

04-30-1999



Attorney Docket No. 10577-423-1 / TA NONSTOP SQL

FORM PTO-1595
(Rev. 6-93)

101024430

On Form Cover Sheet
(Patents Only)U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

CHARLES S. JOHNSON

Additional name(s) of conveying parties attached? ☒ Yes
☐ No.

2. Name and address of receiving party(ies)

Name: COMPAQ COMPUTER CORPORATION

Internal Address:

Street Address: 10435 NORTH TANTAU AVE.

City: CUPERTINO

State: CA ZIP: 95014

Additional names and addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: 3/23/99

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/163,812

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CHARLES E. KRUEGER
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved ONE

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

CHARLES E. KRUEGER

Name of Person Signing

Atty Reg. No. 30,077

Signature

Date

Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231PATENT
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1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

SHANG-SHEN TUNG
Y.C. CHEUNG
MUHAMMAD SHAFIQ

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, CHARLES S. JOHNSON, of 3954 Williams Road, San Jose, CA 95117, SHANG-SHEN TUNG, of 10127 Colby Ave., Cupertino, CA 95014, Y.C. CHEUNG, of 7052 Pelican Ridge Dr., San Jose, CA 95120-4174, and MUHAMMAD SHAFIQ, of P.O. Box 1193, El Granada, CA 94018, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND APPARATUS
FOR FAULT-TOLERANT, SCALABLE
AND NON-BLOCKING THREE-PHASE
FLUSHING FOR COMMITTING
DATABASE TRANSACTIONS IN A
CLUSTER OF MULTIPROCESSORS

Date(s) of execution of Declaration: 3-23-99

Filing Date: Sep 30, 1998

Application No.: 09/163,812; and

WHEREAS, Compaq Computer Corporation, a corporation of the state of Delaware, located at 10435 N. Tantau Avenue, Loc. 200-16, Cupertino, CA, 95014, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

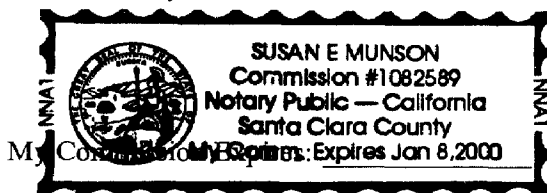
Dated: 3/23/99

Y.C. Cheung
Y.C. CHEUNG

STATE OF CALIFORNIA)
COUNTY OF San Jose) SS.

On 3/23/99, before me, Susan Munson, personally appeared Y.C. CHEUNG, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Susan E. Munson
NOTARY PUBLIC

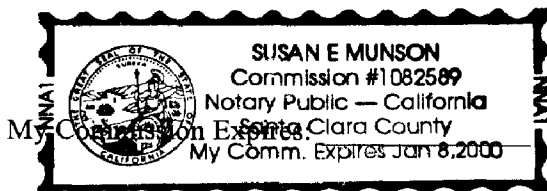
Dated: 03/23/1999

M. Shafiq
MUHAMMAD SHAFIQ M. Shafiq
SHAFIQ

STATE OF CALIFORNIA)
COUNTY OF San Jose) SS.

On 3/23/99, before me, Susan Munson, personally appeared MUHAMMAD SHAFIQ, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Susan E. Munson
NOTARY PUBLIC

Dated:

3/23/99

Charles S. Johnson
CHARLES S. JOHNSON

STATE OF CALIFORNIA)

COUNTY OF San Jose)

ss.

On 3/23/99, before me, Charles S. Johnson, personally appeared CHARLES S. JOHNSON, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Susan E. Munson
NOTARY PUBLIC

Dated:

3/23/99

Shang-shen Tung
SHANG-SHEN TUNG

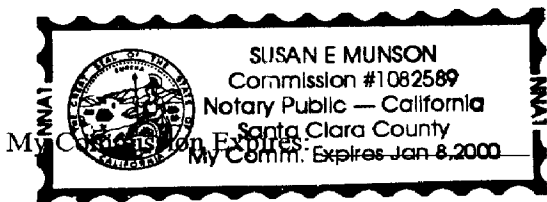
STATE OF CALIFORNIA)

COUNTY OF San Jose)

ss.

On 3/23/99, before me, Charles S. Johnson, personally appeared SHANG-SHEN TUNG, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Susan E. Munson
NOTARY PUBLIC