

04-26-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

CORDATION FORM COVER SHEET

PATENTS ONLY

Attorney's Docket No. 032590-012

101019472

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Makoto Hikita, Satoru Tomaru, Koji Enbutsu, Saburo
Imamura, Michiyuki Amano and Shun-ichi TohnoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: April 7, 1999

2. Name and address of receiving party(ies):

Name: Nippon Telegraph and Telephone Corporation

Address: 19-2, Nishi-Shinjuku 3-chome, Shinjuku-ku
Tokyo, JapanAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09290389

If this document is being filed together with a new application, the execution date of the application is: April 7, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert E. Krebs, Esq.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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04/21/1999 0600X1 00000052 09290389

02 FO:581

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

40.00 OP

Robert E. Krebs, Reg. No. 25,885

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

(10/97)

PATENT
REEL: 9899 FRAME: 0429

光配線用光導波路装置と
それを用いた光部品

05P-7964

US 譲渡 1/2

032590-012

Attorney's Docket No.

ASSIGNMENT (JOINT)

(1) Makoto Hikita; (2) Satoru Tomaru;

THIS ASSIGNMENT, by (3) Koji Enbutsu; (4) Saburo Imamura; (5) Michiyuki Amano;
and (6) Shun-ichi Tohno, residing at c/o NIPPON TELEGRAPH AND TELEPHONE CORP-
ORATION, 20-2, Nishi-Shinjuku 3-chome, (hereinafter referred to as "the Assignors"),
respectively, witnesseth: Shinjuku-ku, Tokyo, Japan

WHEREAS, the Assignors have invented certain new and useful improvements in
OPTICAL WAVEGUIDE DEVICE FOR OPTICAL WIRING AND MANUFACTURING METHOD THEREFOR,

☐ which is a provisional application to be filed herewith; ☒ which is a non-provisional application
having an oath or declaration executed on even date herewith prior to filing of application;
☐ bearing Application No. _____, and filed on _____; and

WHEREAS, NIPPON TELEGRAPH AND TELEPHONE CORPORATION, a corporation
duly organized under and pursuant to the laws of Japan, and having its principal place of business
at 19-2, Nishi-Shinjuku 3-chome, Shinjuku-ku, Tokyo, Japan, (hereinafter referred to as
"the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions,
the right to file applications on said inventions and the entire right, title and interest in and to any
applications, including provisional applications for Letters Patent of the United States or other
countries claiming priority to said application, and in and to any Letters Patent or Patents, United
States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and
sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold,
assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over,
unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and
interest in and to the above-mentioned inventions, the right to file applications on said inventions
and the entire right, title and interest in and to any applications for Letters Patent of the United
States or other countries claiming priority to said application, and any and all Letters Patent or
Patents of the United States of America and all foreign countries that may be granted therefor and
thereon, and in and to any and all applications claiming priority to said applications, divisions,
continuations, and continuations-in-part of said applications, and reissues and extensions of said
Letters Patent or Patents, and all rights under the International Convention for the Protection of
Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf
and the use and behalf of its successors, legal representatives, and assigns, to the full end of the
term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same
would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with
the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and
delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title,
and interest in and to the inventions set forth in said applications and said applications, including
provisional applications, above-mentioned, and that the same are unencumbered, and that the
Assignors have good and full right and lawful authority to sell and convey the same in the manner
herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date <u>April 7, 1999</u>	Signature of Assignor <u>Makoto Hikita</u>	Makoto Hikita
Date <u>April 7, 1999</u>	Signature of Assignor <u>Satoru Tomaru</u>	Satoru Tomaru
Date <u>April 7, 1999</u>	Signature of Assignor <u>Koji Enbutsu</u>	Koji Enbutsu
Date <u>April 7, 1999</u>	Signature of Assignor <u>Saburo Imamura</u>	Saburo Imamura
Date <u>April 7, 1999</u>	Signature of Assignor <u>Michiyuki Amano</u>	Michiyuki Amano
Date <u>April 7, 1999</u>	Signature of Assignor <u>Shun-ichi Tohno</u>	Shun-ichi Tohno
Date _____	Signature of Assignor _____	
Date _____	Signature of Assignor _____	