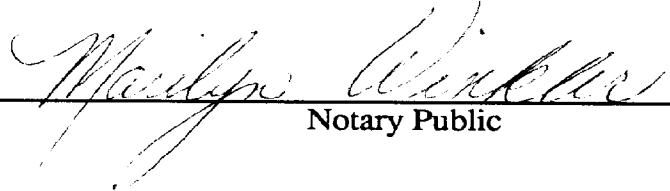
Cheryl Delfino

STATE OF New Jersey
COUNTY OF Middlesex SS:

On this 20th day of May, 1998, before me personally appeared:

- (2) Alexander Aleksey Greenfield
- (3) Thomas Joseph Caggiano
- (4) Craig Eugene Caufield

to me known and known to me to be the individuals described in and who executed the foregoing instrument, and they severally acknowledged to me that they executed the same.



Notary Public

MARILYN WINKLER
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 1/2/2002

SERIAL NO. 09/103,445
FILED June 24, 1998

ASSIGNMENT

WHEREAS, we:

- (1) David Cheng Hu
- (2) Alexander Aleksey Greenfield
- (3) Thomas Joseph Caggiano
- (4) Craig Eugene Caufield

residing, respectively, at:

<u>TOWN OR CITY:</u>	<u>IN THE COUNTY OF:</u>	<u>AND IN THE STATE OF:</u>
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- | | | |
|------------------------|-----------|--------------|
| (1) Foster City | San Mateo | California |
| (2) Princeton Junction | Mercer | New Jersey |
| (3) Morrisville | Bucks | Pennsylvania |
| (4) Princeton Junction | Mercer | New Jersey |

have invented certain new and useful Improvements in

ALKYLATED RAPAMYCIN DERIVATIVES

for which we have, respectively, on:

- (1) the 18th day of June, 1998,
- (2) the day of , 1998,
- (3) the day of , 1998,
- (4) the day of , 1998

executed an application for Letters Patent of the United States, the date of filing and serial number of said application, when known to be inserted above; and

WHEREAS, AMERICAN HOME PRODUCTS CORPORATION, a corporation duly organized and existing under the laws of the State of Delaware, and having a place of business at Five Giralda Farms, Madison, New Jersey 07940-0874, hereinafter called **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in and to said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any United States or foreign Letters Patent that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country:

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) in hand paid to each of us, and other good and valuable considerations, the receipt of which is hereby acknowledged, we by these presents, have sold, assigned and transferred, and do hereby sell, assign and transfer, to ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to the said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any Letters Patent of the United States and foreign countries (including all divisions, reissues, continuations and extensions thereof) that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country, and the right to apply for Letters Patent and such other forms of protection of industrial property as may be provided by any country, with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty or by international convention, together with the right to extend the protection of said United States Letters Patent to territorial possessions now owned or which may hereafter be acquired by the United States of America, for the full term for which said Letters Patent or said other forms of protection may be granted;

And we covenant and agree with ASSIGNEE that we have a full and unencumbered title to the invention hereby assigned, which title we warrant to ASSIGNEE;

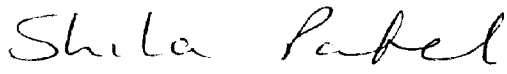
And we further agree that we will, at ASSIGNEE's request, without demanding further consideration therefor but entirely at ASSIGNEE's expense, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States and foreign Letters Patent or said other forms of protection covering the invention hereby assigned, and for maintaining and perfecting ASSIGNEE's right to said invention, Letters Patent and other forms of protection, particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we have respectively, hereunto set our hands and seals:

- (1) this *18th* day of *June*, 1998,
- (2) this day of , 1998,
- (3) this day of , 1998,
- (4) the day of , 1998


David Cheng Hu (L.S.)

WITNESS AS TO (1):



(2) _____ (L.S.)
Alexander Aleksey Greenfield

WITNESS AS TO (2):

(3) _____ (L.S.)
Thomas Joseph Caggiano

WITNESS AS TO (3):

(4) _____ (L.S.)
Craig Eugene Caufield

WITNESS AS TO (4):

