Form PTO-1595

\_\_\_\_ 04-30-1999

SHEET

U.S. Dept. of Commerce Patent and Trademark Office

1010249	26 Our Reference: <u>E-1691 CIF</u>
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original document or copy thereof.
<pre>1. Name of conveying party(ies):</pre>	2. Name and Address of receiving party(ies):
Gary R. Holtom, X. Sunney Xie, and Andreas Zumbusch	Name: Battelle Memorial Institute K1253 Internal Address: K1-53 P.O. Box 999
Additional name(s) of conveying party(ies) attached?	Intellectual Property Services Street Address: 902 Battelle Blvd.
3. Nature of conveyance:  ✓ Assignment ☐ Merger  ☐ Security Agreement ☐ Change of Name  ☐ Other	Intellectual Property Services
DutherExecution Date:04131999	│ │  Additional name(s) & addresses attached? □ Yes 🙀 No
4. Application number(s) or patent number(s):	09/294,834
If this document is being filed together wi of the application is: <u>04131999</u>	th a new application, the execution date
A. Patent Application No.(s):	B. Patent No.(s):
Additional numbers atta	ached? □ Yes Þa.No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Paul W. Zimmerman Internal Address: K1-53 P.O. Box 999 Intellectual Property Services	7. Total fee (37 CFR 3.41)\$\\\\\40.00\\\\\\\\\\\\\\\\\\\\\\\\\
Street Address: 902 Battelle Blvd.  City: Richland State: WA Zip: 99352	8. Deposit account number:  #02-1275 (Payor No. 005055)  (Attach duplicate copy of this page if paying by deposit account)
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To the best of my knowledge and belief, th any attached copy is a true copy of the or	e foregoing information is true and correct. iginal document.
Stephen R. May Name of person signing	exhemillay Gul 16, 1999
,	otal number of pages comprising cover sheet: 3

Assistant Commissioner for Patents Box Assignments Washington, D.C. 20231

## ASSIGNMENT

Gary R. Holtom Xiaoliang Sunney Xie Andreas Zumbusch to

Battelle Memorial Institute

WHEREAS we, Gary R. Holtom, of Richland, in the County of Benton, and State of Washington; Xiaoliang Sunney Xie, of Richland, in the County of Benton, and State of Washington, and Andreas Zumbusch, of München, Germany, have made certain inventions in NONLINEAR VIBRATIONAL MICROSCOPY, for which we are about to make application for Letters Patent of the United States; and

WHEREAS Battelle Memorial Institute, a corporation of Ohio, organized and existing under the laws of the State of Ohio, and having a place of business in the City of Richland, Washington, is desirous of acquiring an interest in the said invention and in any patent or patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that, for and in consideration of the sum of One Dollar (\$1.00) each, and other good and valuable considerations, the receipt of which is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto the said Battelle Memorial Institute, the entire right, title, and interest in and to the invention shown, described, or claimed therein, and the full and exclusive right and title to the said application, executed by us on the 13<sup>th</sup> day of April , 1999

8, preparatory to obtaining Letters Patent of the United States therefor; and in and to any extensions, reissues, divisions, or renewals thereof; and we do hereby authorize and request the Commissioner of Patents or other proper Officer, to issue such Letters Patent, or any of them, to the said Battelle Memorial Institute, as the assignee of our entire right, title, and interest in and to the same for the sole use and benefit of the said Battelle Memorial Institute, and its successors or assigns.

And we further covenant and agree that we will, at the request and expense of the said Battelle Memorial Institute, execute such other and further assurances of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that we will, at the request and expense of the said Battelle Memorial Institute, execute such application papers as may be desired by the said Battelle Memorial Institute, for the

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filing of any division or renewal of the application herein conveyed, or for reissues or extensions, of any Letters Patent that may be granted upon said application, and will execute such Supplemental Oaths and Preliminary Statements, or other papers as desired by the said Battelle Memorial Institute.

And we further assign unto the said Battelle Memorial Institute, the whole right, title, and interest to the invention disclosed in the application throughout all countries foreign to the United States, and do hereby authorize the said Battelle Memorial Institute to apply for patents therefor in its own name in countries where such procedure is proper and to claim the benefit of the International Convention and do agree to execute applications for the said invention in the several countries where it is necessary that the same be executed by the inventors, and to execute assignments of such applications and the patents to be obtained therefor to the said Battelle Memorial Institute, the assignee herein, as well as all other necessary papers.

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed my seal at Richland, Washington, this 13 day of March, 1999.

Lary R. Solton Gary R. Holtom

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed my seal at Richland, Washington, this /3 day of March, 1999.

Xiaoliang Sunney Xie

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed my seal at Richland, Washington, this 3/4 day of March, 1999.

Andreas Zumbusch

- Page 2 of 2 -

PATENT REEL: 9911 FRAME: 0301

RECORDED: 04/19/1999