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| | TO THE ASSISTANT O SIR: PLEASE RECORD | | | 1010 | 16620 NIS OR COPY | THERE | OF. | |
| | 1. NAME OF CONVEYI 1. Klaus WESSEL 3. Heinz ULRICH 5. Lester PACKER 7. Hans BISSWANGER ADDITIONAL NAME(S) | ł | ` , , , | . ,, | 2. Harold BO 4. Helmut HE 6. Amira KLII 8. ED? YES | TTCHE | | 1c530 U.S. PTC 09/285460 |
| | 2. PARTY(IES) (ASSIG | | | INTEREST: | | | | |
| ر و | NAME: ASTA Medica A | ktienges | ellschaft | | | | | |
| 3 | ADDRESS: An der Pika | ırdie 10, | 01277 Dresd | len, GERMANY | | | | |
| 7 | ADDITIONAL NAME(S) | & ADD | RESS(ES) AT | TACHED? TYES | MNO | | | |
| Ö | 3. NATURE OF CONV | EYANCE | (DOCUME | VT): | | | | |
| 6 | (Submit herewith on document) | ly one do | ocument for r | ecordation—multiple | copies of sam | e Assign | ment signed by different | ent inventors is one |
| | SASSIGNMENT C | F | ⊠whole | ☐PART INTE | REST | EXEC. | DATE: 1) March 23, | 1995; 2) March 29. |
|) | _ | | _ | _ | | 1995; 3 | 3) April 4, 1995; 4) Apr | il 18, 1995; 5) March |
| _ | ☐CHANGE OF NA | ME | □VERIFIE | D TRANSLATION | | 28, 199 | 95; 6) March 28, 1995; | 7) April 3, 1995 |
| | SECURITY | | MERGE | | | | | |
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| | 4.5 APPL. NO.(S) OR F | | | S ON ADDITIONAL | SHEET(S) attac | ched? | YES NO | |
| | A. PAT. APP. NO.(S) series code/serial no | M# | | 1* INVENTOR If not in item 1 | B. PATENT I | | M# | 1st INVENTOR if not in item 1 |
| | New Divisional Application | 256899 |) | | | | | |
| Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed: | | | | | 6. NUMBER INVOLVED: APPLNS <u>1</u> + PATS = TOTAL <u>1</u> | | | |
| Pillsbury Madison & Sutro LLP | | | | 7. AMOUNT OF FEE ENCLOSED: (Code 581) | | | 581) | |
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9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

> cover sheet, attachments and document (do not file dup. Cover sheet)

10. Total number of pages including this 9

Attorney: Kevin E. Joyce

Reg. No. 20508 TEL: (202) 861-3050 Atty/Sec: KEJ/rah

Date: April 2, 1999

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PAT-114X 3/98

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Ninth Floor, East Tower

1100 New York Avenue, N.W. Washington, D.C. 20005-3918

Name: Klaus WESSEL

Name: Harold BORBE

For Inventions made outside USA executed with or after application / 93230PH Atty. Dkt. 217272

M#

/ Client Ref.

USA Patent Appln. Sole or Joint

ASSIGNMENT

| | For good and valuable consideration, the reare hereby acknowledged, undersigned, to with | · · · · · · · · · · · · · · · · · · · |
|---|---|--|
| | (1) Klaus WESSEL | (4) Helmut HETTCHE |
| Insert Name(s) of inventors | (2) Harold BORBE | (5) Lester PACKER |
| page 2 | (3) Heinz ULRICH | [x] x box if continued on |
| | hereby sell(s), assign(s) and transfer(s) unto: ASTA Medica Aktiengesellschaft | ∵ * |
| Insert Name(s) of Assignee(s) and Address(es) | An der Pikardie 10, 01277 Dresden Germany | |
| (hereinafter de | esignated "ASSIGNEE") the entire right, title and in the United States of America as defined in 35 l | |
| Title of Invention | and all applications including any and all division and reissues thereof; and all resulting patents, USE OF R-(+)-α-LIPOIC ACID, R-(-)DIHYDROL METABOLITES IN THE FORM OF THE FREE AOR AMIDES FOR THE PREPARATION OF DRUOF DIABETES MELLITUS AS WELL AS OF ITS | ons, continuations, substitutes, known as <u>IPOIC ACID AND</u> ACID OR SALTS OR ESTERS JGS FOR THE TREATMENT |
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| <u>INVENTOR(S</u> | · | WITNESS(ES) |

PATENT CDC-114 8/94 **REEL: 9887 FRAME: 0089**

Dr. Locher

Assignment

(Continued from page 1)

Listing of additional inventors

| (6) Amira KLIP | (11) |
|---------------------|------|
| (7) Hans BISSWANGER | (12) |
| (8) | (13) |
| (9) | (14) |
| (10) | (15) |

