SH-12313 Docket No. 04-20-1999 U.S.DEPARTMENT OF COMMERCE FORM PTO-1595 Patent and Trademark Office (Rev 10-96) RECC OMB No. 0651-0011 (exp. 4/94) 101014725 To the Honorable Commissioner of Patents and iginal documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Detlef Hahn; Peter Wollenhaupt; Ernst Heiderich; Georg Name: DBT Deutsche Bergbau-Technik GmbH Marker: Peter Richter; Wolfgang Wald; Reinhold Walter Internal Address:\_\_\_\_ Additional name(s) of conveying party(ies) attached? [ ]Yes [ X]No 3. Nature of conveyance: Street Address: Industriestrabe 1 44534 Lunen GERMANY [X] Assignment [ ] Merger City State [ ] Security Agreement [ ] Change of Name ZIP Additional name(s) & address(es) attached? [ ] Yes [ X] No Other \_\_\_\_\_ Execution Date: 03/25/1999 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 09/236,972 B. Patent No.(s) Additional numbers attached? [ ] Yes [X] No 6. Total number of applications and patents involved: \_\_\_\_1\_ 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee(37 CFR 3.41):.....\$ 40.00 Name: Robert V. Vickers Internal Address: ☑ You are authorized to charge our deposit account for any additional fee required. VICKERS, DANIELS & YOUNG Street Address: 8. Deposit account number: 22-0347 2000 TERMINAL TOWER A duplicate copy of this form is attached CLEVELAND, OHIO 44113-2235 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. ROBERT V. VICKERS Date Name of Person Signing Total number of pages including cover sheet, attachments, and document: [8]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

**Box Assignments** 

Washington, D.C. 20231

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PATENT REEL: 9889 FRAME: 0031

Docket No. 54-12313

## VICKERS, DANIELS & YOUNG 2000 TERMINAL TOWER CLEVELAND, OHIO 44113-2235

#### ASSIGNMENT

creas, Assignors: Detlef Hahr; Peter Wollenhaupt; Ernst Heiderich; Georg Manken; Peter Richter, Wolfgang Wald; Reinhold Walter  ties, Counties and States, respectively of: Lisztstr. 11, 44534 Lunen  2 weissdamung 14, 44533 Lunen  3 Steinbruch 29, 36284 Hohenroda  3 Steinbruch 29, 36284 Hohenroda  4 Hauptstr. 2
3 Steinbruch 29, 36284 Housemluder 48540
$\in (A \cup STA) = SD \cdot SD$
made an invention in DICK SYSTEM FOR MINING PLOUGHS, IN PARTICULAR
LON PLOUGHS

and have executed on 25 March, 1999 & 26 March, 1999, respectively, an application for Letters Patent of the United States on said invention, hereinafter referred to as "said application";

AND, WHEREAS, DET Deutsche Berghalt, a corporation of the state of \_\_\_\_\_\_

having its principal place of business at Industriestrate 1
44534 Lunen Germany

hereinafter called ASSIGNEE, is desirous of acquiring the entire worldwide rights, title and interest in, to and under said invention and said application;

### NOW THEREFORE

For good and valuable consideration, the receipt and sufficiency of which is acknowledged, ASSIGNORS hereby sell, transfer and assign to the ASSIGNEE, its successors, assigns, nominees, or other legal representatives the full, exclusive, entire, worldwide rights, title and interest in, to and under said invention as described and claimed in said application, the same to be held and enjoyed by ASSIGNEE for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, nominees, or other legal representatives, to the end of the term or terms for which said Letters Patent are or may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by ASSIGNORS if this assignment and sale had not been made; all of the entire rights, title and interest in and to said invention and said application, and all original and reissued patents granted for said invention, and all divisions, continuations-in-part and continuations

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d application, including the subject matter of any and all claims which may be obtained in / patent, and the right, where such right can be legally exercised, in ASSIGNEE'S own name pply for and obtain patents in countries foreign to the United States, including the full right to m for any such application the benefits of the International Convention and the Patent operation Treaty as fully and entirely as ASSIGNORS could have done if the foreign application 1 been filed in the names of the ASSIGNORS, and the entire interest in any Letters Patent which ay be granted on any such application in such foreign countries, and ASSIGNORS authorize and equest the Commissioner of Patents of the United States, and any official of any country or ountries foreign to the United States whose duty it is to issue patents on applications as aforesaid, o issue the said Letters Patent to ASSIGNEE, its successors, assigns, nominees or other legal representatives, as ASSIGNEE and owner of the said entire rights, and ASSIGNORS covenant that ASSIGNORS have full right to convey the said entire interest herein assigned and that ASSIGNORS have not executed and will not execute any agreement in conflict herewith, and ASSIGNORS will communicate to ASSIGNEE, its successors, assigns, nominees or other legal representatives all facts known to ASSIGNORS respecting said invention, whenever requested, and testify in any legal proceedings, sign all lawful papers, execute and deliver all divisional, continuing and reissue applications, make all rightful oaths or declarations and do all lawful acts requisite for the application for such divisional, continuing or reissue applications, or the procuring thereof, and that if and when ASSIGNEE, its successors, assigns, nominees, or other legal representatives desire to file a disclaimer relating thereto, ASSIGNORS will, upon request, sign and deliver all lawful papers requisite for the filing of such disclaimer; and,

ASSIGNORS further covenant and agree that ASSIGNORS will, at any time upon request, do everything possible to aid ASSIGNEE, its successors, assigns, nominees, or other legal representatives, either in the name of ASSIGNORS or ASSIGNEE, to apply for, obtain and enforce proper patent protection for said invention in all countries, according to the International Convention and the Patent Cooperation Treaty and all the laws and treaties in force, all without further consideration but at the expense of ASSIGNEE, its successors, assigns, nominees or other legal representatives.

