

F 06-25-1999

HEET



To the Honorable Commissioner of

101045698

ached original documents or copy thereof.

and address of receiving party(ies):

1. Name of conveying party(ies):

Stephen Thomas DAVIS, Scott Howard DICKERSON, Stephen Vernon FRYE, Philip Anthony HARRIS; Robert Neil HUNTER III, Lee Frederick KUYPER, Karen Elizabeth LACKEY, Michael Joseph LUZZIO, James Marvin VEAL and Duncan Herrick WALKER

Name: **GLAXO WELLCOME INC.**

Internal Address: **Global Intellectual Property**

Dept.

Street Address: **5 Moore Drive**

PO Box 13398

City: **Research Triangle Park**

State: **NC**

Zip:Code: **27709-3398**

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance: **9-24-98**

Assignment

Merger

Security Agreement

Change of Name

Other _____

Execution Date

August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998; August 19, 1998 and August 19, 1998

Additional name(s) & address(es) attached?

YES

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

PCT/EP98/05559 filed September 3, 1998

additional numbers attached? Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David J. Levy**
Internal Address: **Glaxo Wellcome Inc.**
Global Intellectual Property
Street Address: **Five Moore Drive**
PO Box 13398
City: **RTP** State: **NC** Zip: **27709**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$40.00

Authorized to be charged to deposit account

8. Deposit account number: **07-1392**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank P. Grassler
Frank P. GRASSLER

Signature

9-18-98
Date

**40E
NOSP
File**

Total number of pages comprising cover sheet: 1 of 2

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Additional name(s) of conveying party(ies) attached?</p> <p>3. Nature of conveyance:</p> <p style="text-align: center;">Merger</p> <p>Security Agreement Change of Name Other _____</p> <p>Execution Date</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: GLAXO GROUP LIMITED</p> <p>Internal Address: Glaxo Wellcome House</p> <p>Street Address: Berkeley Avenue</p> <p>Greenford Middlesex, United Kingdom</p> <p>UB6 ONN, UK</p> <p>Additional name(s) & address(es) attached? <u>NO</u></p>
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4. Application number(s) or patent number(s):

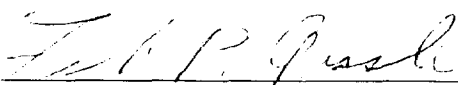
A. Patent Application No.(s)	B. Patent No.(s)
Additional numbers attached?	Yes <input checked="" type="checkbox"/> No

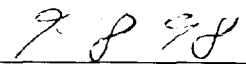
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: David J. Levy</p> <p>Internal Address: Glaxo Wellcome Inc.</p> <p>Global Intellectual Property</p> <p>Street Address: Five Moore Drive</p> <p>PO Box 13398</p> <p>City: RTP State: NC Zip: 27709</p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p>8. Deposit account number: 07-1392</p>
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DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Frank P. GRASSLER Signature


Date

Total number of pages comprising cover sheet: **2 of 2**

Assignment

WHEREAS, I/we **Stephen Thomas DAVIS, Scott Howard DICKERSON, Stephen Vernon FRYE, Robert Neil HUNTER III, Lee Frederick KUYPER, Karen Elizabeth LACKEY, Michael Joseph LUZZIO, James Marvin VEAL and Duncan Herrick WALKER** a citizen of the United States of America, and **Philip Anthony HARRIS**, a citizen of the United Kingdom, residing respectively in Durham, North Carolina, Chapel Hill, North Carolina; Durham, North Carolina, Raleigh, North Carolina, Durham, North Carolina; Hillsborough, North Carolina; Durham, North Carolina, Cary, North Carolina; Raleigh, North Carolina and Raleigh, North Carolina have invented or discovered certain improvements in **SUBSTITUTED OXINDOLE DERIVATIVES** hereinafter referred to as said invention and improvements for which a patent application GB9718913.8 was filed on 05 September 1997 in the United Kingdom and for which an international PCT application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor. I hereby authorize and request that the filing date and application number of said PCT application, when known, be inserted here in parentheses (PCT / EP98 / 05559 filed September 3, 1998).

