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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101029836

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

David SCHOFIELD  
Mark BAILEY  
Michael John MONTEITHAdditional name(s) of conveying  
party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name Zeneca Limited

Internal Address: \_\_\_\_\_

Street Address: 15 Stanhope GateCity LondonCountry United Kingdom POSTAL CODE W1Y 6LNAdditional name(s) & addresses(es) attached?  
☐ Yes ☒ No

## 3. Name of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_Execution Date: 2/16/99; 1/18/99; 2/12/99

## 4. Application number(s) or patent number(s):

09242899

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
PCT/GB97/02183 filed 14 August 1997

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: E. Anthony FiggInternal Address: Rothwell, Figg, Ernst & KurzSuite 701-EastStreet Address: 555 13th St., N.W.City: WashingtonState: D.C. Zip 200046. Total number of applications  
and patents involved: \_\_\_\_\_

1

7. Total fee (37 CFR 3.41): . . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a  
True copy of the original document.*E. Anthony Figg, Reg. No. 27,195  
Name of Person SigningSignature E. Anthony FiggDate 2/26/99Total number of pages including cover sheet, attachments and documents: 3PATENT  
REEL: 9924 FRAME: 0014

Attorney Docket Number: \_\_\_\_\_

### ASSIGNMENT OF PATENT APPLICATION

**WHEREAS**, I/We David Schofield, a citizen United Kingdom  
First Named Inventor

residing at Hexagon House, P O Box 42, Blackley, Manchester, M9 8ZS, United Kingdom  
Address

Mark Bailey, a citizen of United Kingdom \_\_\_\_\_  
Second Named Inventor, if any

residing at Earls Road, Grangemouth, Stirlingshire, FK3 8XG, United Kingdom  
Address

Michael John Monteith, a citizen of United Kingdom \_\_\_\_\_  
Third Named Inventor, if any

residing at Hexagon House, P O Box 42, Blackley, Manchester, M9 8ZS, United Kingdom  
Address

**ASSIGNOR(S)**, am/are the inventor(s) of an invention in (insert title) Process for the preparation of butane triols

for which I/we have executed an application for Letters Patent of the United States,

(Check one) ☐ of even date herewith;  
☐ U.S. Serial Number \_\_\_\_\_ filed \_\_\_\_\_,  
☒ International Application No. PCT/GB97/02183 filed 14 August 1997,

and

**WHEREAS**, Zeneca Limited, 15 Stanhope Gate, London, W1Y 6LN, United Kingdom,  
Assignee      Citizenship or Corporate Situs      Address

**ASSIGNEE**, is desirous of obtaining the entire right, title and interest in, to and under the said inventions and the said application;

**NOW, THEREFORE**, in consideration of the sum of One Dollar (\$1.00) to me /us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I/we, the said **ASSIGNOR(S)** have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEE**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reexamination certificates, reissues and extensions thereof, and I/we hereby authorize the Commissioner of Patents of the United States, to issue all Letters Patent for said invention to the said **ASSIGNEE**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

**AND I/WE HEREBY** covenant that I/we have the full right to convey the interest herein assigned, and that I/we have not executed, and will not execute, any agreement in conflict herewith.

**AND I/WE HEREBY** further covenant and agree that I/we will communicate to the to said **ASSIGNEE**, its successors, legal representatives and assigns, any facts known to me/us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **ASSIGNEE**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the said invention at the expense of the **ASSIGNEE**.

**IN TESTIMONY WHEREOF**, I/we hereunto set my/our hand(s) and seal(s) the day and year set opposite my/our signature(s).

David Schofield L.S. Date 16th February, 1999.  
David Schofield

Witnesses for D.S.

[Signature] 16 - 2 - 99  
Name Date

[Signature] 16 - 2 - 99  
Name Date

M. Bailey  
Mark Bailey  
Witnesses for MB:

L.S. Date 18<sup>TH</sup> JANUARY, 1999.

W. F. Blackburn  
Name  
C. D. Robertson  
Name

18<sup>TH</sup> JANUARY 1999.  
Date

18<sup>TH</sup> JANUARY 1999  
Date

Michael John Monteith  
Michael John Monteith  
Witnesses for MJM:

L.S. Date 12/02 /, 1999.

Stewart R. Ken  
Name  
M. S. H. G. G. G.  
Name

12 / ~~FEB~~ / 99 <sup>SRK</sup>  
Date

12 FEB 99  
Date