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RECORDATION FORM COVER SHEET

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PATENTS ONLY

04-27-1999



To the Honorable Commissi	oner of Patents and Trademarks: P	Please record the attached only 101021217
1. Name of conveying party(les):	2. Name and address of receiving party(les)
BLAINE D. JOHS		Name: J.A. WOOLLAM CO. INC. D. V. C. E. D.
CRAIG M. HERZINGER STEVEN E. GREEN		Internal Address:
Additional name(s) of conveying party(les) attached? Yes to No		
O Mature of annual		APR 1 3 1999 11 11 1
3. Nature of conveyance:		
Assignment	☐ Merger	Street Address: 645 M St.
☐ Security Agreement	Change of Name	
U Other		City: LINCOLN State: NF. ZIP: 68508
Execution Date:		A final could be a final country of the final count
		Additional name(s) & address(es) attached? 🖸 Yes 😉 No
4. Application number(s) or pa	tent number(s):	
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No.(s)		B. Patent No.(s)
00.1007		` '
09/225,118	A partiest and the second	Hankada ta Maa ma Ma
Additional numbers attached? ☐ Yes St No		
Name and address of party to whom correspondence concerning document should be mailed;		6. Total number of applications and patents involved:
Name:JAMES D. WELCH		7. Total fee (37 CFR 3.41)\$ 40.00
Internal Address:		
unatha Aodiess:		68 Enclosed
<u> </u>	Then the statement the decrease that it returns an experience to the statement of the state	☐ Authorized to be charged to deposit account
Street Address: 10	0328 PINEHURST AVE.	8. Deposit account number:

City: LINCOLN S	State: NE 71P: 68124	AMark during the second
City: DINCOLN S 4/27/1999 DNGUYEN 00000116 0922511	, MAILO	(Attach duplicate copy of this page if paying by deposit account)
1 FC:581 DO NOT USE THIS SPACE		
9. Statement and signature.		
To the best of my knowledg the original document.	ne and belief, the foregoing infon	mation is true and correct and any attached copy is a true copy of
JAMES D. WELCH	. // //	1100 4/16/65
Name of Person Signing Signature Date		
	Total number of pages including	g cover sheet, attachments, and document:
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Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Waghington, D.C. 20231

PATENT

REEL: 9903 FRAME: 0775

ASSIGNMENT

WHEREAS WE, BLAINE D. JOHS, of 420 W. Chanceler, Lincoln, NE 68521, CRAIG M. HERZINGER of 441 44th St., Apt. 1522, Lincoln, NE 68503; and STEVEN E. GREEN of 2641 S. 12th St., Lincoln, NE 68502 are the Inventors of an invention titled "PARALLELOGRAM SHAPED OPTICAL RETARDER ELEMENT FOR USE IN SPECTROSCOPIC ELLIPSOMETER AND POLARIMETER SYSTEMS"; and

WHEREAS WE are the owners of record of a United States Patent Application of the title recited above which is being submitted to the United States Patent Office, the Serial Number of which is 09/225,118; (to be filled-in when available); and

WHEREAS WE intend to pursue Foreign Patent Applications under the Cooperation Treaty and directly in Non-Member Nations which concern the same Invention and Improvements thereto; and

WHEREAS WE are, under the terms of an Agreement or Employment Contract with J. A. WOOLLAM CO. INC., obligated to assign any and all Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future for said Invention and Improvements to J.A. WOOLLAM CO. INC. of 645 M St., #102, Lincoln, NE 68509; and

WHEREAS J.A. WOOLLAM CO. INC. is desirous of accepting an Assignment of any and all interest in said Applications for Patents and Patents which Issue thereon, Domestic and Foreign, Present and Future as provided for in said Employment Contract with the Inventor;

NOW THEREFORE, in recognition of the receipt of One Dollar (\$1.00) and other good and valuable consideration received from J.A. WOOLLAM CO. INC. WE, BLAINE D. JOHS, CRAIG M. HERZINGER and STEVEN E. GREEN do:

- 1. Hereby Sell, Assign, Transfer and/or otherwise Set Over Without Reservation and conditions other than those recited herein, to J.A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc. the entire interest in the Rights and Title to any Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future, for said Invention and Improvements; so that J.A. WOOLLAM CO. INC. shall hereafter own all Rights and Title as fully and completely as WE would have owned said Rights and Title were the present Assignment not made, with the full understanding that the entire Rights and Title to said Invention shall immediately vest, irrevocably, in J.A. WOOLLAM CO. INC. its Legal Representatives, Successors, Assigns etc.
- 2. Agree to execute all future documents as may be necessary to acquire issue of the pending Patent Application and additional Patents Applications for said Invention, and Improvements thereto, in the United States and in Foreign Countries.

PATENT REEL: 9903 FRAME: 0776

- 3. Request that the Commissioner of Patents and Trademarks in the United States and the equivalent in Foreign Countries issue United States Patent Application, Serial Number 09/225.118, (to be filled-in when available), and such additional Patent Applications, Domestic or Foreign/which result from future Patent Cooperation Treaty Patent Application, Foreign or Domestic Patent Applications to J.A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc., as the case might at the Issue Date thereof.
- 4. Agree that WE will, at no time, do anything to jeopardize the validity of any Patent or Patent Application, Domestic or Foreign, Present or Future other than as necessitated by the duty of Truthful Disclosure required during the normal course of Patent Application Prosecution or Litigation before an Examining or Judicial Authority.

IN AGREEMENT WITH THE FOREGOING, I BLAINE D. JOHS, I CRAIG M. HERZINGER AND I STEVEN E. GREEN AS INVENTORS HEREBY WILLINGLY AND FREELY, IN RECOGNITION OF GOOD, VALUABLE AND SUFFICIENT CONSIDERATION RECEIVED FROM J.A.WOOLLAM CO. INC., AND I, JOHN A. WOOLLAM AS PRESIDENT OF J.A. WOOLLAM CO. INC., EACH AFFIX OUR SIGNATURE TO EFFECT THE TERMS OF THIS ASSIGNMENT; ALL SIGNATURES BEING AFFIXED IN THE PRESENCE OF A NOTARY PUBLIC.

DATE

12-29-98 BLAINE D. DATE JORS INVENTOR

12-29-44 DATE CRAIG M. HERZINGER INVENTOR

Siete of Mebraska

JAMES D. WELCH

My Comm. Exp. Sept. 5, 1999

ANY State of Nebraska 2/29/98 JAMES D. WELCH My Comm. Exp. Sept. 5, 1999

-29-98 DATE

JOHN A. WOOLLAM PRESIDENT

PUBLICANY State of Nobrasha GENERAL NOTARY State of Nobrasha

JAMES D. WELCH

COTTO FUR Sept 5, 1999

J.A. WOOLLAM CO. INC.

STEVEN E. GREEN INVENTOR

12.29-98 DATE

12/29/98

DATE

NOTAR

NOTARY PUBLIC 12/29/63 DATE

GENERAL NOTARY-State of Nebraska

JAMES D. WELCH My Comm. Exp. Sept. 5, 1999

RECORDED: 04/19/1999

PATENT **REEL: 9903 FRAME: 0777**