

04-29-1999

FORM PTO-1595  
(rev. 6-93)US DEPT OF COMMERCE  
Patent & Trademark Office

101022403

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

David Reese Peale

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ X Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 20, 1999

## 2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address:

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes; ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 20, 1999

A. Patent Application No.(s)

Patent No.(s)

Additional numbers attached? ☐ Yes; ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael J. Urbano

Internal Address:

Street Address: 1445 Princeton Drive

City: Bethlehem State: PA ZIP: 18017-9166

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed☒ X Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Urbano  
Name of Person Signing  
Signature4/20/99  
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

INVENTOR CASE NAME: D. R. Peale 10

04/28/1999 DNGUYEN 00000278 122325 09294485

01 FC:581 40.00 CH

PATENT  
REEL: 9908 FRAME: 0528

ASSIGNMENT AND AGREEMENT

For value received, I, David Reese Peale, of San Diego in the County of San Diego and State of California, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Self-Modulated, Filament-Based, Solid State Laser** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

David Reese Peale  
David Reese Peale

Date 4/20/99

United States of America )  
State of New Jersey ) ss.:  
County of Union )

On this 20<sup>th</sup> day of April, 19 99, before me personally came **David Reese Peale**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

**ANGELINA CAGLIOSTRO**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

Lucent Technologies Inc.,  
Docket Administrator  
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