WHEREAS, **GLAXO WELLCOME INC.**, a corporation organized and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at Five Moore Drive, Research Triangle Park, North Carolina 27709, USA, is desirous of acquiring the whole right, title and interest in and to said invention and improvements and said applications, and in and to any Letters Patent to be obtained therefor, in the United States of America, its territories and possessions; and

WHEREAS, **GLAXO GROUP LIMITED.**, a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 ONN, UK, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any

applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries other than the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we Stephen Thomas DAVIS, Scott Howard DICKERSON, Stephen Vernon FRYE, Philip Anthony HARRIS, Robert Neil HUNTER III, Lee Frederick KUYPER, Karen Elizabeth LACKEY, Michael Joseph LUZZIO, James Marvin VEAL and Duncan Herrick WALKER for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said GLAXO

WELLCOME INC., throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to GLAXO GROUP LTD, in all countries throughout the world other than in the United States of America, its territories and possessions, and in and to any applications in said countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said GLAXO WELLCOME INC. or GLAXO GROUP LTD, as assignees of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to GLAXO WELLCOME INC. and GLAXO GROUP LTD;

AND, I/we the undersigned **Stephen Thomas DAVIS, Scott Howard DICKERSON, Stephen Vernon FRYE, Philip Anthony HARRIS, Robert Neil HUNTER III, Lee Frederick KUYPER, Karen Elizabeth LACKEY, Michael Joseph LUZZIO, James Marvin VEAL and Duncan Herrick WALKER** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said GLAXO WELLCOME INC. and GLAXO GROUP LTD, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 05 September 1997.*

IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.

Stephen Davis _____ Aug. 19, 1998
Inventor: Stephen Thomas DAVIS Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came _____ known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Matthews

My Commission Expires: 2/5/2001

Scott Dickerson 8/19/98
Inventor: **Scott Howard DICKERSON** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elsie Martens
My Commission Expires: 2/5/2001

[Signature] 8/19/98
Inventor: **Stephen Vernon FRYE** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elsie Martens
My Commission Expires: 2/5/2001

Philip Harris 8/19/98
Inventor: **Philip Anthony HARRIS** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came **Lee Frederick KUYPER** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elsie Martens
My Commission Expires: 2/5/2001

Robert Neil Hunter III _____ 8/19/98
 Inventor **Robert Neil HUNTER III** Date:

State of: NC
 County of: Wake

On the date(s) indicated, before me personally came **Robert Neil HUNTER III** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: *Elsie Martens*
 My Commission Expires: 2/5/2001

Lee F. Kuyper _____ 8/19/98
 Inventor **Lee Frederick KUYPER** Date:

State of: NC
 County of: Wake

On the date(s) indicated, before me personally came **Lee Frederick KUYPER** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: *Elsie Martens*
 My Commission Expires: 2/5/2001

Karen Elizabeth Lackey _____ 8/19/98
 Inventor: **Karen Elizabeth LACKEY** Date:

State of: NC
 County of: Wake

On the date(s) indicated, before me personally came **Karen Elizabeth LACKEY** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: *Elsie Martens*
 My Commission Expires: 2/5/2001

Michael Joseph Luzzio August 19, 1998
Inventor **Michael Joseph LUZZIO** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came **Michael Joseph LUZZIO** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Martens

My Commission Expires: 2/5/2001

James Marvin Veal AUGUST 19, 1998
Inventor: **James Marvin VEAL** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came **James Marvin VEAL** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Martens

My Commission Expires: 2/5/2001

Duncan Herrick Walker Aug 19 1998
Inventor: **Duncan Herrick WALKER** Date:

State of: NC
County of: wake

On the date(s) indicated, before me personally came **Duncan Herrick WALKER** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Martens

My Commission Expires: 2/5/2001