

05-11-1999

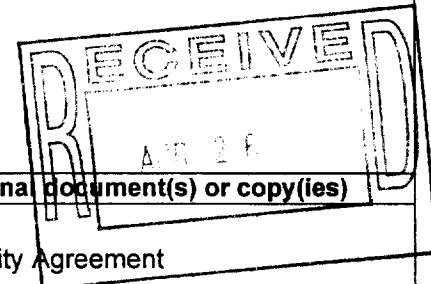
FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

101032401

U.S. Department of Commerce  
Patent and Trademark Office**PATENT**

4.26.99

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies)

**Submission Type**☒ New☐ Resubmission (Non-Recordation)Document ID # ☐ Correction of PTO ErrorReel Frame # ☐ Corrective DocumentReel Frame # **Conveyance Type**☐ Assignment☒ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other **U.S. Government**

(For use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attachedName (line 1) **Norian Corporation**Execution Date  
Month Day Year

04231999

Name (line 2) **Second Party**Name (line 1) Execution Date  
Month Day YearName (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attachedName (line 1) **McMurty Family Trust Dated August 4, 1982, as collateral agent**☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)Name (line 2) Address (line 1) **7 Coalmine View**Address (line 2) Address (line 3) **Portola Valley**

City

**California**

State/Country

**94028**

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) 

05/11/1999 JSHABAZZ 00000010 232415 07963481

FOR OFFICE USE ONLY

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1640.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 9922 FRAME: 0857**

PATENT

## Correspondent Name and Address

Area Code and Telephone Number (650) 493-9300 extension 4529

Name Matthew MacKenzie, Esq.

Address (line 1) Wilson Sonsini Goodrich &amp; Rosati, P.C.

Address (line 2) 650 Page Mill Road

Address (line 3) Palo Alto, California 94304-1050

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 5

## Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

07963481

08670514

08790476

08820126

08987430

09078390

09283487

09159275

08294325

## Patent Number(s)

5034058

4880610

5047031

5129905

5336264

5164187

5279831

5053212

5178845

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 41

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 1040.00

## Method of Payment:

## Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 23-2415

Authorization to charge additional fees: Yes ☒ No ☐

## Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true  
and correct and any attached copy is a true copy of the original document.  
Charges to deposit account are authorized, as indicated herein.*

Matthew MacKenzie, Esq.

Name of Person Signing

Signature

April 26, 1999

Date Signed

FORM PTO-1619C

Expires 06/30/99  
OMB 0651-0027RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLYU.S. Department of Commerce  
Patent and Trademark Office  
**PATENT****Conveying Party(ies)**

Enter Additional Conveying Parties

☐ Mark if additional names of conveying parties attachedExecution Date  
Month Day YearName (line 1) **Norian Corporation****04231999**

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

Enter Additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attachedName (line 1) **McMurty Family Trust Dated August 4, 1982**

Name (line 2)

Address (line 1) **7 Coalmine View**

Address (line 2)

Address (line 3) **Portola Valley**  
City**California**  
State/Country**94028**  
Zip Code☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**

09111347

08989845

09183920

5231169

5455231

5188670

09079041

09079245

09127996

5580623

5569442

5571493

60119320

60118337

5683667

5709742

5496399

5697781

5683496

5820632

5782971

5885540

5846312

**PATENT**  
**REEL: 9922 FRAME: 0859**

# GRANT OF SECURITY INTEREST

## PATENTS

THIS GRANT OF SECURITY INTEREST, dated as of April 23, 1999, is executed by Norian Corporation, a California corporation ("Debtor"), in favor of McMurty Family Trust Dated August 4, 1982, as collateral agent (the "Collateral Agent").

