

04-22-1999



ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
IBM Docket No.: BU9-97-042

101016309

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Lambert A. Doezeema, Philip V. Kaszuba, Leon Moszkowicz,
James M. Never, and James A. Slinkman

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 6/20/97, 7/7/97, 6/26/97, 6/26/97, 6/26/97

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: Old Orchard Road

City Armonk

State New York

Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

Title: Silicided Silicon Microtips for Scanning Probe Microscopy and Method of Manufacturing

If this document is being filed together with a new application, the execution date of the application is: 6/20/97, 7/7/97, 6/26/97, 6/26/97, 6/26/97

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kevin R. Casey
Ratner And Prestia
Suite 301, One Westlakes, Berwyn, PO Box 980
Vally Forge, PA, 19482-0980

6. Total number of applications and patents involved: 1

7. Total fee (37 CVF 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☒ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0456

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, as "Express Mail Post Office to Addressee" Mailing Label No. EH999638294US on July 8, 1997.

Cindy Cross-Greenia
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Signature

Date

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard J. Walter

Signature

Date

Registration # Tel.: (802) 769-

Total number of pages comprising cover sheet and attachments: 3

PATENT
REEL: 9894 FRAME: 0370

ASSIGNMENT

Whereas, we

Inventor and City	(1) Lambert A. Doezenia County of Bexar <i>PHILIP</i>	City of San Antonio and State of Texas
and	(2) Phillip V. Kaszuba County of Chittenden	City of Essex Junction and State of Vermont
and	(3) Leon Moszkowicz County of Lamoille	City of Stowe and State of Vermont
and	(4) James M. Never County of Chittenden	City of Essex Junction and State of Vermont
and	(5) James A. Slinkman County of Washington	City of Montpelier and State of Vermont

Title have invented certain improvements in
SILICIDED SILICON MICROTIPS FOR SCANNING PROBE MICROSCOPY AND METHOD OF MANUFACTURING

Dates That
Inventors
Signed the
Declaration and have executed, respectively, a United States patent application therefor on

(1) June 20	1997	, and (2) July 7	1997	, and
(3) June 26	1997	, and (4) JUNE 26	1997	, and
(5) June 26	1997			

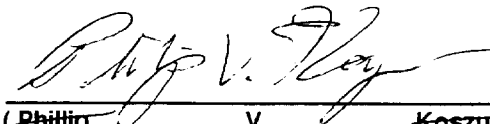
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at San Antonio, Texas
Date on June 20, 1997

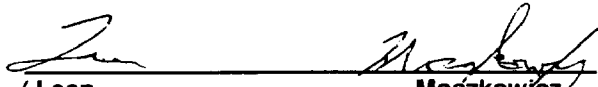

(Lambert A. Doezenia) signature
First Name Middle Initial Last Name) inventor

City (2) Signed at ESSEX JCT, VT.
Date on 7/7/97


(Phillip V. Kaszuba) signature
First Name Middle Initial Last Name) inventor
PHILIP V. KASZUBA
PHILIP V. K

City (3) Signed at Essex Jct. VT.

Date on 6/26/97


(Leon Moszkowicz) signature
First Name Middle Initial Last Name) inventor

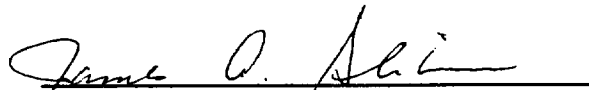
City (4) Signed at ESSEX JUNCTION, VT.

Date on 6-26-97


(James M. Never) signature
First Name Middle Initial Last Name) inventor

City (4) Signed at ESSEX JUNCTION, VT.

Date on 6/26/97


(James A. Slinkman) signature
First Name Middle Initial Last Name) inventor