

ASSIGNMENT RECORDATION COVER SHEET  
-PATENTS ONLY-

1550 U.S. PTO  
09/298628  
04/23/99

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)  
a) Michael R. ALLEN

05-04-1999



101028468

2. Name and address of receiving party(ies):  
a) Name: Sun Microsystems, Inc.  
Address: 901 San Antonio Road  
Palo Alto, California 94303

3. Nature of conveyance  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other ☐ License Agreement

Execution Date: April 20, 1999

4. Application Number(s) or Patent Number(s): To be assigned

The title of the (new) application is:

**CACHE MANAGEMENT TECHNIQUES**

5. Please send all correspondence concerning this (these) documents to:

**Customer Number: 022434**  
BEYER & WEAVER, LLP  
P.O. Box 61059  
Palo Alto, CA 94306  
Telephone (650) 493-2100  
Fax (650) 493-2102



**22434**

PATENT TRADEMARK OFFICE

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Any additional fees are authorized to be charged to Deposit Account No. 50-0388  
(Order No. \_\_\_\_\_)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

05/03/1999 JMK/TIS

00000007 09298628

2 FC:581

Date: 4/23/99 40.00 00

Michael J Ferrazano  
Registration No. 44,105

# ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

## CACHE MANAGEMENT TECHNIQUES

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to Sun Microsystems, Inc., a Delaware Corporation, having a principal place of business at 901 San Antonio Rd., Palo Alto, California, 94303 (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

- |    |                                    |                             |
|----|------------------------------------|-----------------------------|
| 1) | Signature: _____                   | Date: _____                 |
|    | Typed Name: Matthew F. Shilts      |                             |
| 2) | Signature: <u>Michael R. Allen</u> | Date: <u>April 20, 1999</u> |
|    | Typed Name: Michael R. Allen       |                             |

SUN1P213/P3579

(Revised 5/18/98)

RECORDED: 04/23/1999

PATENT  
REEL: 9918 FRAME: 0420