IN WITNESS WHEREOF, this assignment has been executed by the undersigned.

STATE OF Germany )
SS
COUNTY OF Lisztstr. 11 )
44534 Lünen

Subscribed and sworn to before me this 25 day of March 1999

Notary Public

ASSIGNMENT - JOINT INVENTORS Rev 10/96

Page 2

			Peter wollend				
			(Peter Wollenhaupt)				
ATE OF	Germany	) ) SS					
OVINTY OF	Weißdornweg 14 44532 Lünen	) .					
Subscribed and sworn to before me this 25 day of March 1999							
			Notary Public				
			Fred Heide	1			
			(Ernst Heiderich)				
STATE OF	Germany	)					
COUNTY OF	Steinbruch 29 36284 Hohenroda	) SS )					
Subscr	ibed and sworn to bef	ore me this 25	day of March 1999				
			Notary Public				
			Geory Month	<b>W</b>			
			$\mathcal{O}(\text{Georg}^{\mathbf{M}}_{\text{Marker}})$				
STATE OF	Germany	) ) SS					
	Am Bienbach 22 37137 Großenlüder	, )					
Subscr	ibed and sworn to bef	ore me this 25	day of March 1999				
			Notary Public				

			(Peter Richter)					
TE OF UNTY OF	Germany Gustav-Str. 29 45219 Essen	) ) SS )						
Subscribed and sworn to before me this 25 day of March 19 99								
			·					
			Notary Public					
	,		Wallang Dald (Georg Ward) 25.03.39					
STATE OF	Germany	)	Wolfgang gera.					
	Im Schäfersgraber 36196 Rasdorf	-						
Subscr	Subscribed and sworn to before me this 25 day of March 1999							
			Notary Public					
	·		Peneloda Lacus (Reinhold Walter)					
CTATE OF	Company	`	(Refilliord Warter)					
STATE OF COUNTY OF	Germany Hauptstr. 46 98590 Roßdorf	) ) SS )						
Subscribed and sworn to before me this 25 day of March 1999								
			Notary Public					

ASSIGNMENT - JOINT INVENTORS Rev 10/96

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Pelar Diller

# Jrkundenrollen-Nummer: 249/99

Vorstehende, vor mir vollzogene Namensunterschriften der nachstehend näher bezeichneten Herren, nämlich

- a) des Herrn Ernst Heiderich, geb. am 08.09.1955, wohnhaft in 36284 Hohenroda, Steinbruch 29, ausgewiesen durch Personalausweis 4380042575, Gem. Hohenoda,
- b) des Herrn Georg Marker, geb. am 12.05.1935, wohnhaft in 36137 Großenlüder, Am Bienbach 22, ausgewiesen durch den mit Lichtbild versehenen Führerschein Listen-Nr. 2766/83, Landkreis Fulda,
- c) des Herrn Peter Richter, geb. am 06.07.1945, wohnhaft in 45219 Essen, Gustavstraße 29, ausgewiesen durch Personalausweis 4338018818, Gemeinde Wartenberg,
- d) des Herrn Wolfgang Wald, geb. am 31.07.1963, wohnhaft in 36169 Rasdorf, Im Schäfersgraben 19, von Person bekannt,
- e) des Herrn Reinhold Walter, geb. am 21.09.1950, wohnhaft in 98590 Roßdorf, Hauptstraße 46, ausgewiesen durch Personalausweis 9777010883, Landratsamt Schmalkalden-Wernshausen,

werden hiermit beglaubigt, nachdem sie eine Vorbefassung des Notars im Sinne von § 3 Abs. 1 Nr. 7 BeurkG verneint hatten.

Hünfeld, den 25. März 1999

Notar

Müller-Rossbach

PATENT REEL: 9889 FRAME: 0036

## Urkundenrolle Nummer 225 für 1999

Aufgrund der heute vor mir erfolgten Fertigung beglaubige ich hiermit vorstehende Namensunterschrift des mir von Person bekannten Herrn Pete Wollenhaupt, wohnhaft Weißdornweg 14, 44532 Lünen, welcher die Frage n

ver Vorbefassung i. S. § 3 Abs. 1 Nr. 7 BeurkG verneinte.

Lünen, den 03.1999

Notar

Kosten

Geschäftswert: DM 20.0c

Geb. § 45 I KostO

Geb. §§ 137, 152 KostO

Mwst. 16%

25,-- DM

3,-- DM

4,48 DM

32,48 DM

Notar

**PATENT** 

**REEL: 9889 FRAME: 0037** 

Aufgrund der heute vor mir erfolgten Fertigung beglaubige ich hiermit die vorstehende Namensunterschrift des mir von Person bekannten Herrn Detlef Hahn, wohnhaft Lisztstraße 11, 44534 Lünen, welcher die Frage nach einer Vorbefassung i. S. § 3 Abs. 1 Nr. 7 BeurkG verneinte.

Lünen, den 29.03.1999

Kosten

Geschäftswert: DM 20.000,--

Geb. § 45 I KostO

Mwst. 16%

Notar

11/2

Notar

25,-- DM

4,-- DM

29,-- DM

PATENT REEL: 9889 FRAME: 0038