

04-27-1999



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 DEPARTMENT OF
 TRADEMARK OFFICE

ATTORNEY DOCKET CRD 98542

FORM PTO-1595

COMMERCE

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORDATION FOR

PATENTS ON

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David W. Daugherty, Jr.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 3-15-99

2. Name and address of receiving party(ies)

Name: WESTINGHOUSE AIR BRAKE CO.

Internal Address: _____

Street Address: AIR BRAKE AVENUE

City: WILMERDING State: PA ZIP: 15148

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/240,119

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed.

Name of Agent: James O. Ray, Jr.

Name: JAMES RAY & ASSOCIATES

Internal Address: _____

Street Address: 2640 PITCAIRN ROAD

City: MONROEVILLE State: PA ZIP: 15146

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

 Michele K. Yoder
 NAME OF PERSON SIGNING

 SIGNATURE

 April 16, 1999
 DATE

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

04/26/1999 DMGUYEN 00000207 09240119

01 FC:581

40.00 DP

 PATENT
 REEL: 9903 FRAME: 0371

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) David W. Daugherty, Jr. (hereinafter ASSIGNORS) by

WESTINGHOUSE AIR BRAKE COMPANY

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

***BALL AND RACE ASSEMBLY INCLUDING A ONE PIECE RACE MEMBER
INTEGRALLY FORMED WITH THE BALL***

for which application for Letters Patent of the United States was executed on even date herewith, and all Letters Patent of the United States and corresponding Foreign patents to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

THE ASSIGNORS agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

THE ASSIGNORS agree(s) to execute all papers necessary in connection with any interference or litigation which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereof and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).


DAVID W. DAUGHERTY, JR.

3-15-99
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Group Art Unit: 3613
)	
David W. Daugherty, Jr.)	Examiner: TBA
)	
Filed: January 29, 1999)	Attorney Docket: CRD 98542
)	
Serial No.: 09/240,119)	
)	
For: BALL AND RACE ASSEMBLY INCLUDING)	Date: April 16, 1999
A ONE PIECE RACE MEMBER INTEGRALLY)	
FORMED WITH THE BALL)	

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: Box Assignment

TRANSMITTAL OF ASSIGNMENT DOCUMENT

Dear Sir:

Enclosed, herewith, are an Assignment cover sheet and an executed Assignment form for the above-referenced patent application.

Further, enclosed is check no. 2539 for Forty Dollars \$40.00 to cover the filing of the Assignment document.

Respectfully submitted,
JAMES RAY & ASSOCIATES

By Michele K. Yoder
Michele K. Yoder
Agent for Applicant(s)
Registration No. 41,562

JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE, PA 15146

TELEPHONE: 412-380-0725
FACSIMILE: 412-380-0748

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit: 3613

David W. Daugherty, Jr.

Examiner: TBA

Serial No.: 09/240,119

Attorney Docket: CRD 98542

Filed: January 29, 1999

For: BALL AND RACE ASSEMBLY
INCLUDING A ONE PIECE RACE
MEMBER INTEGRALLY FORMED
WITH THE BALL

Date: April 16, 1999

Assistant Commissioner of Patents
Washington, D.C. 20231

NOTICE OF CHANGE OF ADDRESS AND TELEPHONE NUMBER

Sir:
Please be advised that our address has been changed from:

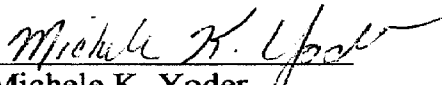
JAMES RAY & ASSOCIATES
300 PENN CENTER BLVD.
SUITE 608
PITTSBURGH, PA 15235

to the new address:

JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE, PA 15146

Also, please be advised that our new telephone number is (412) 380-0725 and our new fax number is (412) 380-0748.

Respectfully submitted,
James Ray & Associates

By 
Michele K. Yoder
Agent for Applicant(s)
Registration No. 41,562

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