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Date: [April 9, 1955

DOCKET NO. PST-001P1C1.US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)

IN RE APPLICATION OF

Pamela R. Contag, et al.

EXAMINER:

Unknown

SERIAL NO.

09/233,698

ART UNIT:

1616

FILED:

January 19, 1999

For:

Non-Invasive Localization of

a Light-Emitting Conjugate

in a Mammal

ATTENTION:

Assignment Branch

## Transmittal for Recordation of Assignment

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Attached please find an assignment document for recordal.

The party/parties conveying this interest is/are:

Name 1:

Christopher H. Contag

Name 2: Name 3:

Pamela R. Contag David A. Benaron

The rights are being conveyed to:

Name:

Address:

Board of Trustees οf the Leland

Stanford Junior University 900 Welch Road, Suite 350

Palo Alto, CA 94304

The accompanying document intends to accomplish:

 $\times$ Assignment

Please address correspondence to:

Name: Address: Charles K. Sholtz

Xenogen Corporation 860 Atlantic Avenue

Alameda, CA 94501

Phone:

(510) 291-6135

The attached assignment was executed on:

Date 2:

April 8, 1999 April 9, 1999

Date 3:

April 5, 1999

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> **PATENT REEL: 9888 FRAME: 0351**

6.	The attached document:			
	X	is in the English language.		
		is not in the English Language a signed by the individual making t	and a verified English translation he translation is attached.	
7.	Submitted herewith is:			
	$\boxtimes$	the original document		
		a true copy of the original docume	ent	
8.	This transmittal sheet identifies only one application.			
9.	Attached is a check for combined fees which includes the sum			
		\$40.00 for recordal of the within	Assignment.	
		Please charge the fee to Deposit copy of this transmittal is provide	Account No. 50-0652. A duplicate ded.	
			etter which bears the original control number assigned by the	
10.	Please return the recorded document to the address shown below.			
11.	To the best of my knowledge and belief, the foregoing informing is true and correct and any attached copy is a true copy of the original document.			
12.	Total number of pages, including cover sheet, attachments and document $\underline{5}$ .			
13.	The Commissioner is authorized to charge any insufficiency in fee (or to credit any overpayment therein) relating to the instant communication to Deposit Account No. 50-0652. A duplicate copy of this Petition is provided.			
		Re	spectfully submitted,	
			ne	
April 9, 1999 Charles K. Sholtz				
<del></del>	··· (		gistration No. 38,615	

Xenogen Corporation 860 Atlantic Avenue Alameda, CA 94501 Tel. (510) 291-6135 Attorney Docket No.: PST-001P1C1.US

## Assignment

THIS Assignment, by <u>Christopher H. Contag</u>, <u>Pamela R. Contag</u>, and <u>David A</u>. <u>Benaron</u> (hereinafter referred to as the Assignors), residing in San Jose, California, San Jose, California, and Portola Valley, California respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful improvements in **NON-INVASIVE LOCALIZATION OF A LIGHT-EMITTING CONJUGATE IN A MAMMAL**, set forth in an application for Letters Patent of the United States, bearing Serial No.: 09/233,698, and filed on January 19, 1999;

WHEREAS, The Board of Trustees of the Leland Stanford Junior University, a body having corporate powers under the laws of the state of California, and having its principal place of business at Stanford, California, 94305 (hereafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transferred and set over, and by these presents do sell, assigns, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoofs of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, it successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby convenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said

PATENT REEL: 9888 FRAME: 0353 Assignee, its successors, legal representatives and assigns, but at the cost and expense of the said Assignee its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issue thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 4/8/99

Christopher H. Contag

Date: 4/9

Pamela R. Contag

Date:

David A. Benaron

PATENT REEL: 9888 FRAME: 0354

WITNESSES TO SIGNATURE BY Christopher H. Contag				
(1)	(Signature)	4/8/99 (date)		
(2)	(Print Name)  188 Rabbit Ct. Street Address  Tremont. CA 9 453 9 City, State & ZIP  (Signature)	4/5/45 (date)		
	Plythe to Are to 3  (Print Name)  2330 California St. Apt 4  Street Address  Montain View CA 94040  City, State & ZIP			
WITNESSES TO SIGNA (1)	ATURE BY Pamela R. Contag	4/4/49		
	Signature)  Douglas T. Kawahara  (Print Name)  860 Ataube Ayewwe  Street Address  Almella, UA 9450/  City, State & ZIP	(date)		
(2)	(Signature)	$\frac{4/9/99}{\text{(date)}}$		
	RO FARREU  860 ARANTIC AVENUE  Street Address  HLAMADA CA 94501  City, State & ZIP			
WITNESS TO SIGNAT (1)	URE BY David A. Begaron	4/6/49		
(2)	(Signature)  LORI BENARCH  (Print Name)  25 SIESTA CT  Street Address  PORTOLA VALLEY DA 94328  Dity, State & 218	(date)		
(2)	(Signature)	(date)		
	(Print Name) 1285 SWAW LAKE #303 Street Address			
	(VILLE, VA 22902. City, State & ZIP			

PATENT REEL: 9888 FRAME: 0355

**RECORDED: 04/09/1999**