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4.19.99  
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04-23-1999

0922379

ent Case No. 19808Y

S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE



101018345  
PATENTS ONLY

REC'D  
13 April 1999  
By J. Eric Thies  
TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name(s) of Conveying party(ies):

CHARLES G. CALDWELL, YUAN-CHING P. CHIANG, CONRAD DORN, PAUL FINKE, JEFFREY HALE, MALCOLM MACCOSS, SANDER G. MILLS AND ALBERT ROBICHAUD

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

3. Name and address of receiving party(ies):

Name: MERCK & CO., INC.  
Internal Address: RY60-30  
Street Address: P.O. Box 2000  
City & State: RAHWAY, NEW JERSEY  
Zip: 07065-0907

Execution Date: Mar 3, 1998 Feb 19, 1998 Feb 19, 1998

Additional name(s) & addresses attached?  Yes  No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 08/955,898, filed on Oct 22, 1997,  
and titled:  
PHENYL SPIROETHERCYCLOALKYL TACHYKININ RECEPTOR ANTAGONISTS

(b) If this document is being filed together with a new application, the execution date of the application:  
Additional numbers attached?  Yes  No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: J. ERIC THIES  
Internal Address: PATENT DEPARTMENT  
MERCK & CO., INC.  
P.O. BOX 2000 -- RY60-30  
City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

The Commissioner is hereby authorized to charge deposit account number 13-2755 for any fees which may be required or to credit any overpayment.

DO NOT USE THIS SPACE

8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. ERIC THIES Reg. No. 35,382 J. Eric Thies 13 April 1999  
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 7

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Rev. 8/5/93

PATENT  
REEL: 9897 FRAME: 0835

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~~12-15-98~~

Patent Case No. 19808Y

MERCE OFFICE

~~12-15-1998~~



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RECORDATION FORM CC  
MERCK & CO., INC. 9 DEC 1998 PATENTS ONL

By J Eric Thies TO THE HONORABLE COMMISSIONER OF PATENT AND TRADEMARKS OFFICE  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENT

1. Name(s) of Conveying party(ies):

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Additional numbers attached?  Yes  No

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MERCK & CO., INC.  
P.O. BOX 2000 -- RY60-30  
 City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

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J. ERIC THIES Reg. No. 35,382

J Eric Thies  
Signature

9 Dec 1998  
Date

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Rev. 8/5/93

**ASSIGNMENT AND AGREEMENT**

For value received, we, CHARLES G. CALDWELL, YUAN-CHING P. CHIANG, CONRAD DORN, PAUL FINKE, JEFFREY HALE, MALCOLM MACCOSS, SANDER G. MILLS AND ALBERT ROBICHAUD

of 20 TUSSEL LANE, SCOTCH PLAINS, NEW JERSEY 07076; 16 SUNRISE TRAIL, EAST LYME, CONNECTICUT 06333; 972 FERNWOOD AVENUE, PLAINFIELD, NEW JERSEY 07062; 34 INWOOD DRIVE, MILLTOWN, NEW JERSEY 08850; 233 HAZEL AVENUE, WESTFIELD, NEW JERSEY 07090; 48 ROSE COURT, FREEHOLD, NEW JERSEY 07728; 6 KAREN COURT, SCOTCH PLAINS, NEW JERSEY 07076; AND 120 STONEGATE DRIVE, LANDENBERG, PENNSYLVANIA 19350; RESPECTIVELY

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to PHENYL SPIROETHERCYCLOALKYL TACHYKININ RECEPTOR ANTAGONISTS

described in an application for Letters Patent of the United States, executed by each of us on even date herewith, or executed on the date shown in the Declaration and Power of Attorney relating to said application, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property and related treaties, under any and all Letters Patents which may be granted in any foreign country, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, J. ERIC THIES or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at RAHWAY, NEW JERSEY this 3rd day of March 1998.

Charles G. Caldwell  
CHARLES G. CALDWELL

Paul Finke  
PAUL FINKE

Malcolm MacCoss  
MALCOLM MACCOSS

Conrad Dorn  
CONRAD DORN

Jeffrey Hale  
JEFFREY HALE

Sander Mills  
SANDER MILLS

ASSIGNMENT AND AGREEMENT

Signed at Groton, CT this 19th day of February, 1998

Yuan-Ching Chiang  
YUAN-CHING CHIANG

STATE OF NEW JERSEY }  
County of UNION } SS.