A. Pursuant to a Security Agreement, dated as of April 22, 1999 (the "Agreement") among Debtor and the Collateral Agent, on behalf of the secured parties listed on the signature page thereto, (the "Secured Parties") the Collateral Agent, on behalf of the Secured Parties has agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein;

B. Debtor owns the letters patent and/or applications for letters patent, of the United States, more particularly described on Schedules 1-A and 1-B annexed hereto as part hereof (collectively, the "Patents");

C. Pursuant to the Agreement, Debtor has granted to the Collateral Agent, on behalf of the Secured Parties, a security interest in all right, title and interest of Debtor in and to the Patents, together with any reissue, continuation, continuation-in-part or extension thereof, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Patents (the "Collateral"), to secure the prompt payment, performance and observance of the obligations of the Agreement;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to the Collateral Agent, on behalf of the Secured Parties, a security interest in the Collateral to secure the prompt payment, performance and observance of the obligations of the Agreement.

Debtor does hereby further acknowledge and affirm that the rights and remedies of the Collateral Agent, on behalf of the Secured Parties, with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Collateral Agent's address is:      McMurty Family Trust Dated August 4, 1982  
7 Coalmine View  
Portola Valley, California 94028

PATENT

REEL: 9922 FRAME: 0860

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

Norian Corporation

By: *Marc E. Faerber*  
Name: MARC E. FAERBER  
Title: PRESIDENT & CEO

STATE OF CALIFORNIA )

)

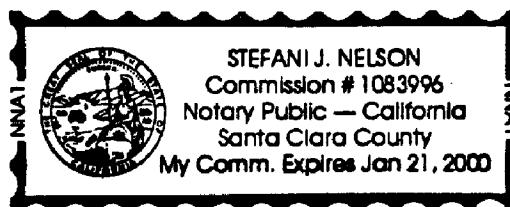
COUNTY OF SANTA CLARA )

On April 23, 1999 before me, the undersigned notary, personally appeared MARC FAERBER, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on such instrument the person or entity on behalf of which the person(s) acted executed this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal the day and year first above written.

(seal)

*Stefani J. Nelson*  
Notary Public  
Residing at: \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_



PATENT

REEL: 9922 FRAME: 0861

SCHEDULE 1-A TO GRANT OF SECURITY INTEREST

PATENTS

<b>Patent</b>	<b>Registration Number</b>	<b>Registration Date</b>
	5,034,058	
	4,880,610	
	5,047,031	
	5,129,905	
	5,336,264	
	5,164,187	
	5,279,831	
	5,053,212	
	5,178,845	
	5,231,169	
	5,455,231	
	5,188,670	
	5,580,623	
	5,569,442	
	5,571,493	
	5,683,667	
	5,709,742	
	5,496,399	
	5,697,781	

**Patent**

**Registration Number**

**Registration Date**

5,683,496

5,820,632

5,782,971

5,885,540

5,846,312

SCHEDULE 1-B TO GRANT OF SECURITY INTEREST

PATENT APPLICATIONS

<b>Patent Application</b>	<b>Application Number</b>	<b>Application Date</b>
NORI-2-9	07/963,481	October 16, 1992
NORI-2-11	08/670,514	June 27, 1996
NORI-2-12	08/790,476	January 29, 1997
NORI-2-13	08/820,126	March 19, 1997
NORI-2-15	08/987,430	December 9, 1997
NORI-2-16	09/078,390	May 13, 1998
NORI-2-17	09/283,487	April 1, 1999
NORI-16-5	09/159,275	September 22, 1998
NORI-17	08/294,325	August 23, 1994
NORI-17-4	09/111,347	July 7, 1998
NORI-18	08/989,845	December 12, 1997
NORI-18-1	09/183,920	October 30, 1998
NORI-19	09/079,041	May 14, 1998
NORI-19-1	09/079,245	May 14, 1998
NORI-22	09/127,996	July 31, 1998
NORI-24	60/119/320	February 9, 1999
NORI-25Provisional	60/118/337	February 3, 1999

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RECORDED: 04/26/1999

PATENT  
REEL: 9922 FRAME: 0864