Signatures of additional inventors/witnesses/dates signed

| Name: Heinz ULRICH Name: Helmut Feblure Name: Helmut HETTCHE | HATE SIGNED HANC, 4.95 4/18/95 | A Rach (Rach) |
|--|--------------------------------------|---------------|
| 5) Name: Lester PACKER | | |
| 6) Name: Amira KLIP | | |
| 7) Name: Hans BISSWANGER | | |
| 8) Name: | | |
| 9) Name: | | |
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| are hereby acknowledged. | undersigned. | to wit: | | |

| | are hereby acknowledged, undersigned, to | • |
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| | (1) Klaus WESSEL | (4) Helmut HETTCHE |
| Insert Name(s) of inventors | (2) Harold BORBE | (5) Lester PACKER |
| page 2 | (3) Heinz ULRICH | [x] x box if continued on |
| , 0 | hereby sell(s), assign(s) and transfer(s) ur ASTA Medica Aktiengesellschaft | nto: |
| Insert Name(s) | An der Pikardie 10. | |
| of Assignee(s) | 01277 Dresden | |
| and Address (es) | Germany | |
| (hereinafter des Title of Invention | ignated "ASSIGNEE") the entire right, title at the United States of America as defined in and all applications including any and all and reissues thereof; and all resulting pate USE OF R-(+)-α-LIPOIC ACID, R-(-)DIHY! METABOLITES IN THE FORM OF THE FROR AMIDES FOR THE PREPARATION OF OF DIABETES MELLITUS AS WELL AS O | n 35 U.S.C. 100, in the invention divisions, continuations, substitutes, ents, known as DROLIPOIC ACID AND REE ACID OR SALTS OR ESTERS DRUGS FOR THE TREATMENT |
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| <u>INVENTOR(S)</u> | <u>DATE SIGNED</u> | <u>WITNESS(ES)</u> |
| 1) | 71 | |
| | | |
| 2) | | |
| Name: Harold BORE | BE | |

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<u>Assignment</u>

(Continued from page 1)

Listing of additional inventors

| (6) Amira KLIP | (11) |
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| (7) Hans BISSWANGER | (12) |
| (8) | (13) |
| (9) | (14) |
| (10) | (15) |

Signatures of additional inventors/witnesses/dates signed

| <u>INVENTOR(S)</u> | DATE SIGNED | <u>WITNESS(ES)</u> |
|-------------------------------|----------------|--------------------|
| 3) | | |
| 4) | · | |
| Name: Helmu HETTCHE 5) A Tal | March 25, 1995 | amstarle |
| Name: Lester PACKER | 9, , , , | |
| 6)Name: Amira KLIP | | ч |
| 7) | | |
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| | (1) Klaus WESSEL | (4) Helmut HETTCHE |
|--|--|---|
| Insert Name(s) of inventors | (2) Harold BORBE | (5) Lester PACKER |
| page 2 | (3) Heinz ULRICH | [x] x box if continued on |
| | hereby sell(s), assign(s) and transfer(s) unto: | |
| | ASTA Medica Aktiengesellschaft | |
| Insert Name(s) | An der Pikardie 10, | |
| of Assignee(s) | 01277 Dresden | |
| and Address(es) | Germany | |
| (hereinafter des | ignated "ASSIGNEE") the entire right, title and in the United States of America as defined in 35 to and all applications including any and all division and reissues thereof; and all resulting patents, USE OF R-(+)-α-LIPOIC ACID, R-(-)DIHYDROL | J.S.C. 100, in the invention ons, continuations, substitutes, known as |
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| INVENTOR(S) | DATE SIGNED | <u>WITNESS(ES)</u> |
| l) | | |
| Name: Klaus WESSE | L | |
| 2) | | |
| Name: Harold BORB | E | |

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<u>Assignment</u>

(Continued from page 1)

Listing of additional inventors

| (6) Amira KLIP | (11) |
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| (8) | (13) |
| (9) | (14) |
| (10) | (15) |

Signatures of additional inventors/witnesses/dates signed

| INVENTOR(S) | DATE SIGNED | <u>WITNESS(ES)</u> |
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| Name: Heinz ULRICH | | |
| 4) | | |
| Name: Helmut HETTCHE | | |
| 5) | | |
| Name: Lester PACKER | | A CONTRACTOR OF THE PROPERTY O |
| 6) antho | March 28, 1995 | Sont Main 28 18 |
| Name: Amira KLIP | | |
| 7) | | |
| Name: Hans BISSWANGER | | |
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| | (1) Klaus WESSEL | (4) Helmut HETTCHE |
| Insert Name(s) of inventors | (2) Harold BORBE | (5) Lester PACKER |
| page 2 | (3) Heinz ULRICH | [x] x box if continued on |
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| and Address(es) | Germany | |
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| (hereinafter des | ignated "ASSIGNEE") the entire right, title and in the United States of America as defined in 35 to and all applications including any and all division | J.S.C. 100, in the invention |
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| | METABOLITES IN THE FORM OF THE FREE A | |
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| INVENTOR(S) | <u>DATE SIGNED</u> | <u>WITNESS(ES)</u> |
| Name: Klaus WESSE | <u></u> | |
| 2) | | |
| Name: Harold BORB | $^{\prime}E$ | |

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Listing of additional inventors

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| (7) Hans BISSWANGER | (12) |
| (8) | (13) |
| (9) | (14) |
| (10) | (15) |

Signatures of additional inventors/witnesses/dates signed

| INVENTOR(S) | DATE SIGNED | <u>WITNESS(ES)</u> |
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| Name: Helmut HETTCHE | | |
| 5) | | |
| Name: Lester PACKER | | |
| 6) | | |
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| 7) Name: Hand BISSWANGER | 3 April 95 | Ursile Clool |
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| 10) | | |
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