

05-07-1999

FORM PTO-1596

1-31-92

Docket No.: 50212-024

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101030966

To the Honorable Commissioner of Patents

Record the attached original documents or copy thereto:

1. Name of Conveying Parties:

Hisao GO and Shunichi YONEYAMA

2. Name and address of receiving party:

Name: Sumitomo Electric Industries, Ltd.

Address: 5-33, Kitahama 4-chome

Chuo-ku, Osaka-shi

Osaka 541-0041, JAPAN

Additional name(s) of conveying party(ies) attached? ☐ Yes

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 23, 1999

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/252,785, filed February 19, 1999

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur J. Steiner, 26,106

April 29, 1999

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

05/07/1999 DNGUYEN 00000032 500417 09252785

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40.00 CH

PATENT
REEL: 9927 FRAME: 0359

Docket No.:

ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) <u>Hisao GO</u>	(4) _____
(2) <u>Shunichi YONEYAMA</u>	(5) _____
(3) _____	(6) _____

who have made a certain new and useful invention, hereby sell, assign and transfer unto

SUMITOMO ELECTRIC INDUSTRIES, LTD.

5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

OPTICAL MODULE AND A METHOD OF FABRICATING THE SAME

(a) for which an application for United States Letters Patent was filed on February 19, 1999, and identified by United States Serial No. 09/252,785 ; or

(b) for which an application for United States Letters Patent was executed on even date herewith,

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures:

INVENTORS**DATE SIGNED**

1)	<u>Hisao Go.</u>	<u>April 23, 1999</u>
	Name: Hisao GO	
2)	<u>Shun Yoneyama</u>	<u>April 23, 1999</u>
	Name: Shunichi YONEYAMA	
3)	_____	_____
	Name: _____	
4)	_____	_____
	Name: _____	
5)	_____	_____
	Name: _____	
6)	_____	_____
	Name: _____	

RECORDED: 04/29/1999

PATENT
REEL: 9927 FRAME: 0360