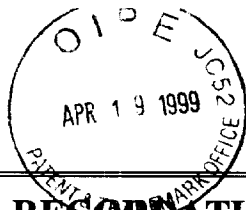


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1-31-92

PATENTS ONLY

U.S. Department of Commerce

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: **Please record the attached original documents or copy thereof.**

1. Name of conveying party(ies):

**Mark Gilbreth, Joel Wacknov, and Simon Wall.**

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

2. Name and address of receiving party(ies):

**CAPSTONE TURBINE CORPORATION**

Street Address:

**18700 Oxnard St.**

City / State:

**Tarzana, CA 91356**

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment
 ☐ Security Agreement  
☐ Merger
 ☐ Change of Name
Execution Date: **December 29, 1998**

4. Application number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**09/207,817**

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Dee Henderson**  
 Address: **Irell & Manella LLP**  
**1800 Avenue of the Stars**  
**Suite 900**  
 City: **Los Angeles**  
 State: **CA**  
 Zip: **90067**

6. Total no. of applications and patents involved: **1**7. Total fee (37 CFR 3.41): **\$ 40.00**
☒ Enclosed  
☐ Authorized to charge to deposit account
8. Deposit account number: **09-0946****DO NOT USE THIS SPACE**9. **Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.**Sharon Wong**

Name of person signing

Signature

**April 14, 1999**

Date

Total number pages including cover sheet, attachments, and documents: **4**

( )

**ASSIGNMENT**

This assignment is made by **Mark Gilbreth, Joel Wacknov and Simon Wall** Assignors, to **Capstone Turbine Corporation**, a **Delaware** corporation, Assignee, having a place of business at **18700 Oxnard Street, Tarzana, California 91356**.

WHEREAS, Assignors have invented a new and useful invention entitled **POWER CONTROLLER** for which an application for United States Letters Patent

- ☒ was filed on **December 8, 1998** and given Application No. **09/207,817**  
☐ is being filed herewith; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights in which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.


Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

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continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on this  
29 day of DECEMBER, 1998.

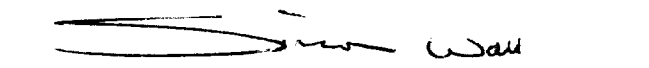
ASSIGNOR,

  
Mark Gilbreth

ASSIGNOR,

  
Joel Wacknov

ASSIGNOR,

  
Simon Wall



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

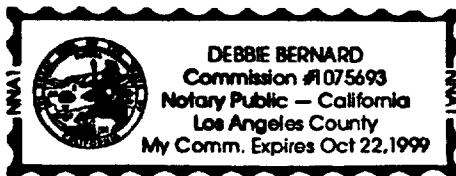
State of California

County of Los Angeles

On Dec 29, 1998 before me, Debbie Bernard, Notary Public

personally appeared Mark Gilbreth, Joel Wacknow and Simon Nail

☒ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he/she~~ they executed the same in ~~his/her~~ their authorized capacity(ies), and that by ~~his/her~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Debbie Bernard

Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer

Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney-in-Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer

Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney-in-Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

DEBBIE BERNARD  
Commission #1075693  
Notary Public - California  
Los Angeles County  
My Comm. Expires Oct 22, 1999

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here