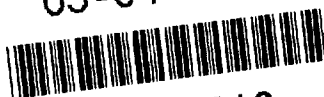


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04/22/99

Case Docket No. 990452

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RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Assistant Commissioner for Patents.  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Shoji IMAMURA; Yasuyuki WATANABE; Kazuaki TSUKUDA;  
Takashi HIROKAWA and Nagao ARIGA**

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

2. Name and address of receiving party(ies):

09/296,132

Name: **DAINIPPON INK AND CHEMICALS, INC.**

Street Address: **35-58, Sakashita  
3-chome, Itabashi-ku  
Tokyo, JAPAN**

Additional name(s) & address(es) attached? Yes ☐ No ☒

3. Nature of conveyance: **Assignment**

Execution Date: **April 15, 1999**

4. Title:

**SELF-WATER DISPERSIBLE PARTICLE MADE OF BIODEGRADABLE  
POLYESTER AND PROCESS FOR THE PREPARATION THEREOF**

04/30/1999 DBTLER 00000002 09296132

04 FC:581

40.00 (1)

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **April 15, 1999**

A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,  
McLeland & Naughton  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006

7. Total number of applications and patents involved: 1

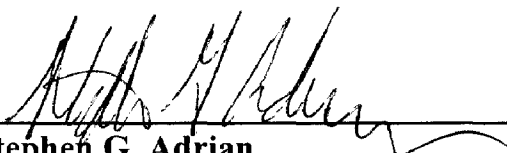
8. Total fee (37 CFR 3.41). . . . . \$ 40.00

☒ Check enclosed

9. Deposit Account No.: 01-2340  
(Two copies of this paper are attached.)

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
\_\_\_\_\_  
**Stephen G. Adrian**  
Reg. No.: **32,878**

Date April 22, 1999

Total number of pages including cover sheet: 3

SGA/klh

## U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

(Insert ASSIGNEE's  
Name(s) Address(es))

DAINIPPON INK AND CHEMICALS, INC. of 35-58, Sakashita  
3-chome, Itabashi-ku, Tokyo, Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Title of Invention)

SELF-WATER DISPERSIBLE PARTICLE MADE OF BIODEGRADABLE  
POLYESTER AND PROCESS FOR THE PREPARATION THEREOF

(\*If the assignment is  
being filed after the filing  
of the application, this  
section must be  
completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\*filed on \_\_\_\_\_, Serial No. \_\_\_\_\_.  
(Armstrong, Westerman, Hattori, McLeland & Naughton is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Shoji Imamura</u> (SIGNATURE)	<u>Shoji Imamura</u> (TYPE NAME)	<u>April 15, 1999</u> (DATE)
<u>Yasuyuki Watanabe</u> (SIGNATURE)	<u>Yasuyuki Watanabe</u> (TYPE NAME)	<u>April 15, 1999</u> (DATE)
<u>Kazuaki Tsukuda</u> (SIGNATURE)	<u>Kazuaki Tsukuda</u> (TYPE NAME)	<u>April 15, 1999</u> (DATE)
<u>Takashi Hirokawa</u> (SIGNATURE)	<u>Takashi Hirokawa</u> (TYPE NAME)	<u>April 15, 1999</u> (DATE)
<u>Nagao Ariga</u> (SIGNATURE)	<u>Nagao Ariga</u> (TYPE NAME)	<u>April 15, 1999</u> (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)

NO LEGALIZATION REQUIRED