

05-05-1999



To the Honorable

101029589

COVER SHEET

APR 30

DOCKET# 42-96

attached original documents or copy thereof

1. Name of conveying party(ies):

Xin-Liang LI
Lars G. LJUNGDAHL
Huizhong CHEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of name
☐ Other

Execution Date: 4/14/99, 4/19/99 and 4/14/99

2. Name and address of receiving party(ies)

Name: **University of Georgia
Research Foundation, Inc.**

Address: **DW Brooks Drive
Athens, Georgia 30602**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

(A. Patent Application No.(s)
09/286,691)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.
Internal Address: _____
Street Address: 5370 Manhattan Circle,
Suite 201
City: Boulder State: CO ZIP: 80303

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): **\$40**

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donna M. Ferber
Name of Person Signing

Signature

April 27, 1999
Date

Total number of pages including cover sheet, attachments, and document: 4

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ASSIGNMENT

WHEREAS, WE, **Xin-Liang LI, Lars G. LJUNGDAHL and Huizhong CHEN** (herein called "ASSIGNORS"), residing at **545 Brookstone Drive, Athens, GA 30605, 103 Princeton Mill Ct., Athens, GA 30606 and 1660 Cheshire Ct., Lawrenceville, GA 30043**, respectively, are the inventors of the invention "**CELLULASES AND CODING SEQUENCES**", for which an application for a Patent of the United States was filed **April 5, 1999**, Serial No. 09/286,691.

WHEREAS, **University of Georgia Research Foundation, Inc.** (herein called "ASSIGNEE"), an organization existing under the laws of the State of **Georgia**, having a principal place of business at **DW Brooks Drive, 633 Boyd Graduate Studies Research Center, Athens, GA 30602-7411**, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States Application and all continuations and subsequent applications, including divisional applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority of the United States under the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our signatures.

Date: 4/14/99 Xin-Liang Li
Xin-Liang LI

STATE OF)
) ss.
COUNTY OF)

BEFORE ME, the undersigned authority, on this 19th day of April, 1999, personally appeared **Xin-Liang LI**, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

(SEAL) Leona B. Bradham
Notary Public

My Commission expires: **Notary Public, Oconee County, Georgia**
My Commission Expires July 27, 2002

Date: 4/19/99

Lars G. Ljungdahl
Lars G. LJUNGDAHL

STATE OF)
) ss.
COUNTY OF)

BEFORE ME, the undersigned authority, on this 19th day of April, 1999,
personally appeared **Lars G. LJUNGDAHL**, known to me to be the person whose name is
subscribed to the foregoing instrument and who acknowledged to me that he executed the same
of his own free will for the purposes and consideration therein expressed.

Leona B. Bradham
Notary Public

(SEAL)

My Commission expires:

Notary Public, Oconee County, Georgia
My Commission Expires July 27, 2002

Date: 04/14/99

Huizhong Chen
Huizhong CHEN

STATE OF)
) ss.
COUNTY OF)

BEFORE ME, the undersigned authority, on this 19th day of April, 1999,
personally appeared **Huizhong CHEN**, known to me to be the person whose name is subscribed
to the foregoing instrument and who acknowledged to me that he executed the same of his own
free will for the purposes and consideration therein expressed.

Leona B. Bradham
Notary Public

(SEAL)

My Commission expires:

Notary Public, Oconee County, Georgia
My Commission Expires July 27, 2002