

04-22-1999

UNUS #97-R280-A-EDG; J6473(C)



101017583

U.S. DEPARTMENT OF COMMERCE
PATENT & TRADEMARK OFFICE

ts and Trademarks: Please record the attached original documents or copy thereof.

1. NAME OF CONVEYING PARTY(IES):

Michael Joseph FAIR
Michael MASSARO
Harry CROOKHAM
Gail Beth RATTINGER
James Joseph DALTON
Terence James FARRELL
Georgia SHAFER



ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?
YES [] NO [XX]

2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA, Division of Conopco, Inc.
STREET ADDRESS: 33 Benedict Place
CITY: Greenwich STATE: CT ZIP: 06830
ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?
YES [] NO [XX]

3. NATURE OF CONVEYANCE:

[XX] ASSIGNMENT [] SECURITY AGREEMENT [] MERGER [] CHANGE OF NAME [] OTHER
EXECUTION DATE: February 1, 1999; February 2, 1998; February 8, 1999; March 19, 1999; March 30, 1999; April 9, 1999

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/224,786 FILED: January 4, 1999

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/224,786

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? [] YES [XX] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:
UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [] ENCLOSED [XX] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[XX] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

RONALD A. KOATZ, ATTORNEY FOR APPLICANT(S)
REGISTRATION NO. 31,774

DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 6

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
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PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

4-16-99

ASSIGNMENT

WHEREAS, **MICHAEL JOSEPH FAIR**, a citizen of the United States, residing at 350 Prospect Avenue, Hackensack, New Jersey 07601; **MICHAEL MASSARO**, a citizen of the United States, residing at 39 Dover Road, Congers, New York 10920; **HARRY CROOKHAM**, a citizen of the United States, residing at 331 Sanford Avenue, Lyndhurst, New Jersey 07071; **GAIL BETH RATTINGER**, a citizen of the United States, residing at 315 Edgewood Avenue, Teaneck, New Jersey 07666; **JAMES JOSEPH DALTON**, a citizen of the United States, residing at 7009 E. Acoma Drive, #2041, Scottsdale, Arizona 85254; **TERENCE JAMES FARRELL**, a citizen of the United States, residing at 6613 McKinley Place, West New York, New Jersey 07093; and **GEORGIA SHAFER**, a citizen of the United States, residing at 15 Ridge Road, Rutherford, New Jersey 07070, invented certain improvements in

BARS COMPRISING BENEFIT AGENT AND CATIONIC POLYMER

(bearing Attorney's Docket Number 97-R280-A-EDG,) which is found in:

- (a) U.S. patent application executed on _____
- (b) To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/224,786 filed on January 4, 1999.

- (c) International Application No. PCT _____/_____.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the

aforesaid , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said , **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 1 day
of Feb., 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Michael Joseph Fair (Seal)
MICHAEL JOSEPH FAIR

On this 1 day of February, 1999 before me came MICHAEL
JOSEPH FAIR to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.

Michele Trapp
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 30 day
of March, 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Michael Massaro (Seal)
MICHAEL MASSARO

On this 30 day of March, 1999 before me came MICHAEL
MASSARO to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.

MICHELE TRAPP
Notary Public, State of New Jersey
Commission Expires Sept. 25, 2001

Michele Trapp
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 8 day
of February, 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Harry Crookham (Seal)
HARRY CROOKHAM

On this 8 day of February, 1999 before me came HARRY
CROOKHAM to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.

Michele Trapp
Notary Public

MICHELE TRAPP
Notary Public, State of New Jersey
Commission Expires Sept. 25, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 9 day
of April, 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Gail Beth Rattinger (Seal)
GAIL BETH RATTINGER

On this 9 day of April, 1999 before me came GAIL BETH
RATTINGER to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.

MICHELE TRAPP
Notary Public, State of New Jersey
Commission Expires Sept. 25, 2000

Michele Trapp
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19 day
of March, 1999.

STATE OF ARIZONA)
COUNTY OF Maricopa)

James Joseph Dalton (Seal)
JAMES JOSEPH DALTON

On this 19th day of March, 1999 before me came JAMES JOSEPH
DALTON to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.



Debbie Spano
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 2nd day
of February, 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Terence James Farrell (Seal)
TERENCE JAMES FARRELL

On this 2 day of February, 1999 before me came TERENCE JAMES
FARRELL to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged that he/she executed the same.

Michele Trapp
Notary Public

MICHELE TRAPP
Notary Public, State of New Jersey
Commission Expires Sept. 25, 2000

IN WITNESS WHEREOF, I have hereunto set my hand and seal this *30* day
of *March*, 1999.

STATE OF NEW JERSEY)
COUNTY OF BERGEN)

Georgia Shy (Seal)
GEORGIA SHAFER

On this *30* day of *March*, 1999 before me came GEORGIA SHAFER to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Michele Trapp
Notary Public

MICHELE TRAPP
Notary Public, State of New Jersey
Commission Expires Sept. 25, 2001