

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

06-29-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

MRD 5.11.98

To the Honorable Commissioner of I

101049370

attached original documents or copy thereof.

1. Name of conveying party(ies):
Lerner, David, Littenberg, Krumholz & Mentlik
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Fernandes Co., Ltd.

Internal Address:

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other-Correction-Assignment of Interest

Execution Date: Joseph S. Littenberg-3/26/98

Street Address: 2-14-26 Shimoochiai

Shinjuku-ku

City: Tokyo State: Zip:

Country: Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

4,907,483

5,123,324

5,200,569

5,233,123

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: John R. Nelson

Internal Address: LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Street Address: 600 South Avenue West

City: Westfield State: NJ ZIP: 07090

6. Total Number of applications and patents involved: 4

7. Total Fee (37 CFR 3.41).....\$ 160.00 E

☐ Enclosed☐ Authorized to be charged to deposit account

Previously Posted

8. Deposit account number:

12-1095

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John R. Nelson

Name of Person Signing

Signature

October 5, 1998

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231

Attention: Assignments

163870 DCC

PATENT
REEL: 9901 FRAME: 0295

LAW OFFICES

LERNER. DAVID. LITTENBERG. KRUMHOLZ & MENTLIK

800 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1487

800 654-5000

FAX: 908 654-7868

PATENTS, TRADEMARKS AND COPYRIGHTS

March 26, 1998

SIDNEY DAVID
JOSEPH S. LITTENBERG
ARNOLD N. KRUMHOLZ
WILLIAM L. MENTLIK
JOHN S. NELSON
ROY W. WEPNER
STEPHEN S. GOLDMAN
CHARLES P. KENNEDY
DALE H. KOSMANEHI
BARCLAY J. WALLEY
BRUCE S. SALES
ARNOLD S. BERNIERI
KEITH S. GILMAN
ROBERT S. COHEN

PETER J. BUTEN
MICHAEL M. TESCHNER
GREGORY S. GEWIRTZ
JONATHAN A. DAVID
SHARON S. POLLEY
JOHN S. MALOJAN
THOMAS H. BAKER
MICHAEL J. BOWEN
STEPHEN P. BOYD
EMERLY V. FLISSEN
JASON I. MARSHALL
JASON S. SHANLEY

OF COUNSEL
LAWRENCE I. LERNER
SAMUEL M. BOBIS
W. BRET EASTMAN

NORTH CAROLINA BAR ONLY

VIA FACSIMILE - ORIGINAL VIA MAIL

Mr. Shigeki Saito
President
Fernandes Co., Ltd.
2-14-26 Shimoochiai
Shinjuku-ku
Tokyo 161, Japan

Re: ROSE 9.0-010
Sustainer

Dear Mr. Saito:

It is the purpose of this letter-agreement to confirm that my firm's entire interest as well as that of Floyd Rose in the sustainer patents (totaling 78 percent), including the right to recover for any past infringement, is hereby sold to and will be assigned to Fernandes Co., Ltd. for the sum of thirty-five thousand dollars (\$35,000) payable to the firm of Lerner, David, Littenberg, Krumholz & Mentlik. I also agree that our firm will execute any documents required to complete such assignment.

This conveyance includes all rights and obligations under any existing license agreements or the like.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK


JOSEPH S. LITTENBERG

JSL:fac

F:\DOC\JSL\19.01137873.DOC