

RECORD

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101028742

's Docket No. 6961.0001-00000

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SUKANTO SINHA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name

Other: \_\_\_\_\_

Execution Date: April 19, 1999

2. Name and address of receiving party(ies):

Name: Élan Pharmaceuticals, Inc.

Address: 800 Gateway Boulevard

South San Francisco, CA 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 5,850,003

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Vanessa B. Pierce, Esq.

Address: FINNEGAN, HENDERSON, FARABOW GARRETT  
& DUNNER, L.L.P.

1300 "I" Street, N.W.

Washington, D.C. 20005-3315

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number: 06-0916

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Vanessa B. Pierce, Reg. No 42, 074  
Name of Person Signing

\_\_\_\_\_  
Signature

April 27, 1999  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
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05/04/1999 DNGUYEN 00000125 5850003

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PATENT  
REEL: 9912 FRAME: 0945

**ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), hereinafter referred to as Assignor(s), have made an invention entitled TRANSGENIC RODENTS HARBORING APP ALLELE HAVING SWEDISH MUTATION for which an application for United States Letters Patent was executed on January 22, 1997 (Serial No. 785,943), and for which United States Letters Patent issued on December 15, 1998 (U.S. Patent No. 5,850,003); and

WHEREAS, ELAN PHARMACEUTICALS, INC. ("ELAN"), a corporation of Delaware whose principal place of business is 800 F Gateway Blvd., South San Francisco, California, and ELI LILLY AND COMPANY ("Lilly"), a corporation of Indiana whose principal place of business is Lilly Corporate Center, Indianapolis, Indiana (hereinafter referred to as the "Assignees"), are desirous of acquiring the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignees is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignees, their lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which have been or may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignees, their successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignees, their successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignees, their successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignees, their successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of: San Mateo :  
State of: California :

Subscribed and sworn to before me this  
19<sup>th</sup> Day of April, 1999.

[Signature], Notary Public

Sukanto Sinha  
Full Name Of Assignor  
808 Junipero Serra Blvd.  
Street Address  
San Francisco, CA 94127  
City and State/Country

[Signature]  
Assignor's Signature/Date 4/19/99

