

4.7.99
m2w

04-21-1999

Docket No.: CRYO/US-11

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P03A/REV02



101017074

2 SHEET

-Y

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John D. Dobak, III

2. Name and address of receiving party(ies):

Name: CryoGen, Inc.

Address: 11065 Sorrento Valley Court

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: San Diego

State/Prov.: CA

Country: USA

ZIP: 92121

Execution Date: March 9, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 26, 1999

Patent Application No.

Filing date

B. Patent No.(s)

Unknown

April 7, 1999

09/287669

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald W. Spinks

Registration No. 32,843

Address: P. O. Box 10158

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

City: College Station

State/Prov.: TX

Country: USA

ZIP: 77842-0158

8. Deposit account number:

19-3795

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald W. Spinks

Name of Person Signing

Gerald W. Spinks

Signature

April 7, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 9889 FRAME: 0557



00000033 09287669

40.00 UP

02 10:581

ASSIGNMENT

I, John D. Dobak, III of 2385 Ridgeway Row, La Jolla, CA 92037, have invented, along with Ray Radebaugh and Eric Marquardt, a method and apparatus entitled FLEXIBLE CATHETER CRYOSURGICAL SYSTEM, hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by me, now identified as File No. CRYO/US-011 of the law office of Gerald W. Spinks, P.O. Box 10158, College Station, TX 77842-0158.

The Assignee, CryoGen, Inc., a corporation of the State of California, having a principal place of business at 11065 Sorrento Valley Court, San Diego, CA 92121, desires to secure the entire right, title and interest in said invention.

For good and valuable consideration paid to me by the Assignee, the receipt and sufficiency of which I hereby acknowledge, I HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention, in the above-identified United States patent application and in all divisions and continuations of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and Foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of international conventions for such applications.

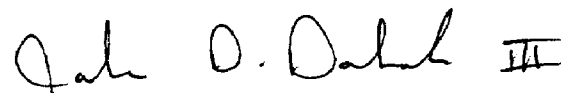
I hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

I hereby agree, without further consideration and without expense to me, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of me to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, continuation and divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of my ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon my heirs and legal representatives.

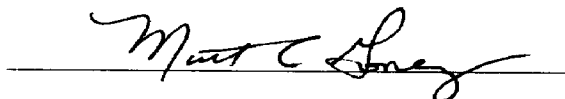

John D. Dobak, III

ACKNOWLEDGMENT

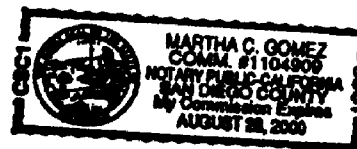
STATE OF California
COUNTY OF San Diego

On 3-9, 1999, before me, MARTHA C GOMEZ, Notary Public, personally appeared John D. Dobak, III, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



(Seal)



011F.ass