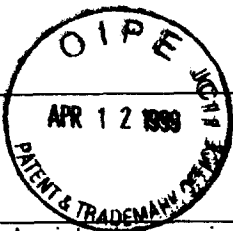


Form PTO1595
1-31-92



04-16-1999

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To the Honorable Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Quanxi Jia, Alp T. Findikoglu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 04/02/99 and 04/06/99

2. Name and address of receiving party(ies):

Name: The Regents of the University of California
Los Alamos National Laboratory

Address: LC/BPL, MS D412
P.O. Box 1663

Los Alamos, NM 87545

Additional name(s) address(es) attached

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: S-89,657

A. Patent Application No.(s)

S.N. 09/141,502

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce H. Cottrell
Address: Los Alamos National Laboratory
LC/BPL, MS D412
P.O. Box 1663
Los Alamos, NM 87545

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):

\$ 40.00

☐ Enclosed

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04/16/1999 JSWABAZZ 00000031 122150 09141502

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce H. Cottrell

Bruce H. Cottrell

04/07/99

Name of person signing

Signature

Date

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Washington, DC 20231

PATENT
REEL: 9884 FRAME: 0028

ASSIGNMENT

DOE S- 89,657

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Quanxi Jia 2. Alp T. Findikoglu 3. _____
4. _____ 5. _____ 6. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 21st Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention titled:

FORMATION OF NONLINEAR DIELECTRIC FILMS FOR ELECTRICALLY TUNABLE MICROWAVE DEVICES

and which is found in

- (a) _____ U.S. patent application executed on _____, entitled as above and listing the _____ above-named person(s) as inventor(s)
(b) X U.S. application Serial No. 09/141,502, filed on 08/29/98
(c) _____ U.S. Patent No. _____, issued _____
(d) _____ U.S. provisional application filed on _____, entitled as above and listing the above-named person(s) as inventor(s)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California

executed this

2nd day of April 1999

6th day of April 1999

Signature of Inventor(s)

Quanxi Jia

Alp T. Findikoglu

FINDIKOGLU