

RM PTO 1999
4.16.99

R

04-30-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101025465

To the Honorable Commissioner of

attached original documents or copy thereof.

1. Name of conveying party(ies):

Russell L. MacAdam, Mark S. Taipale, Oliver K. Mihm, David G. Luchaco, Jason C. Killo and Kolawole A. Otitoju

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Lutron Electronics Co., Inc.
7200 Suter Road
Coopersburg, PA 18036-1299

Additional name(s) & addresses attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: March 23, 1999; March 31, 1999; April 7, 1999;
April 9, 1999 and April 12, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/249,563

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Gregory J. Lavorgna, Esq.
Seidel, Gonda, Lavorgna & Monaco, P.C.
1800 Two Penn Center Plaza
Philadelphia, PA 19102

Attorney Docket No. 6232-168

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ authorized to be charged to deposit account

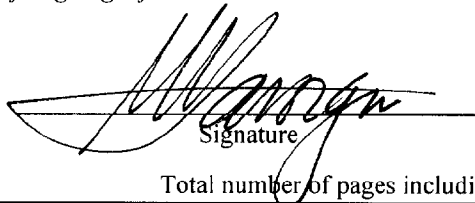
8. Deposit Account Number: **19-1135**

DO NOT USE THIS SPACE

9. *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Gregory J. Lavorgna

Name of Person Signing


Signature

April 13, 1999

Date

Total number of pages including cover sheet, attachments, and document: 5

OMB No. 0651-0011 (exp. 4/94)

04/29/1999 DMGUYEN 00000423 191135 09249563

Do not detach this portion

01 FC:581

40.00 CH

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9911 FRAME: 0845

ASSIGNMENT

WHEREAS, we, Russell L. MacAdam, Mark S. Taipale, Oliver K. Mihm, David G. Luchaco, Jason C. Killo and Kolawole A. Otitoju , respectively citizens of the United States, United States, United States, United States, United States and Nigeria , with respective post office addresses of 3076 Flint Hill Road, Coopersburg, PA 18036, 260 Brenton Circle Harleysville, PA 19438, 6513 Cablewood Drive, Raleigh, NC 27603, 8242 Pheasant Run, Fogelsville, PA 18051, 101 Alpine Street, Emmaus, PA 18049 and 2414 Prospect Avenue, Apt. 303, Allentown, PA 18103 hereinafter generally referred to as "ASSIGNORS", have invented a certain new and useful

ELECTRONIC DIMMING BALLAST

for which we have filed a specification on February 12, 1999 as United States Application Serial No. 09/249,563.

WHEREAS, LUTRON ELECTRONICS CO., INC., a Pennsylvania corporation having a place of business at 7200 Suter Road, Coopersburg, PA 18036-1299, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said application for Letters Patent,

WHEREAS, now and at the time the invention was made, said invention was subject to an obligation by ASSIGNORS to assign their entire right, title and interest therein to ASSIGNEE.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged, and intending to be legally bound hereby, we, the undersigned ASSIGNORS, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named ASSIGNEE, the whole and entire right, title and interest

in and to said invention as described in the above application for Letters Patent, for the territory of the United States and its possessions and territories and all foreign countries, and

in and to the above application for Letters Patent and any and all United States Letters Patent which may be granted on said application and all United States and foreign Letters Patent which may be granted on said invention including divisions, reissues and continuations;

said invention, application and Letters Patent to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and behoof, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may

deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the Letters Patent hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

Russell L. MacAdam (L.S.)
Russell L. MacAdam

Mark S. Taipale (L.S.)
Mark S. Taipale

Oliver K. Mihm (L.S.)
Oliver K. Mihm

David G. Luchaco (L.S.)
David G. Luchaco

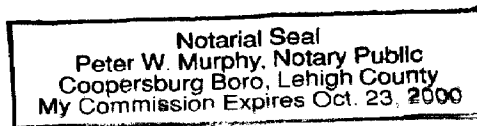
Jason C. Killo (L.S.)
Jason C. Killo

Kolawole A. Otitoju (L.S.)
Kolawole A. Otitoju

State of Pennsylvania SS
County of Lehigh :

