

05-10-1999

ay Docket No. 5490C-26610US



101033596

FORM PTO-1595  
(Rev. 6-93)U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Alan F. Schatzberg  
Joseph K. Belanoff

4-26-99

Additional name(s) of conveying parties attached? ☐ Yes  
x No.

2. Name and address of receiving party(ies)

Name: The Board of Trustees of Leland Stanford Jr. University  
a nonprofit California universitiy

Internal Address: Stanford University

Street Address: 105 Encina Hall

City: Stanford State: CA ZIP: 94305-1850

Additional names and addresses attached? ☐ Yes X No

3. Nature of conveyance:

X Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: April 16, 1999 and April 15, 1999 (respectively)

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/244,457

B. Patent No(s):

Additional numbers attached? ☐ Yes x No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Gregory P. Einhorn  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during  
the pendency of this application, or credit any overpayment, to  
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8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the  
original document.*Gregory P. Einhorn  
Name of Person Signing

  
Signature
4/21/99  
Date

Atty Reg. No. 38,440

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? ☐ Yes x No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

04/27/1999 ANCHOR 00000013 201430 09244457

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(40.00 CH)

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231PATENT  
REEL: 9932 FRAME: 0277

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Alan F. Schatzberg, of 10380 West Loyalita Drive, Los Altos, California, 94024, and Joseph K. Belanoff of 21911 Pepper Tree Lane, Cupertino, California 95014, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS FOR TREATING PSYCHOSIS  
ASSOCIATED WITH GLUCOCORTI-  
COID RELATED DYSFUNCTION

Date(s) of execution of Declaration: \_\_\_\_\_

Filing Date: February 4, 1999

Application No. 09/244,457 ; and

WHEREAS, The Board of Trustees of Leland Stanford Jr. University a nonprofit California university, located at Stanford University, 105 Encina Hall, Stanford, CA 94305-1850, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Application No.: 90/246,780  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

STATE OF CALIFORNIA )  
 )  
COUNTY OF )

ss.

On April 16, 1999, before me, Kirsten J. Leute, personally appeared Alan F. Schatzberg

Dated: 4/16/99

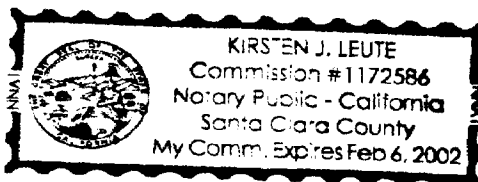
Alan F. Schatzberg

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kirsten J. Leute  
NOTARY PUBLIC

My Commission Expires: Feb. 6, 2002



STATE OF CALIFORNIA )  
 )  
COUNTY OF )

ss.

On April 15, 1999, before me, Kirsten J. Leute, personally appeared Joseph K. Belanoff

Dated: April 15, 1999

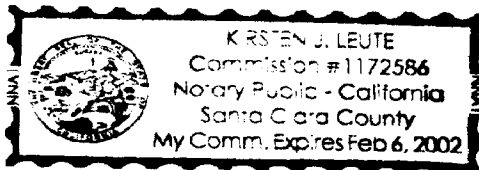
Joseph K. Belanoff

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kirsten J. Leute  
NOTARY PUBLIC

My Commission Expires: Feb. 6, 2002



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