

04-29-1999

FORM PTO-1595

1-31-92

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RECORDATION FORM COVER SHEET  
PATENTS ONLYDEPARTMENT OF COMMERCE  
and Trademark Office

Docket # 289451

U.S. PAT. & TM. OFF.  
04/09/99

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Mitchell J. Blumenthal; Charles W. Paul;  
Matthew L. SharakAdditional names(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒
- Assignment
- ☐
- Merger
- 
- ☐
- Security Agreement
- ☐
- Change of Name
- 
- ☐
- Other

Execution Date: April 9, 1999

## 2. Name and address of receiving party(ies):

Name: National Starch and Chemical Investment  
Holding Corporation

Internal Address: \_\_\_\_\_

Street Address: P. O. Box 7663  
City Wilmington State Delaware ZIP 19803-7663Additional name(s) & address(es) attached?  
☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed with a new application  
the execution date of the application is: April 9, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
correspondence concerning documents should be  
mailed:

Name: Lydia T. McNally

Internal Address: National Starch and Chemical  
CompanyStreet Address: P.O. Box 6500City Bridgewater State New Jersey  
ZIP 08807-05006. Total number of applications and patents  
involved:

1

## 7. Total Fee (37 CFR 3,41)

\$ 40.00 ✓

☐ Enclosed☒ Authorized to be charged to deposit  
account

## 8. Deposit Account Number:

No. 14-0455 ✓

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## 9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy of the original document.

Lydia T. McNally, Reg. No. 36,214

Name of Person Signing

Signature

April 9, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

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PATENT  
REEL: 9905 FRAME: 0907

**ASSIGNMENT**

WHEREAS, WE, **Mitchell J. Blumenthal, Charles W. Paul and Matthew L. Sharak**, citizens of the United States, respectively, residing at:

9 Koren Lane, Belle Mead, New Jersey 08502

13 Shadylawn Drive, Madison, New Jersey 07940

207 Sapphire Lane, Franklin Park, New Jersey 08823

respectively, have invented certain novel and useful improvements in

**USE OF ANIONIC OR CATIONIC PLASTICIZERS WITH  
SULFONATED POLYESTERS IN HOT MELT FORMULATIONS**

for which we executed a Declaration in an application for Letters Patent of the United States on April 9th, 1999, and of which we are the true inventors; and

WHEREAS, National Starch and Chemical Investment Holding Corporation (a corporation of the State of Delaware), P. O. Box 7663, Wilmington, Delaware 19803-7663, is desirous of acquiring the entire right, title and interest in and to said invention, application and Letters Patent to be obtained therefor in the United States and in all foreign countries;

NOW, THEREFORE, WE, **Mitchell J. Blumenthal, Charles W. Paul and Matthew L. Sharak** in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto said National Starch and Chemical Investment Holding Corporation, its successors and assigns, the entire and exclusive right, title and interest in and to said invention described in the specification executed by us on even date herewith, preparatory to obtaining Letters Patent of the United States therefor, and to said application, Letters Patent to be obtained for said invention, and any and all reissues, divisions, extensions or continuations thereof, and to any Letters Patent which may be applied for or granted for said invention in foreign countries, and the entire and exclusive right to have and

claims the priority in all foreign countries pursuant to the provisions of the International Convention for the Protection of Industrial Property, based on said application including all rights to any accrued damages arising out of infringement during the time preceding and following grant of the Letters Patent and execution or recording of this assignment; and

WE HEREBY REQUEST the Commissioner of Patents and Trademarks to issue said Letters Patent to said National Starch and Chemical Investment Holding Corporation, as the assignee of our interest for the sole use of said assignee and its successors and assigns; and

WE HEREBY AGREE to execute any further papers necessary to secure said assignee in its full and complete enjoyment of its right to said invention, application, Letters Patent, reissues, divisions, extensions and continuations.

IN TESTIMONY WHEREOF, WE have hereunto set our hands this 9th day of April, 1999.

Respectfully submitted,

Date: April 9, 1999

Mitchell J. Blumenthal  
Mitchell J. Blumenthal

Date: April 9, 1999

Charles W. Paul  
Charles W. Paul

Date: April, 1999

Matthew L. Sharak  
Matthew L. Sharak

STATE OF NEW JERSEY       )  
  ) SS:  
COUNTY OF SOMERSET       )

On this 9th day of April, 1999, before me personally appeared **Mitchell J. Blumenthal, Charles W. Paul and Matthew L. Sharak**, to me known and known to me to be the persons mentioned in and who executed the foregoing Assignment, and they duly acknowledged to me that they executed the same of their own free will and for the purposes therein set forth.

Janet S. Chubrick  
NOTARY PUBLIC

**JANET S. CHUBRICK**  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES NOVEMBER 17, 2000