

05-03-1999

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp 4/94)
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RE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101027009

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Eric Adler
- 2) Henry W. Trombley

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 4/22/99 & 4/20/99

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 4/22/99 &

A. Patent Application No.(s)

B. Patent No.(s)

4/20/99

09.298122

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: McGinn & Gibb, P.C.

Internal Address: _____

Street Address: 1701 Clarendon Boulevard, Suite 100

City: Arlington

State: VA

ZIP: 22209

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

09-0456

04/30/1999 DNGUYEN 00000283 090456 09298122

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard J. Walter, Jr., Reg. No. 24,832

Howard J. Walter, Jr.

4/22/99

Name of Person Signing (802) 769-9555

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

Whereas, we

Inventor (1) Eric Adler City of Jericho
County of Chittenden and State of Vermont

Inventor (2) Henry W. Trombley City of Bristol
County of ~~Chittenden~~ ADDISON and State of Vermont

have invented certain improvements in

Title METAL-INSULATOR-METAL CAPACITOR FOR COPPER DAMASCENE PROCESS AND METHOD OF FORMING THE SAME

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on
(1) 4/22/1999, and (2) 4/20/99 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at Essex Junction, VT
Date on 4-22-1999

Eric Adler 4/22/99 signature) inventor
(Eric First Name Middle Initial Last Name)

City (2) Signed at Essex Junction, VT
Date on 4/20/99

Henry W. Trombley signature) inventor
(Henry First Name Middle Initial Last Name)