

05-04-1999

IEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents



and original documents or copy thereof.

## 1. Name of conveying party(ies):

Foveonics, Inc.

101029168

## Address of receiving party(ies):

Name Foveon, Inc.

Internal Address

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other

Street Address 3565 Monroe Street

City Santa Clara State CA Zip 95051

Execution Date 1/28/99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No. (s)

B. Patent No. (s)

09/064,234

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name D'Alessandro &amp; Ritchie

Internal Address

Street Address P. O. Box 640640

City San Jose State CA Zip 95164-0640

6. Total number of applications and patents involved ☐ 1

7. Total fee (37 CFR §3.41) ..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

04-0025

(Attach duplicate copy of this page if paying by deposit account)

05/03/1999 JSH/BAZZ 00000205 09064234

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## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michelle Leicester

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document ☐ 5Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, DC 20231PATENT  
REEL: 9919 FRAME: 0089



## SECRETARY OF STATE

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of \_\_\_\_\_ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



**IN WITNESS WHEREOF**, I execute this certificate and affix the Great Seal of the State of California this day of \_\_\_\_\_

*Bill Jones*

Secretary of State

**CERTIFICATE OF AMENDMENT OF  
ARTICLES OF INCORPORATION OF  
FOVEONICS, INC.**

FILED  
In the office of the Secretary of State  
of the State of California

FEB - 3 1999

BILL JONES, Secretary of State

The undersigned, Raymond DeMoulin and Carver Mead, hereby certify that:

1. They are the President and Secretary, respectively, of FOVEONICS, INC., a California corporation.
2. Article I of the Articles of Incorporation of this corporation shall be amended to read as follows:

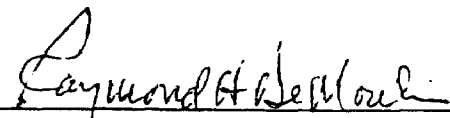
"The name of the Corporation is FOVEON, INC."

3. The foregoing amendment of Articles of Incorporation has been duly approved by the Board of Directors.

4. The foregoing amendment has been approved by the holders of the requisite number of shares of this corporation in accordance with Sections 902 and 903 of the California General Corporation Law. The total number of outstanding shares entitled to vote with respect to the foregoing amendment was 1,021,250 shares of Common Stock, 6,300,000 shares of Series A Preferred Stock and 514,047 shares of Series B Preferred Stock. The number of shares voting in favor of the foregoing amendment equaled or exceeded the vote required. The vote required was more than 50% of the Common Stock and more than 50% of the Preferred Stock, voting together as a class.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Executed at Menlo Park, California, January 28, 1999.

  
Raymond DeMoulin, President and CEO

  
Carver Mead, CFO and Secretary