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Attorney Docket No. UCB 97-081-4

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1. Name of conveying party(ies):

Jenny Rooke, Tian Xu and Reza Yavari

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: _____

Execution Date: January 15, 1998

2. Name and address of receiving party(ies):

Name: Yale University

Address: 333 Cedar Street

New Haven, CT 06520

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): Filed herewith

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Filed herewith

09/285,502

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.

Address: Science & Technology Law Group

75 Denise Drive

Hillsborough, CA 94010

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

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PATENT
REEL: 9882 FRAME: 0718

ASSIGNMENTU.C. Case No. B97-081-1

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR(S),

1. Jenny Rooke
2. Tian Xu
3. Reza Yavari

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, Yale University, having its statewide administrative offices located at 333 Cedar Street, New Haven, CT 06520, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

KUZ, A Novel Family of Metalloproteases

and which is found in

- (a) U.S. patent application executed on entitled as above and listing the above named person(s) as inventor(s), and attached hereto.
- (b) X U.S. patent application serial no. 08/937,931, filed on August 27, 1997
- (c) U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Yale University.

executed this

15 day of Jan, 1998

15 day of Jan, 1998

15 day of Jan, 1998

Signature of Inventor(s)


Jenny Rooke


Tian Xu


Reza Yavari