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04-29-1999

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101022387

To the Honorable Commissioner of Patent

original documents or copy thereof.

Name of conveying party(ies):

**Abraham SILBERSCHATZ, Banu OZDEN  
John BRUNO and Huzur SARAN**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Silberschatz - 4/13/99, Ozden - 4/13/99,  
Bruno - 4/2/99 and Saran - 4/1/99

Name and address of receiving party(ies):

Name: LUCENT TECHNOLOGIES INC.

Internal Address:

Street Address: **600 MOUNTAIN AVENUE**

**P.O. BOX 636**

City: MURRAY HILL State: NEW JERSEY Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
4/13/99, 4/2/99 and 4/1/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Mitchell S. Feller, Esq.

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

LUCENT TECHNOLOGIES INC. - 12-2325

(Attach duplicate copy of this page if paying by deposit account)

04/28/1999 DNGUYEN 00000166 122325 09295458

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Mitchell S. Feller, Reg. No.42,530  
Name of Person Signing

Mitchell S. Feller  
Signature

April 14, 1999  
Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

File No.: 1298/OE746  
BRUNO 3-19-1-41

**PATENT**  
**REEL: 9908 FRAME: 0469**

## ASSIGNMENT AND AGREEMENT

For value received, we, **Abraham SILBERSCHATZ** of Summit, in the County of Union and State of New Jersey, **Banu OZDEN** of Summit in the County of Union and State of New Jersey, **John BRUNO**, of Santa Barbara, in the County of Santa Barbara and State of California, and **Huzur SARAN** of New Delhi, and Country of India hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **SELECTIVE AREA DIFFUSION CONTROL PROCESS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
**ABRAHAM SILBERSCHATZ**


Date: 4/13/99

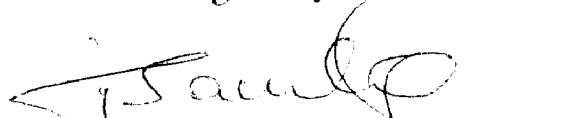
United States of America )

State of New Jersey ) ss.:

City of New Providence )

On this 13<sup>th</sup> day of April, 1999, before me personally came **ABRAHAM SILBERSCHATZ**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

  
**BANU OZDEN**


Date: 4/13/99

United States of America )

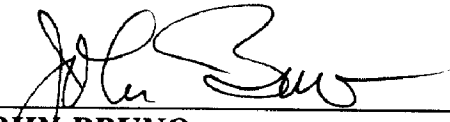
State of New Jersey ) ss.:

County of New Providence )

On this 13<sup>th</sup> day of April, 1999, before me personally came **BANU OZDEN**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

**AMY AXELROD**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Exp. Feb. 14, 2002

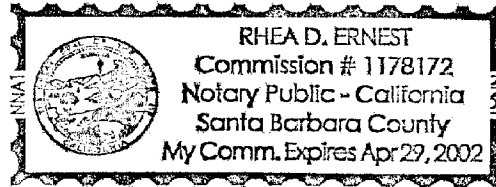
  
\_\_\_\_\_  
**JOHN BRUNO**

Date: 2 April '99

United States of America )

State of CALIFORNIA ) ss.:

City of SANTA BARBARA )



On this 2<sup>ND</sup> day of APRIL, 1999, before me personally came **JOHN BRUNO**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
**HUZUR SARAN**

Date: \_\_\_\_\_

United States of America )

State of ) ss.:

County of )

On this \_\_\_\_\_ day of , 1999, before me personally came **HUZUR SARAN**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636

JOHN BRUNO

Date: \_\_\_\_\_

United States of America )

State of ) ss.:

City of )

On this \_\_\_\_\_ day of , 1999, before me personally came **JOHN BRUNO**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

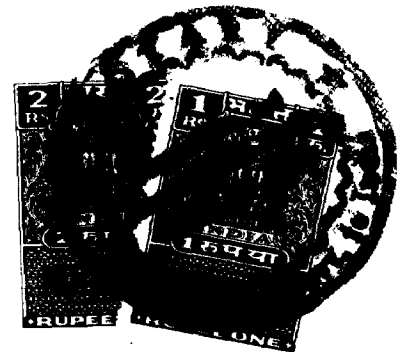
  
\_\_\_\_\_  
**HUZUR SARAN**

Date: 1<sup>st</sup> April 1999

~~United States of America~~ INDIA )

State of NEW DELHI ) ss.:

County of ) April



On this 1<sup>st</sup> day of April, 1999, before me personally came **HUZUR SARAN**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



\_\_\_\_\_  
Notary Public

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636



**H. L. YAD**  
Notary Public  
B-4, Pocher A/B  
Kalkaji (West)  
New Delhi (India)

**1 APR 1999**

**My Commission  
Expires on 19-9-1999**

**VALID OUTSIDE INDIA**

**PATENT**

**REEL: 9908 FRAME: 0473**

**RECORDED: 04/14/1999**