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PATENTS (



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ENT OF COMMERCE
and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ESPINDOLA, Rolando Patricio

STENTZ, Andrew John

WAGENER, Jefferson Lynn

2. Name and address of receiving party(ies):

Name: Lucent Technologies, Inc.

Address: 600-700 Mountain Avenue

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Murray Hill

State/Prov.: NJ

Country: US

ZIP: 07974

Execution Date: December 7, 1998, December 8, 1998

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/152,893

09/24/98

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anastasia P. Winslow, Esq.

Registration No. 40,875

Address: 100 Thanet Circle, Suite 306

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anastasia P. Winslow

Name of Person Signing

Signature

April 23, 1999

Date

Total number of pages including cover sheet, attachments, and

5

PATENT

REEL: 9915 FRAME: 0282

ASSIGNMENT AND AGREEMENT

For value received, we, Rolando Patricio Espindola of Chatham in the County of Morris and State of New Jersey, Andrew John Stentz of Clinton in the County of Hunterdon and State of New Jersey, and Jefferson Lynn Wagener of Aberdeen in the County of Gray's Harbor and State of Washington, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **TAPERED OPTICAL FIBER GRATING DEVICES WITH VARIABLE INDEX COATINGS FOR MODIFYING GUIDE PROPERTIES OF THE FUNDAMENTAL MODE** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Rolando Patricio Espindola
Rolando Patricio Espindola

Date: 12/07/98

United States of America)

State of New Jersey) ss.:

City of Union)

On this 7 day of December, 19 98, before me personally came Rolando Patricio Espindola, to me proven to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

[Signature]
Notary Public

AMERICAN SURETY
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 30, 2000

Andrew John Stentz
Andrew John Stentz

Date: 12/8/98

United States of America)

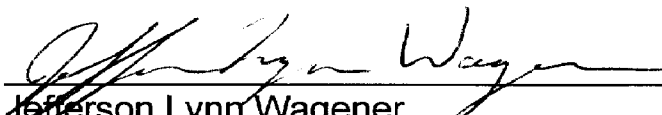
State of New Jersey) ss.:

County of Union)

On this 8th day of December, 19 98, before me personally came Andrew John Stentz, to me proven to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000


Jefferson Lynn Wagener

Date: Dec 8, 1998

United States of America)

State of New Jersey) ss.:

County of Union)

On this 8th day of December, 1998, before me personally came Jefferson Lynn Wagener, to me proven to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

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