Before me, a notary public, in and for the State and County aforesaid, on this 7th day of April, 1999 personally appeared **Russell L. MacAdam** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Peter W. Murphy
Notary Public



State of Pennsylvania :
County of Lehigh :
SS

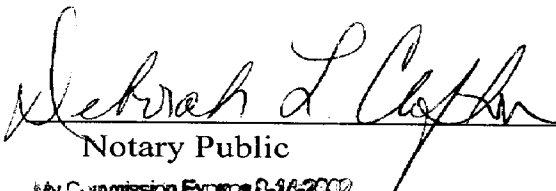
Before me, a notary public, in and for the State and County aforesaid, on this 31st day of March, 1999 personally appeared **Mark S. Taipale** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.


Notary Public

Notarial Seal
Peter W. Murphy, Notary Public
Coopersburg Boro, Lehigh County
My Commission Expires Oct. 23, 2000

State of NC :
County of Wake :
SS

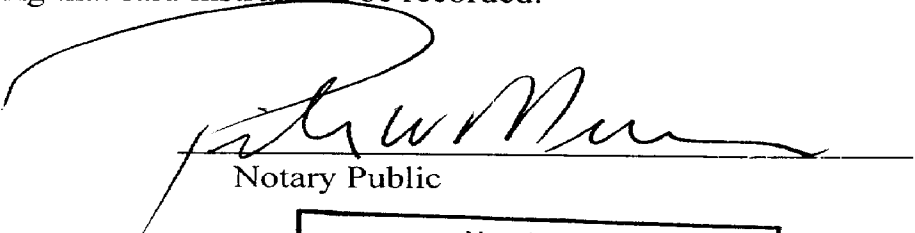
Before me, a notary public, in and for the State and County aforesaid, on this 23 day of March, 1999 personally appeared **Oliver K. Mihm** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.


Notary Public

My Commission Expires 6-14-2002

State of Pennsylvania :
County of Lehigh :
SS

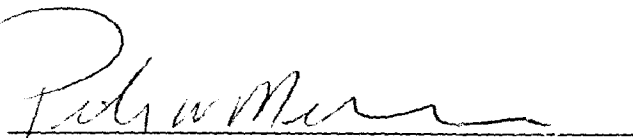
Before me, a notary public, in and for the State and County aforesaid, on this 31st day of March, 1999 personally appeared **David G. Luchaco** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

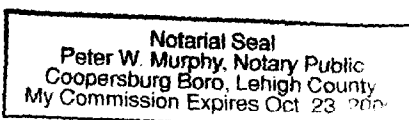

Notary Public

Notarial Seal
Peter W. Murphy, Notary Public
Coopersburg Boro, Lehigh County
My Commission Expires Oct. 23, 2000

State of Pennsylvania :
County of Lehigh :
SS

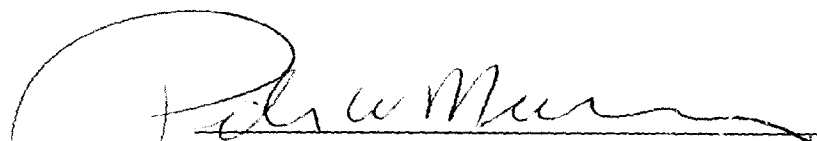
Before me, a notary public, in and for the State and County aforesaid, on this
12th day of April, 1999 personally appeared **Jason C. Killo** who being to me
personally known, and who having first executed the foregoing instrument in my presence and
having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed
and act, signed, sealed and delivered by him for the purpose therein stated and intending to be
legally bound thereby and intending that said instrument be recorded.


Notary Public



State of Pennsylvania :
County of Lehigh :
SS

Before me, a notary public, in and for the State and County aforesaid, on this
9th day of April, 1999 personally appeared **Kolawole A. Otitoju** who being to
me personally known, and who having first executed the foregoing instrument in my presence
and having been by me first duly sworn, did acknowledge the foregoing instrument as his free
deed and act, signed, sealed and delivered by him for the purpose therein stated and intending
to be legally bound thereby and intending that said instrument be recorded.


Notary Public

