

05-03-1999

FORM PTO-1595
1-31-92

RF



101027651

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DANIEL L. COX

Additional name(s) of conveying party(ies) attached? NO

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____Execution Date: March 30, 1999

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: _____

Street Address: 3200 Lakeside DriveCity Santa Clara State CA ZIP 95054Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 30, 1999

A. Patent Application No.(s)

B. Patent No.(s)

09/294281

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: FULWIDER PATTON LEE & UTECHT, LLP

Internal Address: Center West Building, Tenth Floor

Street Address: 10877 Wilshire Boulevard

City: Los Angeles State: CA Zip: 90024

6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR 3.41):...\$ 40.00☒ Enclosed☒ Any deficiency/overpayment is authorized to be charged to deposit account

8. Deposit account number: 06-2425

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN S. NAGY

Name of Person Signing

Signature

April 19, 1999

Date

Total number of pages including cover sheet, attachments and document: 3

OMB No. 0651-0011 (exp. 4/94)

JCS12 U.S. PTO

09/294281

04/19/99

MPO 4.12.89

04/28/1999 PALLEN 00000059 09294281

02 FC 581 40.00 OP

ASSIGNMENT

This Assignment made by Daniel L. Cox of Palo Alto, California, Assignor, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California 95054-8167.

WHEREAS, Assignor has invented a new and useful DELIVERY SYSTEM FOR BALLOON EXPANDABLE STENT for which an application for United States Letters Patent has been executed by him this day; and

WHEREAS, Assignor believes himself to be the original and first inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representative(s) and assigns will do all lawful acts, including the

Docket No. ACS 42405 (13251)

execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the dates written hereinbelow.

Assignor:

Date: 3/30, 1999



DANIEL L. COX