Personally appeared before me the above-named CHARLES G. CALDWELL, PAUL FINKE, MALCOLM MACCOSS, CONRAD DORN, JEFFREY HALE, SANDER MILLS

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 3rd day of March 1998

Kathleen A. Reynolds  
Notary Public

KATHLEEN A. REYNOLDS  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Dec. 15, 1999

STATE OF Connecticut }  
County of New London } SS.

Personally appeared before me the above-named YUAN-CHING CHIANG

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 19 day of February 1998

Bridget M. White  
Notary Public

BRIDGET M. WHITE  
NOTARY PUBLIC  
MY COMMISSION EXPIRES 4/30/98

**ASSIGNMENT AND AGREEMENT**

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
ALBERT ROBICHAUD  
\_\_\_\_\_

STATE OF \_\_\_\_\_ }  
County of \_\_\_\_\_ } SS.

Personally appeared before me the above-named ALBERT ROBICHAUD

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
*Notary Public*

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
County of \_\_\_\_\_ }  
\_\_\_\_\_ } SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
*Notary Public*

**ASSIGNMENT AND AGREEMENT**

For value received, we, CHARLES G. CALDWELL, YUAN-CHING P. CHIANG, CONRAD DORN, PAUL FINKE, JEFFREY HALE, MALCOLM MACCOSS, SANDER G. MILLS AND ALBERT ROBICHAUD

of 20 TUSSEL LANE, SCOTCH PLAINS, NEW JERSEY 07076; 16 SUNRISE TRAIL, EAST LYME, CONNECTICUT 06333; 972 FERNWOOD AVENUE, PLAINFIELD, NEW JERSEY 07062; 34 INWOOD DRIVE, MILLTOWN, NEW JERSEY 08850; 233 HAZEL AVENUE, WESTFIELD, NEW JERSEY 07090; 48 ROSE COURT, FREEHOLD, NEW JERSEY 07728; 6 KAREN COURT, SCOTCH PLAINS, NEW JERSEY 07076; AND 120 STONEGATE DRIVE, LANDENBERG, PENNSYLVANIA 19350; RESPECTIVELY

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PHENYL SPIROETHERCYCLOALKYL TACHYKININ RECEPTOR ANTAGONISTS

described in an application for Letters Patent of the United States, executed by each of us on even date herewith, or executed on the date shown in the Declaration and Power of Attorney relating to said application, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property and related treaties, under any and all Letters Patents which may be granted in any foreign country, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

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We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, J. ERIC THIES or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at RAHWAY, NEW JERSEY this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
CHARLES G. CALDWELL

\_\_\_\_\_  
CONRAD DORN

\_\_\_\_\_  
PAUL FINKE

\_\_\_\_\_  
JEFFREY HALE

\_\_\_\_\_  
MALCOLM MACCOSS

\_\_\_\_\_  
SANDER MILLS

**ASSIGNMENT AND AGREEMENT**

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
YUAN-CHING CHIANG  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATE OF NEW JERSEY

County of UNION

} SS.

Personally appeared before me the above-named CHARLES G. CALDWELL, PAUL FINKE, MALCOLM MACCOSS,  
CONRAD DORN, JEFFREY HALE, SANDER MILLS

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their  
free act and deed this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
*Notary Public*

STATE OF

County of

} SS.

Personally appeared before me the above-named YUAN-CHING CHIANG

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their  
free act and deed this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
*Notary Public*

ASSIGNMENT AND AGREEMENT

Signed at Wilmington, DE this 19 day of February 1998.

Albert Robichaud  
ALBERT ROBICHAUD

STATE OF Delaware }  
County of New Castle } SS.

Personally appeared before me the above-named ALBERT ROBICHAUD

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 19<sup>th</sup> day of February 1998.

Theresa A. Kubiak  
Notary Public

Signed at Wilmington, DE this 19<sup>th</sup> day of February 1998.

County of New Castle } SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 19<sup>th</sup> day of February 1998.

Theresa A. Kubiak  
Notary Public