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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kazufumi SUENAGA, Kiyoshi OGATA, Kazuhiko
HORIKOSHI, Jun TANAKA, Hisayuki KATO,
Keiichi YOSHIKUMI, Hisahiko ABE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: Mar. 26, '99; Mar. 30, '99
April 1, 1999

2. Name and address of receiving party(ies)

Name: Hitachi LTD

Internal Address: _____

Street Address: 6, Kanda Surugadai 4-Chome

Chiyoda-Ku, Tokyo Japan

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/288,672

If this document is being filed together with a new application, the execution date of the application is: Mar. 26, '99; Mar. 30 '99
April 1, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence

~~concerning this application should be mailed:~~

Name: ANTONELLI, TERRY, 40500 PT & KRAUS, LLP

Internal Address: _____

Street Address: 1300 NORTH SEVENTEENTH STREET

SUITE 1800

City: ARLINGTON State: VA ZIP: 22209

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

01-2135

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory E. Montone

Name of Person Signing #28,141

[Signature]
Signature

April 9, 1999

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9902 FRAME: 0155

ASSIGNMENT

(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LTD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

SEMICONDUCTOR MEMORY DEVICE AND MANUFACTURING METHOD THEREOF

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside signatures :

INVENTOR(S)
(発明者フルネームサイン)

Date Signed
(署名日)

Witnesses
(立会人サイン)

1) <u>Kazufumi Suenaga</u> Kazufumi SUENAGA	<u>March 26, 1999</u>
2) <u>Kiyoshi Ogata</u> Kiyoshi OGATA	<u>March 26, 1999</u>
3) <u>Kazuhiko Horikoshi</u> Kazuhiko HORIKOSHI	<u>March 26, 1999</u>
4) <u>Jun Tanaka</u> Jun TANAKA	<u>March 26, 1999</u>
5) <u>Hisayuki Kato</u> Hisayuki KATO	<u>March 30, 1999</u>
6) <u>Keiichi Yoshizumi</u> Keiichi YOSHIKUMI	<u>March 30, 1999</u>
7) <u>Hisahiko Abe</u> Hisahiko ABE	<u>April 1, 1999</u>
8) _____	_____
9) _____	_____
10) _____	_____

Yasuji Moriyama
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