

04-19-1999



HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Atty Ref/Docket No.: 483.008US1

101013417

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alan P. Kozikowski, Darryl Hugh Steensma, Werner Tueckmantel and
Gian Luca Araldi

2. Name and address of receiving party(ies):

Name: Georgetown University

Street Address: 37th and O Street, NW

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[X] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Other

City: Washington State: DC ZIP: 20057

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: September 8, 1998, October 7, 1998, September 8, 1998,
October 15, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/118,042, filed July 17, 1998

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Robert J. Harris, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

[X] Enclosed

[] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our
Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris, Ph.D./Reg. No. 37,346
Name of Person Signing

Signature

30 March 1999
Date

Total number of pages including cover sheet: 6

OMB No. 0651-0011 (exp. 4/94)

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Washington, D.C. 20231

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PATENT

REEL: 9885 FRAME: 0864

ASSIGNMENT

WHEREAS, WE, Alan P. Kozikowski, residing at 45 Cameron Ct., Princeton, NJ 08540, and Darryl Hugh Steensma, residing at 330 East 84th Street, New York, NY 10028, and Werner Tueckmantel, residing at 2500 Wisconsin Ave. NW 15, Apt. 945, Washington, DC 20007, and Gian Luca Araldi, residing at 3609 - 38th Street N.W., Apt. 403, Washington, DC 20016, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on July 17, 1998, which application was assigned U.S. patent application serial number 09/118,042, and is entitled BICYCLIC METABOTROPIC GLUTAMATE RECEPTOR LIGANDS.

AND WHEREAS, Georgetown University, a corporation organized and existing under and by virtue of the laws of the State of District of Columbia, and having an office and place of business at 37th and O Street, NW, Washington, DC 20057, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 8 day of Sept, 1998.

Alan P. Kozikowski
Alan P. Kozikowski

STATE OF Washington, D.C.
COUNTY OF Ward 2

On this 8th day of September, 1998 before me personally appeared Alan P. Kozikowski

to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Jessie Buckingham
Notary Public
Commission Expires 9/30/99

IN TESTIMONY WHEREOF, I have hereunto set my hand this 7 day of October, 1998.

Darryl H Steensma
Darryl Hugh Steensma

STATE OF NY)
)ss.
COUNTY OF NY)

On this 7 day of October, 1998 before me personally appeared Darryl Hugh Steensma to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

WALTER C. HANCHUK
NOTARY PUBLIC, State of New York
No. 91-5012977
Qualified in New York County
Commission Expires June 15, 1999

[Signature]
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 8th day of September, 1998.

Werner Tueckmantel
Werner Tueckmantel

STATE OF Washington, D.C.)
)ss.
COUNTY OF Nada) 2

On this 8th day of September, 1998 before me personally appeared Werner Tueckmantel to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

[Signature]
Notary Public

commission expires
9/30/99

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____, 1998.

Gian Luca Araldi

STATE OF _____)
)ss.
COUNTY OF _____)

See Attached

On this ____ day of _____, 1998 before me personally appeared Gian Luca Araldi to

me known and known to me to be the person described in and who executed the foregoing instrument,
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein
set forth.

[SEAL]

Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

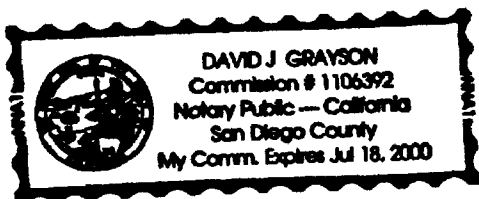
State of California

County of San Diego

On Oct. 15, 1998 before me, **DAVID J. GRAYSON, NOTARY PUBLIC**

personally appeared Gian Luca Araldi

☐ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

David J. Grayson
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: None Number of Pages: Four

Signer(s) Other Than Named Above: Three others

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here